

CALIFORNIA HORSE RACING BOARD  
1010 HURLEY WAY, SUITE 300  
SACRAMENTO, CA 95825  
(916) 263-6000  
FAX (916) 263-6042



## REGULAR MEETING

of the California Horse Racing Board will be held on Thursday, August 21, 2014, commencing at **9:30 a.m.**, at the Del Mar Surfside Race Place, (Downstairs General Admission Area) 2260 Jimmy Durante Blvd., Del Mar, California. The audio portion only of the California Horse Racing Board regular meeting will be available online through a link at the CHRB website ([www.chrb.ca.gov](http://www.chrb.ca.gov)) under "Webcasts."

### AGENDA

#### Action Items:

1. **Approval of the minutes of July 18, 2014.**
2. **Executive Director's Report.**
3. **Public Comment:** Communications, reports, requests for future actions of the Board. **Note:** Persons addressing the Board under this item will be restricted to **three (3) minutes** for their presentations.
4. Discussion and action by the Board regarding **delegating authority to the CHRB Chairman and/or the Executive Director of the Board to approve CHRB service contracts on behalf of the Board.**
5. Discussion and action by the Board on the **approval of a contract with Biomechanically Applied Engineering, to provide CHRB hoof tester data collection and evaluation, in support of race track surface standards.**
6. Discussion and action by the Board regarding the **proposed amendment to CHRB Rule 1632, Jockey's Riding Fee, to revise the jockey riding fee scale pursuant to Business and Professions Code section 19501.**
7. Discussion and action by the Board **regarding the request from the Los Alamitos Racing Association on behalf of the Los Angeles County Fair to 1) amend its application to include Quarter horse and Arabian racing at its September 4 through September 23, 2014 race meeting at Los Alamitos and 2) to include rolling doubles with a 20% takeout to its wagering platform.**

8. Discussion and action by the Board regarding **the request from the Los Alamitos Quarter Horse Racing Association to amend its 2014 Quarter horse application for license to not run on September 5, 6, 7, 12, 13, 14, 19, 20 and 21<sup>st</sup> and 2) with the support of the harness industry requesting permission to bring in nighttime (after 5:30 pm) out of state imports on those dates.**
9. Discussion and action by the Board on the **Application for License to Conduct a Horse Racing Meeting of the Big Fresno Fair (F) at Fresno**, commencing October 1, 2014 through October 13, 2014, inclusive.
10. Discussion and action by the Board on the **Application for License to Conduct a Horse Racing Meeting of the Pacific Racing Association (T) at Golden Gate Fields**, commencing October 16, 2014 through December 21, 2014, inclusive.
11. Discussion and action by the Board regarding the **allocation of the 2015 harness race dates.**
12. Discussion and action by the Board on the **Application for License to Conduct a Horse Racing Meeting of Watch and Wager LLC (H) at Cal Expo**, commencing October 4, 2014 through December 21, 2014, inclusive.
13. Discussion and action by the Board on the **report from the CHRB Equine Medical Director on the administration of race-day furosemide (lasix).**
14. **Closed Session:** For the purpose of receiving advice from counsel, considering pending litigation, reaching decisions on administrative licensing and disciplinary hearings, and personnel matters, as authorized by section 11126 of the Government Code.
  - A. The Board may convene a Closed Session to confer with and receive advice from its legal counsel regarding the pending litigation described in the attachment to this agenda captioned "Pending Litigation," as authorized by Government Code section 11126(e).
  - B. The Board may convene a Closed Session to confer with and receive advice from its legal counsel regarding the pending administrative licensing or disciplinary matters described in the attachment to this agenda captioned "Pending Administrative Adjudications," as authorized by Government Code section 11126(e).
  - C. The Board may convene a Closed Session for the purposes of considering personnel matters as authorized by Government Code section 11126 (a).

Additional information regarding this meeting may be obtained from the CHRB Administrative Office, 1010 Hurley Way, Suite 300, Sacramento, CA 95825; telephone (916) 263-6000; fax (916) 263-6042. This notice is located on the CHRB website at [www.chrb.ca.gov](http://www.chrb.ca.gov). \*Information for requesting disability related accommodation for persons with a disability who require aid or services in order to participate in this public meeting, should contact Jacqueline Wagner.

**CALIFORNIA HORSE RACING BOARD**

Chuck Winner, Chairman  
Bo Derek, 1st Vice Chairman  
Richard Rosenberg, 2nd Vice Chairman  
Steve Beneto, Member  
Jesse H. Choper, Member  
George Krikorian, Member  
Madeline Auerbach, Member  
Rick Baedeker, Executive Director  
Jacqueline Wagner, Assistant Executive Director

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## PENDING LITIGATION AUGUST 2014

### COURT LITIGATION

**A. Jeff Mullins vs. CHRB, et al**

Superior Court of California, County of San Diego, Case No. 37-2010-00092212  
Court of Appeal, Fourth Appellate District, D062226

**B. Morteza Atashkar vs. CHRB**

Superior Court of California, County of Sacramento, Case No. 34-2008-00024426  
Court of Appeal, Third Appellate District, C074852

**C. San Luis Rey Racing, Inc., vs. CHRB, et al**

Superior Court of California, County of San Diego, Case No. 37-2011-00096586

**D. Donald Lockwood vs. CHRB**

Superior Court of California, County of Los Angeles, Case No. BS147701

**E. James Hanson vs. CHRB**

Superior Court of California, County of Los Angeles, Case No. BS147729

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**PENDING ADMINISTRATIVE ADJUDICATIONS  
AUGUST 2014**

**CASE**

**A. Proposed Decision**

**Trainer Jose DeLaTorre**

Case Numbers: 13LA0231, 13LA0254, 13LA0269 and 13LA0270

**B. Proposed Decision**

**Trainer Jose A. Flores**

Case Number: 14LA0063

**C. Proposed Decision**

**Trainer Angela Aquino**

Case Number: 14LA0148

**PROCEEDINGS** of the Regular Meeting of the **California Horse Racing Board** held at the **Del Mar Surfside Race Place** (Downstairs General Admission Area), 2260 Jimmy Durante Blvd., Del Mar, California, on **July 18, 2014**.

Present: Chuck Winner, Chairman  
 Bo Derek, 1<sup>st</sup> Vice-Chairman  
 Richard Rosenberg, 2<sup>nd</sup> Vice-Chairman  
 Madeline Auerbach, Member  
 Steve Beneto, Member  
 Jesse H. Choper, Member  
 George Krikorian, Member  
 Rick Baedeker, Executive Director  
 Jacqueline Wagner, Assistant Executive Director  
 Robert Miller, General Counsel

#### **APPROVAL OF THE MINUTES OF JUNE 19, 2014**

Chairman Winner asked for approval of the minutes of the Regular Meeting of June 19, 2014. 2<sup>nd</sup> Vice-Chairman Rosenberg **motioned** to approve the minutes. Commissioner Beneto **seconded** the motion, which was **unanimously carried**.

#### **EXECUTIVE DIRECTOR'S REPORT.**

Executive Director Baedeker stated Cal Expo completed its improvements on the 22 tack rooms by opening day of the state fair and all 22 were inspected and confirmed as fire code compliant. New doors, floors, ceilings, escapable windows, and in-wall air conditioners were added to the rooms. He said two quarter horses, not located on a CHRB enclosure, tested positive for equine infectious enema. Dr. Rick Arthur, Equine Medical Director, issued a directive stating any quarter horse not continuously housed at a CHRB enclosure for the prior 60 days must have a negative Coggins test dated after April 1, 2014, in order to start at a CHRB facility, and effective July 24, 2014 a negative Coggins test dated after April 1, 2014, would be required to enter a stable area at any of the CHRB enclosures. Executive Director Baedeker said four applicants

passed the written and oral examinations for safety steward; CHR B was hiring two of the applicants: David Nuesch, and Richard Lewis. The other two, Brian Connor and Paul Atkinson, were also very qualified and would be available as more assignments opened up. He stated CHR B was currently experiencing a shortage of licensing personnel, but was hiring for three vacant positions, including the supervisor position at headquarters. Executive Director Baedeker said Assembly Bill 2592 and Assembly Bill 2005 were both going before the Senate Appropriations Committee August 4, 2014. He said state wide overall handle was up 5.66 percent for the month of June, and 3.3 percent for the year. Chairman Winner stated he and Commissioners Krikorian and Auerbach sat in on the interviews for the steward positions. Chairman Winner said all of the candidates were very qualified, and would all make excellent stewards.

#### **PUBLIC COMMENT**

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John Valenzuela, of the Pari-mutuel Employees Guild Local 280, stated his organization finalized a three year contract with the Federation of California Horse Racing.

#### **DISCUSSION AND ACTION BY THE BOARD REGARDING THE DISTRIBUTION OF 2012 RACE DAY CHARITY PROCEEDS OF THE PACIFIC RACING ASSOCIATION DBA GOLDEN GATE FIELDS IN THE AMOUNT OF \$79,672.57 TO 11 BENEFICIARIES .**

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Calvin Rainey, representing the Pacific Racing Association (PRA), stated all of the charities were from Northern California and equine-related. 1<sup>st</sup> Vice-Chairman Derek stated she liked all of the charities on the list. 1<sup>st</sup> Vice-Chairman Derek **motioned** to approve the request by PRA to

distribute its race day charity proceeds. Commissioner Krikorian **seconded** the motion, which was **unanimously carried**. Commissioner Auerbach abstained.

**DISCUSSION AND ACTION BY THE BOARD ON THE APPROVAL OF THE SAFETY STEWARD CONTRACT FOR DAVID NUESCH AND RICHARD LEWIS FOR FISCAL YEAR 2014-2015.**

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Robert Miller, Staff Counsel, stated Richard Lewis was chosen after the agenda was distributed. To include him in the item's discussion required a separate motion with a two thirds majority vote to carry, as per Government Code section 11125.3. Commissioner Choper **motioned** to add the approval for Richard Lewis to item 5 of the agenda for the July 18 Regular Board Meeting. Commissioner Auerbach **seconded** the motion, which was **unanimously carried**. 2<sup>nd</sup> Vice-Chairman Rosenberg asked why the Board was approving a contract when there was no copy of it in the Board package. Jacqueline Wagner, Assistant Executive Director, stated the contract would be just like other steward contracts; the agenda item was to approve the applicants as stewards. Chairman Winner asked if David Nuesch was already working. Ms. Wagner said he was working under a temporary nine-day contract. 2<sup>nd</sup> Vice-Chairman Rosenberg **motioned** to approve the approval of the safety steward contract for David Nuesch, and Richard Lewis, for fiscal year 2014-2015. 1<sup>st</sup> Vice-Chairman Derek **seconded** the motion, which was unanimously carried.

**DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE LOS ANGELES COUNTY FAIR (F), AT LOS ALAMITOS, COMMENCING SEPTEMBER 5, 2014 THROUGH SEPTEMBER 23, 2014, INCLUSIVE.**

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Jim Henwood, of the Los Angeles County Fair (LACF), stated the application was similar to previous years, except with changes in the calendar and the new location. Commissioner Choper asked what was going to happen to the LACF race track in the next year if the change in location was approved by the Board. Mr. Henwood said Barretts was conducting a sale on the fairgrounds in March, with no long term plans beyond that. 2<sup>nd</sup> Vice-Chairman Rosenberg asked if Santa Anita stabling was closed in September. Brad McKinzie, of Los Alamitos, stated Santa Anita would open up for stabling after the Del Mar race meet was concluded on September 3, 2014. Tom Ludt, representing Santa Anita, said that was correct. Commissioner Krikorian asked if the track would remain for the Barretts sale in March. Mr. Henwood stated the track would need to be there for the March sale. 2<sup>nd</sup> Vice-Chairman Rosenberg asked if there were any marketing ideas to get LACF customers to attend the races at Los Alamitos. Mr. Henwood stated a ticket to the fair would allow the customer access to the race meet, and a ticket to the race meet would allow access to the fair. He said the race meet was being promoted as the "Los Angeles County Fair Race Meet at Los Alamitos". Mr. McKinzie said there was an extensive joint marketing effort. Chairman Winner stated the media budget for getting customers to the track seemed weak. Mr. McKinzie stated Los Alamitos learned with its first thoroughbred meet that standard media marketing dollars were not as effective as other programs. On the race meet's last day the Register Connect program drove 2,000 of the 4,800 in attendance to the meet. He said customers were more comfortable knowing they would be with other people new to horse racing. Chairman Winner asked what was being done to create that kind of comfort level with potential customers that do not receive the Register and could not be reached with the

Register Connect program. Mr. McKinzie said Los Alamitos was trying to find similar partnerships with other local businesses, hoping they would be as effective as the partnership with the Register. Commissioner Auerbach asked if the new customers wagered. Mr. McKinzie stated the Register Connect customers were given \$2 vouchers that they could wager with. He said the new customers were observed being excited by winning, and that excitement was what would bring them back. 1<sup>st</sup> Vice-Chairman Derek asked if Los Alamitos would consider giving more than the required 20 percent to horse related charities now that it had more thoroughbred race dates. Mr. McKinzie said Los Alamitos would do more than consider it. Commissioner Auerbach said Los Alamitos was now accredited by the National Thoroughbred Racing Association which required involvement with horse retirement and rescue, adding to its existing horse charity commitments. Commissioner Auerbach stated the first thoroughbred meet at Los Alamitos had a large overpayment of purses and she was concerned the high level stakes races for the LACF race meet would result in another overpayment. Mr. McKinzie said Los Alamitos was aggressive with the stakes schedule for its first thoroughbred race meet to make a statement. Los Alamitos wanted a signature stakes race with award-winning horses; it was an investment in its future. He said the stakes schedule for the LACF race meet was, in comparison, more conservative and based on more accurate projections. Commissioner Auerbach asked why then was the Thoroughbred Owners of California (TOC) agreement still outstanding. Mr. McKinzie said the purse projections were completed based on the meet that had just finished and the TOC needed time to review them. Commissioner Auerbach said she was worried how the LACF stakes schedule flowed into the Santa Anita stakes schedule, and if there would be enough high caliber horses for both. Mr. McKinzie said it was difficult to propose a race in the small window between Del Mar's closing and Santa Anita's opening that did not step on someone's toes.

Chairman Winner asked about the outstanding California Thoroughbred Trainers agreement. Mr. McKinzie said it had been finalized. Tom Ludt, of Santa Anita, stated he was concerned that the Los Angeles race meet proposed such a large stakes race one week before Santa Anita's Awesome Again, which was a Grade 1, win-and-you're-in race televised on NBC. Commissioner Auerbach stated that there would be enough horses on the circuit and the Awesome Again had the advantage of being Grade 1 and win-and-you're-in. Mr. Ludt stated he agreed, but did not want to risk weakening the product on a national level. Mr. McKinzie said the TOC also had concerns about the stakes schedule, but Los Alamitos was open to moving its big race a week earlier. Joe Morris, of the TOC, stated one of its goals was to have all three Los Alamitos meets run at the same purse levels. He said the TOC would make sure the LACF race meet would fit in between the Del Mar and Santa Anita race meets before agreeing to it. Chairman Winner said it was good news that the parties were working together with the goal of a good race product, pulling together was good for California horse racing. Mr. McKinzie stated Del Mar and Santa Anita could not have been more supportive of LACF race meet at Los Alamitos. Commissioner Auerbach said she, as a horse owner, wanted to commend Los Alamitos on how it had taken care of the owners for its first thoroughbred race meet. Terry Bingham, an attorney, stated he supported the LACF relocation to Los Alamitos. He said it was good for California and California thoroughbred and Arabian horse racing. 2<sup>nd</sup> Vice-Chairman Rosenberg **motioned** to approve the application for license to conduct a horse racing meeting of the LACF, at Los Alamitos, commencing September 5, 2014 through September 23, 2014, conditioned on the submission of all outstanding items. Commissioner Auerbach **seconded** the motion, which was **unanimously carried**.

**DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE SAN JOAQUIN COUNTY FAIR (F), AT STOCKTON, COMMENCING SEPTEMBER 19, 2014 THROUGH SEPTEMBER 28, 2014, INCLUSIVE.**

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Larry Swartzlander, of the California Authority of Racing Fairs, stated the San Joaquin County Fair at Stockton (Stockton) had a very successful race meet in 2013, and it would be just as successful in 2014. Kelly Olds, representing Stockton, said the first weekend of racing Stockton was teaming up with Tony Noceti who was sponsoring a western cook-off themed festival. Mr. Noceti was spending \$75,000-\$100,000 on marketing, and the weekend should bring in 60 to 70 thousand people. The second weekend Stockton was teaming up with Luis Valdega, who was sponsoring a Mexican fiesta themed festival. Chairman Winner asked if there were outstanding items on the application. Mr. Swartzlander said the fire inspection was scheduled and would be completed before the race meet. Commissioner Beneto **motioned** to approve the application for license to conduct a horse racing meeting at Stockton, commencing September 19, 2014 through September 28, 2014, conditioned on the submission of all outstanding items. Commissioner Choper **seconded** the motion, which was **unanimously carried**.

**DISCUSSION AND ACTION BY THE BOARD ON THE APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE LOS ANGELES TURF CLUB II (T), AT SANTA ANITA, COMMENCING SEPTEMBER 26, 2014 THROUGH NOVEMBER 2, 2014, INCLUSIVE.**

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Tom Ludt, representing the Los Angeles Turf Club II at Santa Anita (Santa Anita), stated Santa Anita was removing its racing surface to both evaluate the base and replace the surface, building new suites in place of its boxes under the FrontRunner, and extending the Turf Terrace by removing the Club Theater. Nate Newby, of Santa Anita, described the increases in 2014 handle over 2013. He said the 2014 marketing focus was to get fans of Santa Anita to come out and see

the improvements. Commissioner Beneto asked if the suites were sold to one person for the season. Mr. Newby said half the suites were sold for the season and half were available day to day. Rick Hammerle, of Santa Anita, said its racing program for the fall meet looked great, with purses up \$1 million, making the purses the same between winter spring and fall race meets. Chairman Winner asked about the 20 percent takeout on Daily-Double wagers. Mr. Ludt said in 2013 Santa Anita experimented with lowering the Daily-Double takeout to 18 percent, which increased wagering, but not enough to increase handle with the lower takeout. 20 percent was the next experiment and a compromise between the Southern California tracks so that they would have a uniform takeout on the Daily-Double. He said the Del Mar takeout went down from the unadjusted 22.68 percent, Los Alamitos started off its thoroughbred program with 18 percent, and Golden Gate Fields would eventually come down from 22.68 percent. Santa Anita believed the 20 percent was competitive, and it would be guaranteeing the pools at \$100,000. Mr. Ludt said owners want more purses, players want less takeout, and associations want bigger field size; it was a mistake to get caught up in looking at a specific bet versus handle. Chairman Winner said one needed to worry about wagerer perception as well; without wagers there was no horseracing. Mr. Ludt said Santa Anita's Win Place and Show takeouts were the lowest in the country; the challenge was making a menu that was attractive for all. Commissioner Auerbach said the \$100,000 guarantee was a big risk. Mr. Ludt said it would be heavily marketed by Xpressbet; however, some of the historical data on the Daily-Double showed the guarantee was a risk. Commissioner Krikorian asked about the status of the negotiations with the Los Angeles Regional Water Control Board. Mr. Ludt stated there were ongoing negotiations, and Santa Anita was firm in its commitment to increase stabling in Southern California. Chairman Winner said the drain water issue would be heard by Committee, and then be discussed as a separate item

at a Regular Board Meeting. 2<sup>nd</sup> Vice-Chairman Rosenberg said discussion at Committee should include the drain water issues with the proposed expansion at San Luis Rey Downs. Chairman Winner asked what had been done about the issues with the turf course. Mr. Hammerle said Santa Anita met with Executive Director Baedeker and discussed what could be done. The repairs were made in less than a week and the turf course finished up the race meet with riders saying it was “unbelievable”; the problem was fixed. Commissioner Beneto asked if the issue was the surface changing from turf to dirt and then back to turf. Mr. Ludt said the changes in the surface were not the issue; it had been determined a spot further up the track was too hard and had caused the problems. Commissioner Auerbach stated it would be good for the owners, Santa Anita, and the rest of California horseracing if Santa Anita raced both September 25, 2014 and October 29, 2014. September 25 would open Santa Anita a day sooner than planned, and allow for an earlier day of turf racing after a long stretch without any turf racing. Racing on October 29 would be the day before the Breeders’ Cup, and it would increase the racing opportunities while so many horses not usually in California were available. Mr. Ludt said the Breeders’ Cup created a field size issue as it required 200 dedicated stalls. It was better to have extra races on good race days, than an extra race day with low field size. He suggested the Board approve the license with the option to race those two days and Santa Anita would try to make it work. Chairman Winner asked about the outstanding agreements, the letters to request the takeout adjustment and the expiration date of the bond. Mr. Ludt said the agreements were as good as finalized, and the letters for the takeout had been submitted. Jacqueline Wagner, Assistant Executive Director, stated the bond had been extended through 2015. Commissioner Auerbach **motioned** to approve the application for license to conduct a horse racing meeting at Santa Anita, commencing September 26, 2014 through November 2, 2014, allowing Santa Anita to

race on September 25, 2014 and October 29, 2015 if it chose to. 1<sup>st</sup> Vice-Chairman Derek seconded the motion, which was **unanimously carried**.

**DISCUSSION AND ACTION BY THE BOARD REGARDING AN UPDATE FROM LOS ALAMITOS ON ITS RECENTLY CONCLUDED INAUGURAL THOROUGHBRED RACE MEETING, TO INCLUDE A BRIEFING ON END OF MEET PERFORMANCE AND THE PERFORMANCE OF THE RACING SURFACE.**

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Brad McKinzie, of Los Alamitos, stated the Los Alamitos race meet was a success, but not as successful as hoped. All source handle was strong, carried by big numbers in advance deposit wagering and in state satellites; however, out-of-state satellite handle and on-track attendance were lower than expected. He said the out of state numbers would improve as Los Alamitos became more known as a thoroughbred race track. Los Alamitos would be focusing more of its marketing effort to get its satellite fans to attend its live thoroughbred race meets. Mr. McKinzie said there was a struggle to keep field sizes up, especially for the last weekend as it was right before the start at Del Mar. He said the track performed great and there were no catastrophic injuries. Commissioner Beneto said the trainers needed to realize that supporting the Los Alamitos race meet was important for the health of the entire California horse racing industry. Mr. McKinzie said Los Alamitos was pleased with the support it got from many of the trainers. He said there were 3000 horses training in southern California and Los Alamitos would have to work harder to get them to come in and race. One advantage for 2015 would be an additional 200 thoroughbreds stabled at Los Alamitos; they would already be there and ready to race. Commissioner Choper congratulated Los Alamitos on improving its facility. He said the race meet was a success; the weekend before Del Mar opened had been a challenge for Hollywood Park as well. Chairman Winner said he was pleased there was good news coming from the meet

and that Los Alamitos would learn from the things that did not work out as well as hoped. He said the Board wanted the meet to be successful. Commissioner Auerbach asked if anything would be done to ensure there were dirt pathways to the receiving barn area. Mr. McKinzie said the dirt pathways were already installed; there was feedback to do so from many of the horsemen. Commissioner Krikorian asked how the attendance and handle looked when compared to the same weeks at Hollywood Park. Mr. McKinzie said the attendance had not been compared; but the Los Alamitos all-source handle was about 65 percent of Hollywood Park's all-source handle for the same race dates. He said Los Alamitos could do something about the on-track handle, but the out-of-state satellite handle was another thing. Chairman Winner asked if Los Alamitos thought the big money stakes as a draw for known trainers would help the out-of-state satellite handle. Mr. McKinzie said having a well known trainer win at a Los Alamitos graded stakes would make a big difference. Commissioner Krikorian stated a third of the available horses were turf horses, and not having a turf track had a significant impact on handle. Mr. McKinzie said Los Alamitos would have to find a solution to that problem.

**DISCUSSION AND ACTION BY THE BOARD REGARDING AN UPDATE FROM SANTA ANITA ON ITS RECENTLY CONCLUDED RACE MEETING, TO INCLUDE A BRIEFING ON END OF MEET PERFORMANCE, THE PERFORMANCE OF THE RACING SURFACE AND SANTA ANITA'S PLANS FOR REPLACING ITS DIRT TRACK.**

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Discussion on this item was combined with item eight, the application for license to conduct a horse racing meeting at Santa Anita.

**DISCUSSION AND ACTION BY THE BOARD REGARDING AN UPDATE FROM THE OAK TREE RACING ASSOCIATION ON ITS RECENTLY CONCLUDED RACE MEETING AT THE ALAMEDA COUNTY FAIR AT PLEASANTON, ("OAK TREE AT PLEASANTON"), TO INCLUDE A BRIEFING ON END OF MEET PERFORMANCE AND THE PERFORMANCE OF THE RACING SURFACE.**

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Sherwood Chillingworth, representing Oak Tree Racing Association (Oak Tree), stated the 2014 Oak Tree race meet went very well. Compared to the 2013 Alameda Fair race meet the overall handle was up six percent; the advance deposit wagering handle was up 112 percent, including a 400 percent improvement in TVG handle; and on-track handle was up 2 percent. Chris Korby said attendance was up 10 percent for the track and 22 percent at the fair. Larry Swartzlander, representing the California Authority of Racing Fairs (CARF), stated Oak Tree ran its final week overlapped with Los Alamitos first week, and Los Alamitos handle for that week was higher than it was for Hollywood Park the same week in 2013. He said there were no injuries attributed to the track surface during the entire meet. Mr. Chillingworth stated there were two equine fatalities, but one was during training and the other a heart attack in the barn. Commissioner Krikorian asked what caused the 400 percent increase in TVG handle. Mr. Chillingworth said TVG used more live races instead of replays. Commissioner Beneto asked what the payment of purses looked like, and if there was an underpayment, how it would be distributed. Mr. Swartzlander said the 2013 race meet was 120,000 overpaid, 2014 was 90,000 overpaid. He said the fairs ran a consolidated purse fund and the Alameda race meet traditionally overpaid around \$100,000. Executive Director Baedeker stated he went to the Oak Tree race meet on a Thursday and was impressed by the 4600 people in attendance. Instead of viewing the live races on televisions, the fans were in the bleachers, which were nearly full, making it look like a much larger crowd. He said he was pleased that contributions from Oak Tree showed tangible positive results. Commissioner Choper stated Oak Tree deserved a lot of credit. Chairman Winner said

the Board was delighted the partnership worked, and was looking forward to it continuing into future years.

**MEETING ADJOURNED AT 12:14 P.M.**

A full and complete transcript of the aforesaid proceedings are on file at the office of the California Horse Racing Board, 1010 Hurley Way, Suite 300, Sacramento, California, and therefore made a part hereof.

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Chairman

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Executive Director

**CALIFORNIA HORSE RACING BOARD**

**AUGUST 21, 2014**  
**REGULAR BOARD MEETING**

**There is no board package material for Item 2**

**CALIFORNIA HORSE RACING BOARD**

**AUGUST 21, 2014**  
**REGULAR BOARD MEETING**

**There is no board package material for Item 3**

STAFF ANALYSIS  
DISCUSSION AND ACTION BY THE BOARD REGARDING DELEGATING AUTHORITY  
TO THE CHRB CHAIRMAN AND/OR THE EXECUTIVE DIRECTOR  
OF THE BOARD TO APPROVE CHRB SERVICE CONTRACTS  
ON BEHALF OF THE BOARD

Regular Board Meeting  
August 21, 2014

ISSUE

Pursuant to State Administrative Manual 2.06, state boards and commissions with contracts in excess of \$5,000, must provide a copy of a Board motion approving and authorizing execution of the contract.

ANALYSIS

For the most part CHRB staff can complete staff work necessary to ensure the full Board has an opportunity to discuss and authorize proposed service contracts prior to each contract start date. On occasion last minute changes or unexpected service contracts are necessary, and time will not allow staff to bring the issue before the Board for approval prior to the service start dates.

Both CHRB staff and contractors would benefit from an alternative method to gain Board authorization to execute a contract, instead of bringing the issue to a full Board vote. Service contracts are specific in scope, include a clear definition of deliverables, include a maximum contract dollar value, and must include a start and end date. On those occasions when time is of the essence, a practical alternative to a full Board vote would be to rely on a Board order, which delegates authority to the Chairman of the Board or Executive Director to authorize execution of service contracts, on behalf of the full Board.

This alternative would not address contract signature authority, which is vested with the Executive Director, the Assistant Executive Director or the Chief of Administration, but authority to execute the contracted services, granted to the Executive Director. CHRB staff must have written documentation indicating delegated authority to the Chairman of the Board or Executive Director to execute a service contract, which can be defined in an order, delegated by agreement of the full Board. This Continuing Resolution and Order remains in effect until withdrawn by the Board or Chairman.

Attached to this staff analysis is a Continuing Resolution and Order, delegating full Board authority to the Chairman of the Board or Executive Director, granting service contract execution authority.

RECOMMENDATION

Staff recommends the Board approve the attached Continuing Resolution and Order, giving staff the tool needed to quickly secure authorization to execute service contracts.

**BEFORE THE CALIFORNIA HORSE RACING BOARD****STATE OF CALIFORNIA**

In the Matter of the Authorization to Execute  
Documents and Agreements on Behalf of the  
California Horse Racing Board

Case No. SAC 14-0061

**CONTINUING RESOLUTION AND ORDER**

Pursuant to the authority granted the California Horse Racing Board by virtue of Business and Professions Code section 19428, the California Horse Racing Board at its noticed Meeting thereof August 21, 2014, set forth as Agenda Item No. 4, hereby authorizes the Chairman of the Board and the Board's Executive Director, together or individually, to execute and enter contracts and agreements on the behalf of the California Horse Racing Board, and it is so ordered.

The authority to execute and enter such contracts and agreements is ongoing and shall remain in full force and effect until it is amended, superseded or vacated by further Order of the California Horse Racing Board.

CALIFORNIA HORSE RACING BOARD

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Chuck Winner, Chairman

Dated: August 21, 2014

STAFF ANALYSIS  
DISCUSSION AND ACTION BY THE BOARD ON THE APPROVAL OF A CONTRACT  
WITH BIOMECHANICALLY APPLIED ENGINEERING  
TO PROVIDE CHRB HOOF TESTER DATA COLLECTION AND EVALUATION  
IN SUPPORT OF RACE TRACK SURFACE STANDARDS

Regular Board Meeting  
August 21, 2014

ISSUE

Pursuant to Section 2.06 of the State Contracting Manual, state boards and commissions with contracts in excess of \$5,000, must provide a copy of a Board motion approving and authorizing execution of the agreement/contract.

ANALYSIS

Biologically Applied Engineering (BAE) is an engineering support contractor for the California Horse Racing Board's (CHRB) Racing Safety Program and the Track Safety Standards project. The Racing Safety Standards address objectives to reduce the incidence of debilitating and fatal injuries at California racetracks and training facilities.

The BAE service contract is designed to maximize data collection obtained with CHRB's biomechanical hoof tester, where the data is analyzed and integrated into a data base with track surface variables, to be used as a comparison tool for California's Racing Safety Program.

BACKGROUND

In May 2014, in preparation of a new fiscal year start, CHRB staff provided, and the Board approved a list of service contracts necessary for daily operations. The service contract being presented Biologically Applied Engineering, was not finalized in time for inclusion in the May 2014 board meeting action, and is now being presented for board authorization to execute the contract.

RECOMMENDATION

Staff recommends the Board approve a one year service contract with Biologically Applied Engineering for the current Fiscal Year 2014/15, at an annual cost of \$48,000.00.

STAFF ANALYSIS  
DISCUSSION AND ACTION BY THE BOARD  
REGARDING THE  
PROPOSED AMENDMENT OF  
RULE 1632 JOCKEY'S RIDING FEE

Regular Board Meeting  
August 21, 2014

ISSUE

Assembly Bill 649, Chapter 605, Statutes of 2007, added section 19501 to the Business and Professions Code. Subsection 19501(b)(1) states that the scale of minimum jockey riding fees for losing mounts shall be increased whenever the state minimum wage is increased by the percentage of that increase. As of July 1, 2014 the California minimum wage rate was increased 12.5 percent per hour for all hours worked. The July 2014 minimum wage increase necessitates an amendment to Board Rule 1632, Jockey's Riding Fee, which currently provides a scale of jockey riding fees to be used in the absence of a contract or special agreement between the trainer/owner and jockey. The 12.5 percent increase to the losing mount fees causes the losing mount to earn more than the third place mount finishing with a gross purse of less than \$9,999, which could remove any incentive for a jockey to put forth his best effort. Therefore, it is necessary to amend not only the losing mount fees pursuant to Business and Professions Code section 19501; but also the 2<sup>nd</sup> and 3<sup>rd</sup> place mount fees in order to balance the Non-Winning Jockey Riding Fee scale.

ANALYSIS

Business and Professions Code subsection 19501(b)(1) provides for an increase for losing mounts on the scale of minimum jockey riding fees whenever the state minimum wage is increased by the percentage of that increase; however, it does not provide for an increase for the 2<sup>nd</sup> and 3<sup>rd</sup> place mounts. The 12.5 percent increase to the losing place mount produces a disparity for the 2<sup>nd</sup> and 3<sup>rd</sup> place mounts with a gross purse of \$9,999 or less. It is necessary to make an adjustment to the scale, otherwise jockey riding fees for 2<sup>nd</sup> and 3<sup>rd</sup> place finishers in races with purses of \$9,999 or less would be lower than the fee paid for a losing mount.

The proposed amendment to Rule 1632 will adjust the scale of jockey riding fees for losing mounts by 12.5 percent, as directed by Business and Professions Code section 19501. The proposal also applies the 12.5 percent adjustment to the scale of the jockey riding fees for all mounts not sharing in purse monies, to ensure that riders with 2<sup>nd</sup> and 3<sup>rd</sup> place mounts in races with a gross purse of \$9,999 or less receive more than a losing mounts.

### Non-Winning Jockey Riding Fees

GROSS PURSE	2nd Mount	3rd Mount	Losing Mount
\$100,000 and up: . . . .	5% of Place Purse, plus \$10.00	5% of Show Purse, plus \$10.00	<del>\$135.00</del> <u>\$151.88</u>
50,000-99,999: . . . .	5% of Place Purse, plus \$10.00	5% of Show Purse, plus \$10.00	<del>110.00</del> <u>123.75</u>
25,000-49,999: . . . .	5% of Place Purse, plus \$10.00	5% of Show Purse, plus \$10.00	<del>95.00</del> <u>106.88</u>
15,000-24,999: . . . .	5% of Place Purse, plus \$10.00	5% of Show Purse, plus \$10.00	<del>85.00</del> <u>95.63</u>
10,000-14,999: . . . .	5% of Place Purse, plus \$10.00	5% of Show Purse, plus \$10.00	<del>80.00</del> <u>90.00</u>
5,000-9,999: . . . . .	<del>\$90.00</del> <u>\$101.25</u>	<del>\$77.00</del> <u>\$86.63</u>	<del>75.00</del> <u>84.38</u>
3,500-4,999: . . . . .	<del>80.00</del> <u>90.00</u>	<del>70.00</del> <u>78.75</u>	<del>65.00</del> <u>73.13</u>
2,000-3,499: . . . . .	<del>70.00</del> <u>78.75</u>	<del>65.00</del> <u>73.13</u>	<del>63.00</del> <u>70.88</u>
1,500-1,999: . . . . .	<del>60.00</del> <u>67.50</u>	<del>58.00</del> <u>65.25</u>	<del>58.00</del> <u>65.25</u>

### BACKGROUND

Business and Professions Code section 19420 states jurisdiction and supervision over meetings in California where horse races with wagering on their results are held or conducted, and over all persons or things having to do with the operation of such meetings, is vested in the California Horse Racing Board. Business and Professions Code section 19440 provides that the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of this chapter. Responsibilities of the Board shall include adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering. Business and Professions Code section 19562 provides that the Board may prescribe rules, regulations, and conditions, consistent with the provisions of this chapter, under which all horse races with wagering on their results shall be conducted in California.

Board Rule 1632, Jockey's Riding Fee, provides a scale of jockey riding fees to be used in the absence of a contract or special agreement.

Assembly Bill (AB) 649, Chapter 605, Statutes of 2007, added section 19501 to the Business and Professions Code. Section 19501(b)(1) provides that effective January 1, 2012, the scale of minimum jockey riding fees for losing mounts shall be increased by \$10 per mount over the rate in effect on December 31, 2011, except the lowest fees on the scale shall be increased by \$5.

Section 19501(b)(2) provides that effective January 1, 2012, the minimum amount awarded to the jockey who finishes second or third in a race shall be increased by five dollars (\$5) over the amount required to be paid on December 31, 2011. This subdivision shall apply to races in which the purse is nine thousand nine hundred ninety-nine dollars (\$9,999) or less.

Rule 1632, Jockey's Riding Fee was amended November 28, 2011, to comply with Business and Professions Code section 19501(b)(1) and (b)(2).

## RECOMMENDATION

Staff recommends the Board direct staff to initiate a 45-day public comment period regarding the proposed amendment to Board Rule 1632.

CALIFORNIA HORSE RACING BOARD  
 TITLE 4. CALIFORNIA CODE OF REGULATIONS  
 ARTICLE 6. ENTRIES AND DECLARATIONS  
 PROPOSED AMENDMENT OF  
 RULE 1632 JOCKEY'S RIDING FEE

Regular Board Meeting  
 August 21, 2014

1632. Jockey's Riding Fee.

(a) Winning jockey riding fees in the absence of a contract or special agreement are:

<b>GROSS PURSE</b>	<b>Winning Mount</b>
\$100,000 and up: . .	10% of Win Purse
50,000-99,999: . .	10% of Win Purse
25,000-49,999: . .	10% of Win Purse
15,000-24,999: . .	10% of Win Purse
10,000-14,999: . .	10% of Win Purse
5,000-9,999: . . .	10% of Win Purse
3,500-4,999: . . .	10% of Win Purse
2,000-3,499: . . .	10% of Win Purse
1,500-1,999: . . .	10% of Win Purse

(1) The purpose of subsection (a) is not to set a minimum or maximum fee. It provides a fee if the parties have not made a written agreement to the contrary.

(2) All fees pursuant to subsection (a) are payable in the lower purse range until the next higher purse range is reached, unless there is a written agreement to the contrary.

(b) Non-winning jockey riding fees are:

<b>GROSS PURSE</b>	<b>2nd Mount</b>	<b>3rd Mount</b>	<b>Losing Mount</b>
\$100,000 and up: . . .	5% of Place Purse, plus \$10.00	5% of Show Purse, plus \$10.00	<del>\$135.00</del> <u>\$151.88</u>
50,000-99,999: . . . .	5% of Place Purse, plus \$10.00	5% of Show Purse, plus \$10.00	<del>110.00</del> <u>123.75</u>
25,000-49,999: . . . .	5% of Place Purse, plus \$10.00	5% of Show Purse, plus \$10.00	<del>95.00</del> <u>106.88</u>
15,000-24,999: . . . .	5% of Place Purse, plus \$10.00	5% of Show Purse, plus \$10.00	<del>85.00</del> <u>95.63</u>
10,000-14,999: . . . .	5% of Place Purse, plus \$10.00	5% of Show Purse, plus \$10.00	<del>80.00</del> <u>90.00</u>
5,000-9,999: . . . . .	<del>\$90.00</del> <u>\$101.25</u>	<del>\$77.00</del> <u>\$86.63</u>	<del>75.00</del> <u>84.38</u>
3,500-4,999: . . . . .	<del>80.00</del> <u>90.00</u>	<del>70.00</del> <u>78.75</u>	<del>65.00</del> <u>73.13</u>
2,000-3,499: . . . . .	<del>70.00</del> <u>78.75</u>	<del>65.00</del> <u>73.13</u>	<del>63.00</del> <u>70.88</u>
1,500-1,999: . . . . .	<del>60.00</del> <u>67.50</u>	<del>58.00</del> <u>65.25</u>	<del>58.00</del> <u>65.25</u>

(1) The purpose of subsection (b) is to set a minimum, but not a maximum riding fee. No non-winning jockey shall be paid less than the riding fee set forth in subsection (b).

(2) All fees pursuant to subsection (b) are payable in the lower purse range until the next higher purse range is reached unless there is a written agreement to the contrary. However, no such written agreement shall reduce the minimum required by subsection (b).

(c) A jockey's fee is considered earned when the jockey is weighed out by the clerk of scales. The fee shall not be considered earned if the jockey elects to take himself off of his mount. If there is a substitution of jockeys, no additional jockey fee or double jockey fee need be paid except when ordered by the stewards.

(d) In this rule "Win Purse" means the amount paid the winning horse less the fees paid exclusively by the owner to enter the horse in the race;

(1) Entry, nomination or other fees paid shall not be deducted from a jockey riding fee if such fees are paid or reimbursed by any person or entity other than the owner.

(e) If the parties agree on the fee to be paid the jockey, a contract or agreement in writing signed by the jockey or his agent and the owner or his authorized agent specifying the agreed upon fee if a winning mount, second place mount, third place mount and losing mount shall be delivered to the paymaster of purses before the running of the race in question. The paymaster of purses shall debit the owner's purse account under the contract or written agreement. If no contract or written agreement is submitted before the running of the race in question, the paymaster of purses shall debit the owner's purse account under the fee scale set forth in this rule.

(f) A jockey may not share in the fees of another jockey.

Authority: Sections 19440, 19501 and 19562,  
Business and Professions Code.

Reference: Sections 19401(a), 19401(d), 19420, 19440, 19501, and 19502,  
Business and Professions Code.

**CALIFORNIA HORSE RACING BOARD**

**AUGUST 21, 2014**  
**REGULAR BOARD MEETING**

There is no board package material for Item 7

**CALIFORNIA HORSE RACING BOARD**

**AUGUST 21, 2014**  
**REGULAR BOARD MEETING**

**Item 8 has been removed from the agenda**

## STAFF ANALYSIS

August 21, 2014

Issue: APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE BIG FRESNO FAIR AT FRESNO OCTOBER 1-13, 2014.

The Big Fresno Fair (Fresno) filed its application to conduct a horse racing meeting at Fresno:

- October 1-13, 2014, or 9 days, the same as 2013. Fresno proposes to race a total of 100 races, the same as 2013. Fresno will be simulcasting only on October 1 and October 8, 2014 and live racing will begin on October 2, 2014.
- The proposed race dates are the approved dates allocated to the fair.

October – 2014						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

- Racing 4 days the first week and 5 days the second week. Ten races Thursdays, Fridays and Monday; twelve races Saturday, October 4 and Sunday, October 5; and 13 races Saturday, October 11<sup>th</sup> and Sunday, October 12<sup>th</sup>.
- Number of horses available determines the number of daily races programmed by breed.
  - 2013 Race Meeting: Average number of runners per race (TB): 7.13
  - 2013 Race Meeting: Average number of runners per race (Arabian): 5.8
  - 2013 Race Meeting: Average number of runners per race (Quarterhorse): 7.36
  - 2013 Race Meeting: Average number of runners per race (Mules): 6.33
- Racing concurrently with the Los Angeles Turf Club II, Inc. racing association at Santa Anita Park.
- First post 12:15 p.m. Saturday and Sunday, 1:15 p.m. Monday, Thursday and Friday.

Wagering program will use CHRB and ARCI rules.

- \$1 Place Pick and \$1 Trifecta \$0.10 Superfecta when applicable.
- \$0.50 Pick 4 on first 4 races and last 4 races.
- \$0.50 Pick Five on last 5 races with 100% major pool paid for 5 of 5. Otherwise 25% minor pool paid to most winners, 75% added to carryover.
- \$0.20 Pick (n) Pool on the last six thoroughbred races with 100% paid for unique ticket selecting 6 winners. Otherwise 40% minor pool paid to tickets selecting most winners, 60% major pool added to carryover.
- \$0.20 Pentafecta selecting first five finishers in same race with 100% paid to unique ticket with first 5 winners. With no unique ticket 40% minor pool paid to tickets selecting 5 winners, 60% major pool added to carryover. If no ticket selects 5 winners 100% is added to carryover.

- Early wagering will not be offered.
- The Advance Deposit Wagering (ADW) providers are TVG, Twin Spires, Xpressbet, and Bet America.
- Pursuant to Business and Professions Code section 19604, specific provisions must be met before an ADW provider can accept wagers.

*Summary of Business and Professions code 19604*

*To accept wagers on races conducted in California from a resident of California.*

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

*To accept wagers on races conducted outside of California from a resident of California.*

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

Documents received in compliance with Business and Professions code 19604:

- ODS Technologies, L.P. dba TVG Network has submitted all documents required in compliance with Business and Professions Code section 19604.
- XpressBet, LLC, has submitted all documents required in compliance with Business and Professions Code section 19604.
- Churchill Downs Technology Initiatives Company, dba Twinpires, has submitted all documents required in compliance with Business and Professions Code section 19604.
- Lien Games Racing, LLC, dba Bet America, has submitted all documents required in compliance with Business and Professions Code section 19604.
- Simulcasting conducted with other out-of-state racing jurisdictions pursuant to Business and Professions Code Section 19602; and with authorized locations throughout California.
- Inspection of backstretch worker housing has been requested and will be completed prior to start of race meet.
- Track safety inspection has been requested and will be completed prior to start of race meet.

RECOMMENDATION:

Staff recommends the Board approve the application.

STATE OF CALIFORNIA  
 CALIFORNIA HORSE RACING BOARD  
 APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF A CALIFORNIA FAIR  
 CHRB-18 (Rev.07/11)

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting of a California fair as authorized by Article 6.5 of the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and in accordance with applicable provisions and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

### 1. APPLICANT FAIR ASSOCIATION

A. Name, mailing address, telephone, and fax numbers of fair:

**21<sup>st</sup> District Agricultural Association**  
**The Big Fresno Fair**  
**1121 South Chance Avenue**  
**Fresno, Ca. 93702**  
**(559) 650-3247; FAX (559) 650-3226**

B. Fair association is a:  District Fair  County Fair  Citrus Fruit Fair  
 California Exposition and State Fair  Other qualified fair

C. Provide the name, telephone, and email address for the fair contact person:

**John C. Alkire, CEO, 559-650-3355; [jalkire@fresnofair.com](mailto:jalkire@fresnofair.com)**  
**Lauri King, Deputy Manager, 559-994-3135; [lking@fresnofair.com](mailto:lking@fresnofair.com)**

**NOTICE TO APPLICANT:** Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

### 2. DATES OF RACE MEETING

A. Inclusive dates allocated for race meeting: **October 1 – October 13, 2014**

B. Actual dates racing will be held: **October 2 - 5 & October 9 – 13, 2014**

C. Dates racing will NOT be held: **October 1, 6, 7, & 8; October 1 & 8 will be simulcast only.**

D. Total number of racing days: **9**

E. Days of the week races will be held:

Wed - Sun  Tues - Sat  Other (specify) **Thu-Sun, 1<sup>st</sup> week; Thu – Mon, 2<sup>nd</sup> week.**

### 3. RACING PROGRAM

#### CHRB CERTIFICATION

Application received: *6/10/14*  
 Reviewed: *7/29/14*

Hearing date: *8/21/14*  
 Approved date:  
 License number:

A. Total number of races: 100

B. Number of races by breed:

<input type="text" value="78"/>	Thoroughbreds	<input type="text" value="5"/>	Quarter Horses	<input type="text" value="0"/>	Appaloosas
<input type="text" value="9"/>	Arabians	<input type="text" value="0"/>	Paints	<input type="text" value="8"/>	Mules

C. Number of races daily:

	1 <sup>st</sup> Sun.	2 <sup>nd</sup> Sun.	2 <sup>nd</sup> Mon.	1 <sup>st</sup> Thurs.	2 <sup>nd</sup> Thurs.	1 <sup>st</sup> Fri.	2 <sup>nd</sup> Fri.	1 <sup>st</sup> Sat.	2 <sup>nd</sup> Sat.
Thoroughbred	9	10	8	8	8	8	8	9	10
Other Breeds	3	3	2	2	2	2	2	3	3
Total	12	13	10	10	10	10	10	12	13

D. Total number of stakes races by breed:

<input type="text" value="3"/>	Thoroughbreds	<input type="text" value="1"/>	Quarter Horses	<input type="text" value=""/>	Appaloosas
<input type="text" value="0"/>	Arabians	<input type="text" value="0"/>	Paints	<input type="text" value="2"/>	Mules

E. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each.

#### EMERGING BREEDS OVERNIGHT STAKES SCHEDULE

Sunday, October 5, 2014  
Mules, 3 Year Olds  
350 Yards

AMRA World Championship \$ 7,000 Guaranteed  
Futurity

Sunday, October 12, 2014  
Quarter Horses, 2 Years Old  
400 Yards

Fresno Quarter Horse \$ 10,000 Guaranteed  
Futurity  
20<sup>th</sup> Running

Sunday, October 12, 2014  
Mules, 3 Years Old & Up  
440 Yards

Fresno AMRA Gold Cup \$ 7,000 Guaranteed  
18<sup>th</sup> Running

### 2013 EMERGING BREEDS OVERNIGHT STAKES SCHEDULE

Sunday, October 6, 2013 Mules, 3 Year Olds 350 Yards	AMRA World Championship Futurity	\$ 7,000 Guaranteed
Sunday, October 13, 2013 Quarter Horses, 2 Years Old 400 Yards	Fresno Quarter Horse Futurity 19 <sup>th</sup> Running	\$ 8,000 Guaranteed
Sunday, October 13, 2013 Mules, 3 Years Old & Up 440 Yards	Fresno AMRA Gold Cup 17 <sup>th</sup> Running	\$ 7,000 Guaranteed
Saturday, October 12, 2013 Arabians, 3 Years Old & Up 1 1/16 Miles	K Cuch Jedah Stakes 16 <sup>th</sup> Running	\$8,000 Guaranteed

### 2013 THOROUGHBRED STAKES SCHEDULE

Charlie Palmer Futurity Two-year olds – One Mile	\$50,000 Added Overnight Stakes (Plus up to \$15,000 to Cal-Breds) Saturday, Oct 5
Bulldog Hdcp, 19 <sup>th</sup> Running Three-Year Olds & Upward, One & 1/8 Miles	\$75,000 Guaranteed Sunday, Oct 13

**THOROUGHBRED STAKES SCHEDULE**

Harris Farms Stakes Cal Breds Fillies & Mares, Three Year Olds & Upward – Six Furlongs	\$100,000 Golden State Series Guarantee Sunday, October 5
Harvest Stakes Three-Year Olds & Upward – Six Furlongs	\$50,000 Added Overnight Stakes (Plus up to \$15,000 to Cal-Breds) Saturday, October 11
Bulldog Hdcp, 20 <sup>th</sup> Running Three-Year Olds & Upward, One & 1/8 Miles	\$75,000 Guaranteed Sunday, Oct 12

F. Will provisions be made for owners and trainers to use their own registered colors?  
 Yes  No      If no, what racing colors are to be used:

G. List all post times for the daily racing program:

*\* As much as possible, we intend to align our post times to compliment the Santa Anita post times*

	MON//THURS/FRI	SATURDAY/SUNDAY
Race #1	1:15 p.m.	12:15 p.m.
Race #2	1:45 p.m.	12:45 p.m.
Race #3	2:15 p.m.	1:15 p.m.
Race #4	2:45 p.m.	1:45 p.m.
Race #5	3:15 p.m.	2:15 p.m.
Race #6	3:45 p.m.	2:45 pm
Race #7	4:15 p.m.	3:15 p.m.
Race #8	4:45 p.m.	3:45 p.m.
Race #9	5:15 p.m.	4:15 p.m.
Race #10	5:45 p.m.	4:45 p.m.
Race #11		5:15 p.m.
Race #12		5:45 p.m.
Race #13		6:15 p.m.

**NOTICE TO APPLICANT:** Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813.

**4. FAIR ASSOCIATION**

- A. Names of the fair directors:
- |  |                                     |
|--|-------------------------------------|
| <b>Debbie Jacobsen, Vice-President</b> | <b>Leta Ciavaglia, President</b>    |
| <b>Elizabeth Hudson</b>                | <b>Linda Mae Balakian Hunsucker</b> |
| <b>Larry Serpa</b>                     | <b>Jerry Pacheco</b>                |
| <b>Dora Westerlund</b>                 | <b>Ricky Vang</b>                   |
|  | <b>William "Bill" White</b>         |
- B. Names of the directors serving on the Racing Committee or otherwise responsible for the conduct of the racing program:  
**Chief Executive Officer John C. Alkire; Past Director Ardie Der Manouel**

C. Name and title of the fair manager or executive officer and the names and titles of all department managers and fair staff, other than those listed in 12B, who will be listed in the official program:

<b>John C. Alkire, CEO</b>	<b>Stacy Rianda, Deputy Manager II</b>
<b>Bryan Wayte, Pari-Mutuel Manager</b>	<b>Lauri King, Deputy Manager I</b>
<b>Steve Wood, Track Superintendent</b>	<b>John Heinrich, Maintenance Supervisor II</b>
<b>Frank Mirahmadi, Race Announcer/ Odds Maker</b>	<b>Larry Swartzlander, Director of Racing</b>

D. Name and title of the person(s) authorized to receive notices on behalf of the fair association and the mailing and email address of such person(s).

<b>Chief Executive Officer</b>	<b>Deputy Manager</b>
<b>John C. Alkire</b>	<b>Lauri King</b>
<b>1121 S. Chance Avenue</b>	<b>1121 S. Chance Ave</b>
<b>Fresno, Ca 93702</b>	<b>Fresno, Ca 93702</b>
<b><u>jalkire@fresnofair.com</u></b>	<b><u>lking@fresnofair.com</u></b>

**5. TAKE OUT PERCENTAGE**

1. Will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If yes, identify the wager and the proposed takeout percentage.

Yes  No

Wager(s) to be adjusted: \_\_\_\_\_ Proposed percentage: \_\_\_\_\_%

A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the fair association and the horsemen's organization for the meeting of the fair association accepting the wager.

**NOTICE TO APPLICANT:** Pursuant to Business and Professions Code section 19601.01 notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the board, unless otherwise specified in the notice.

**6. HANDLE HISTORY**

1. Complete the table below providing the last five years of handle and attendance for the fair association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation.

Year	Handle	Attendance	Number of Racing Day
2013	\$16,163,081	93,811	9 days
2012	\$15,414,006	95,139	9 days
2011	\$17,988,069	98,221	9 days
2010	\$17,619,553	102,463	10 days
2009	\$19,600,988	114,994	11 days

2008	\$7,297,871	109,848	11 days
2007	\$7,203,095	116,049	11 days

7. **PURSE PROGRAM (Excluding supplements, nominations, sponsorships, and starter fees):**

A. Purse distribution:

1. All races other than stakes:  
 Current meet estimate: 899,375  
 Prior meet actual: \$938,483

Average Daily Purse (7A1 ÷ number of days):  
 Current meet estimate: \$99,930  
 Prior meet actual: \$104,275

2. Overnight stakes:  
 Current meet estimate: \$175,000  
 Prior meet actual: \$80,000

Average Daily Purse (7A2 ÷ number of days):  
 Current meet estimate: \$19,444  
 Prior meet actual: \$8,888

3. Non-overnight stakes:  
 Current meet estimate: \$74,000  
 Prior meet actual: \$75,000

4. Total Purses: (7A1+7A2+ 7A3)  
 Current meet estimate: \$1,148,375  
 Prior meet actual \$1,093,483

Average Daily Purse (7A3 ÷ number of days):  
 Current meet estimate: \$8,222  
 Prior meet actual: \$8,333

- B. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):  
 Current meet estimate: \$86,639  
 Prior meet actual: \$91,392

- C. Payment to each recognized horsemen's organization contracting with the fair:

Current meet estimate:

Prior meet actual:

CTT	\$ 2,695	\$ 2,843
TOC	\$ 5,390	\$ 5,686
NTRA	\$ 1,598	\$ 1,686
PCQHRA	\$ 2,206	\$ 2,328
CWAR	\$ -0-	\$ -0-
ARAC	\$ 1,612	\$ 1,701
AMRA	\$ 3,278	\$ 3,458
CHBPAPEN	\$ 8,086	\$ 8,530
CTHF	<u>\$ 8,086</u>	<u>\$ 8,530</u>
Total	\$32,954	\$34,762

D. Amount from all sources to be distributed at the meeting in the form of purses or other benefits to horsemen (7A+7B+7C):

Current meet estimate: \$1,267,968

Prior meet actual: \$1,219,637

Average Daily Purse (7D ÷ number of days):

Current meet estimate: \$140,885

Prior meet actual: \$135,515

E. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s):

Current meet estimate: \$986,715

Prior meet actual: \$1,040,839

Average Daily Purse (7E ÷ number of days):

Current meet estimate: \$109,635

Prior meet actual: \$115,648

F. Purse funds to be generated from interstate handle:

Current meet estimate: \$108,932

Prior meet actual: \$114,908

Average Daily Purse (7F ÷ number of days):

Current meet estimate: \$12,103

Prior meet actual: \$12,767

G. Bank and account number for the Paymaster of Purses' purse account:

West America Bank (C.A.R.F.) TOC Account No. on-file. Emerging Breeds Account No. on-file.

H. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting:

Disher Accountancy Corporation, 1816 Maryal Drive, Sacramento, CA. 95864, (916) 482-4224

**NOTICE TO APPLICANT:** All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, **shall not** be deemed as income to the fair and **shall**, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt into such liability account. In the event the fair is obligated

to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the fair shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The fair is entitled thereafter to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the fair is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the fair may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

## 8. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held:  
**624 plus**
- B. Minimum number of stalls believed necessary for the meeting:  
**624**
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers:  
**None**
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site:  
**n/a**
- E. Attach each contract or agreement between the fair and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site.  
**n/a**

Complete subsections F through H if the fair will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the **1986** meeting, pursuant to Business and Professions Code section 19535(c).  
**1,037**
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per-day per stall:  
**n/a**
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for Vanning per-horse: **-0-**

## 9. PARI-MUTUEL WAGERING PROGRAM

- A. Is the fair applicant a member of the California Authority of Racing Fairs (CARF)? If yes, attach a copy of the CARF recommended wagering format. Yes  No
- B. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, fairs may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each. If applicant is a member of CARF, also indicate if wager is a part of the CARF recommended wagering format:



March 31, 2014

The following is the CARF wagering format:

Example Race	TYPE OF WAGERS \$1 E; \$1 Double	APPLICABLE RULES CHRB #1959; RCI #VE
Race #1	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF \$.50PNP4	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 CHRB #1976.9
Race #2	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1
Race #3	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF \$.10PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1958, CHRB #1957, CHRB #1979.1 ARCI 004-105 G. (g)
Race #4	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP5 \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 CHRB 1976.9, ARCI 004-105 G. (g)
Race #5	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB 1976.9, ARCI 004-105 G. (g)
Race #6	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB 1976.9, ARCI 004-105 G. (g)
Race #7	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB 1976.9 CHRB 1976.9, ARCI 004-105 G. (g)
Race #8	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB #1976.9, ARCI 004-105 X-Pentafecta (5) Option 4
Race #9	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 CHRB #1976.9, ARCI 004-105 X-Pentafecta (5) Option 4
Race #10	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4
Race #11	\$1E, \$1TRI, \$2DD, \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4
Race #12	\$1E, \$1TRI, \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4
Race #13	\$1E, \$1TRI, \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4

[Type text]

[Type text]



This format will be use at the following fairs: Alameda County Fair, The California State Fair, Humboldt County Fair, San Joaquin County Fair and the Big Fresno Fair.

11B: The NCOTWINC agreement is current.

Respectfully Yours,

A handwritten signature in cursive script that reads "Larry A. Swartzlander".

Larry A. Swartzlander  
Chief Operating Officer

\$.20 PNP6 on the last six thoroughbred races each day. 100% pool paid and any prior carryovers for unique ticket selecting six winners. If no unique ticket selects six winners then the 40% minor pool that day is paid to the tickets selecting the most winners and the 60% major pool will be added to the carryover.

\$.20 Pentafecta (Super High 5) selecting the first five finishers in the same race. 100% pool paid and any prior carryovers paid for a unique ticket selecting the first five finishers. If no unique ticket selects the first five finishers then 40% minor pool paid to tickets selecting the first five finishers and 60% of major pool will be added to the carryover. If no ticket selects the first five finishers 100% of the pool will be added to the carryover. Super High-5 will be carded on the last race of the day.

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

Type	Rule Number
Exacta (1E)	1959
Daily Double (2DD)	1957
Superfecta (.10SF)	1979.1
Pentafecta (.20 PF)	ARCI 004-105
PK 3 (1PK3)	1977
PK 4 (.50PNP4)	1976.9
PK 5 (.50PNP5)	1976.9
PK 6 (.20PNP6)	1976.9

Example Race	TYPE OF WAGERS	APPLICABLE RULES	CARFWAGERINGFORMAT
	\$1 E; \$1 Double	CHRB #1959; RCI #VE	<input checked="" type="checkbox"/> Yes X
Race #1	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF \$.50PNP4	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 CHRB #1976.9	
Race #2	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1	
Race #3	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$1PPN% \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979 ARCI 004-105 G. (g)	
Race #4	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 ARCI 004-105 G. (g)	
Race #5	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB #1976.9, ARCI 004-105 G. (g)	
Race #6	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4 \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB #1976.9, ARCI 004-105 G. (g)	
Race #7	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50 PNP4 \$.50PNP5, \$.20PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB 1976.9, ARCI 004-105 G. (g)	
Race #8	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.50PNP4, \$.50PNP5, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 CHRB 1976.9, ARCI 004-105 X-Pentafecta (5) Option 4	
Race #9	\$1E, \$1PK3, \$1TRI \$2DD, \$.10SF, \$.50PNP4 \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1, CHRB #1976.9 ARCI 004-105 X-Pentafecta (5) Option 4	

Race #10	\$1E, \$1PK3, \$1TRI, \$2DD, \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4
Race #11	\$1E, \$1TRI, \$2DD \$.10SF, \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1957, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4
Race #12	\$1E, \$1TRI, \$.10SF \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4
Race #13	\$1E, \$1TRI, \$.10SF \$.20 SUPER HIGH 5	CHRB #1959, CHRB #1979, CHRB #1979.1 ARCI 004-105 X-Pentafecta (5) Option 4

C. Maximum carryover pool to be allowed to accumulate before its distribution OR the date(s) designated for distribution of the carryover pool: **October 13<sup>th</sup>, 2014 (Closing Day of The Big Fresno Fair)**

D. List any options requested with regard to exotic wagering:  
**\$1 PPN when applicable; \$1 TRI and \$0.10 SF when applicable**  
**\$0.50 PNP4 on the first four races and the last four thoroughbred races each day**  
**\$0.50 PNP5 on the last five thoroughbred races each day, with 100% major pool paid for 5 of 5. If no 5 of 5, 75% carryover and 25% minor pool to most winners.**  
**\$.20 PNP6 on the last six thoroughbred races each day. 100% pool paid and any prior carryovers for unique ticket selecting six winners. If no unique ticket selects six winners then the 40% minor pool that day is paid to the tickets selecting the most winners and the 60% major pool will be added to the carryover.**  
**\$0.20 Pentafecta (Super High 5) selecting the first five finishers in the same race. 100% pool paid and any prior carryovers paid for a unique ticket selecting the first five finishers. If no unique ticket selects the first five finishers then 40% minor pool paid to tickets selecting the first five finishers and 60% of major pool will be added to the carryover. If no ticket selects the first five finishers 100% of the pool will be added to the carryover. Super High-5 will be carded on the last race of the day.**

E. Will "advance" or "early bird" wagering be offered?  Yes  No

If yes, when will such wagering begin. Specify days and time for "early bird" wagering:

F. Type(s) of pari-mutuel or totalizator equipment to be used by the fair and the simulcast organization, the name of the person(s) supplying equipment, and expiration date of the service contract:

**Sportech (Anthony Lovell) Expiration: September 30, 2015.**

**Equipment description on file with Board.**

#### 10. ADVANCE DEPOIST WAGERING (ADW)

A. Identify the ADW provider(s) to be used by the fair for this race meeting:

**Express Bet, T.V.G. (Television Games Network), Twin Spires & Bet America**

B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race



May 14, 2014

Mr. Larry Swartzlander  
 Chief Operating Officer  
 CARF  
 1776 Tribute Road, Suite 205  
 Sacramento, CA 95815

RE: 2014 California Authority of Racing Fairs (CARF) Interstate Simulcast Approvals

Dear Larry,

This letter shall serve as TOC's authorization for the California Authority of Racing Fairs (CARF) to transmit the audiovisual signal of CARF Thoroughbred races to, and accept wagers on those races placed through, the out-of-state betting systems ("Guests") in accordance with the conditions of, and at Host Fee rates no lower than those specified in the rate sheet provided to TOC by Monarch Content Management ("Monarch") on behalf of CARF, during the CARF racing season commencing June 19, 2014, continuing through October 13, 2014.

As a condition of TOC's authorization of the acceptance of wagers on CARF races by Guests which engage in phone and/or Internet wagering, herein called "ADW," CARF shall take all reasonable steps to distinguish between ADW and other wagering handled through such Guests, including requiring tote vendors of both CARF and Guest to facilitate the separation of ADW and non-ADW data in reports used by CARF and/or CHRIMS to account for and settle balances between CARF and Guest.

TOC's authorization shall not serve, nor should it be construed as, a precedent to future requests for permission to simulcast to the Guests specified herein or to any other Guest. TOC reserves the right to cancel or withdraw its authorization for any Guest, at any time, upon 48 hours written notice.

Pursuant to AB-701, raising the takeout to fund Workers' Compensation costs, CARF shall collect the incremental increase in takeout as an addition to the money room adjustment, for the transmittal to the Fund in accord with such legislation. Should any Guest herein authorized fail to enter into a contractual obligation with CARF to allocate this additional takeout in this manner, TOC authorization for that site is hereby immediately rescinded.

Please feel free to contact me, should you have any questions.

Sincerely,

Joe Morris  
 President

cc: Tom Varela, Monarch Mgt.

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 ROBERT B. LEWIS (1997-2001)  
 GARY BURKE (2001)  
 JACK B. OWENS (2001-03, 04-05,  
 2011)  
 RON CHARLES (2003-04)  
 ALAN LANDSBURG (2005-07)  
 MARSHA NAIFY (2007-10)  
 ARNOLD ZETCHER (2010-11)

CORPORATE OFFICE

285 W. HUNTINGTON DRIVE  
 ARCADIA, CA 91007  
 T: 626-574-6620  
 F: 626-821-1515  
 WWW.TOCONLINE.COM

March 10, 2014

Chief Operating Officer  
CARF  
1776 Tribute Road, Suite 205  
Sacramento, Ca 95815

RE: 2014 California Authority of Racing Fairs Interstate Simulcast Approvals

Dear Larry,

This letter shall serve as ARAC's authorization for the California Authority of Racing Fairs (CARF) to transmit the audiovisual signal of CARF Arabian races to, and accept wagers on those races placed through, the out-of-state betting systems ("Guests") in accordance with the conditions of, and at Host Fee rates no lower than those specified in the rate sheet provided to ARAC by Monarch Content Management LLC ("Monarch") on behalf of CARF, during the CARF racing season commencing June 19, 2014, continuing through October 13, 2014.

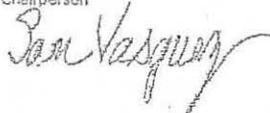
As a condition of ARAC's authorization of the acceptance of wagers on CARF races by Guests which engage in phone and/or internet wagering, herein called "ADW", CARF shall take all reasonable steps to distinguish between ADW and other wagering handled through such Guests, including requiring both vendors of both CARF and Guests to facilitate the separation of ADW and non-ADW data in reports used by CARF and/or CHRIMS to account for and settle balances between CARF and Guests. ARAC's authorization shall not serve, nor should it be construed as, a precedent to future requests for permission to simulcast to the Guests specified on the list provided by Monarch or to any other Guest. ARAC reserves the right to cancel or withdraw its authorization for any Guest, at any time, upon 48 hours written notice.

Please feel free to contact me if you have any questions.

Sincerely,

Sam Vasquez, ARAC

Chairperson



[Text text]

[Text text]



## Pacific Coast Quarter Horse Racing Association

March 4, 2014

Chief Operating Officer  
 CARF  
 1776 Tribute Road, Suite 205  
 Sacramento, Ca 95815

RE: 2013 California Authority of Racing Fairs Interstate Simulcast Approvals

Dear Larry,

This letter shall serve as PCQHRA's authorization for the California Authority of Racing Fairs (CARF) to transmit the audiovisual signal of CARF Quarter Horse races to, and accept wagers on those races placed through, the out-of-state betting systems ("Guests") in accordance with the conditions of, and at Host Fee rates no lower than those specified in the rate sheet provided to PCQHRA by Monarch Content Management LLC ("Monarch") on behalf of CARF, during the CARF racing season commencing June 18, 2014, continuing through October 13, 2014.

As a condition of PCQHRA's authorization of the acceptance of wagers on CARF races by Guests which engage in phone and/or Internet wagering, herein called "ADW", CARF shall take all reasonable steps to distinguish between ADW and other wagering handled through such Guests, including requiring tote vendors of both CARF and Guests to facilitate the separation of ADW and non-ADW data in reports used by CARF and/or CHRIMS to account for and settle balances between CARF and Guests. PCQHRA's authorization shall not serve, nor should it be construed as, a precedent to future requests for permission to simulcast to the Guests specified on the list provided by Monarch or to any other Guest. PCQHRA reserves the right to cancel or withdraw its authorization for any Guest, at any time, upon 48 hours written notice.

Please feel free to contact me if you have any questions.

Sincerely,

Dominic Bud Alessio

President

[Type text]

[Type text]

March 5, 2014

Chief Operating Officer  
CARF  
1776 Tribute Road, Suite 205  
Sacramento, Ca 95815

RE: 2013 California Authority of Racing Fairs Interstate Simulcast Approvals

Dear Larry,

This letter shall serve as AMRA's authorization for the California Authority of Racing Fairs (CARF) to transmit the audiovisual signal of CARF Mule races to, and accept wagers on those races placed through, the out-of-state betting systems ("Guests") in accordance with the conditions of, and at Host Fee rates no lower than those specified in the rate sheet provided to AMRA by Monarch Content Management LLC ("Monarch") on behalf of CARF, during the CARF racing season commencing June 18, 2014, continuing through October 13, 2014.

As a condition of AMRA's authorization of the acceptance of wagers on CARF races by Guests which engage in phone and/or Internet wagering, herein called "ADW", CARF shall take all reasonable steps to distinguish between ADW and other wagering handled through such Guests, including requiring tote vendors of both CARF and Guests to facilitate the separation of ADW and non-ADW data in reports used by CARF and/or CHRIMS to account for and settle balances between CARF and Guests. AMRA's authorization shall not serve, nor should it be construed as, a precedent to future requests for permission to simulcast to the Guests specified on the list provided by Monarch or to any other Guest. AMRA reserves the right to cancel or withdraw its authorization for any Guest, at any time, upon 48 hours written notice.

Please feel free to contact me if you have any questions.

Sincerely,

Don Jacklin

President



[Type text]

[Type text]



June 17, 2014

Mr. Larry Swartzlander  
 Chief Operating Officer  
 CARF  
 1776 Tribute Road, Suite 205  
 Sacramento, CA 95815

RE: 2014 California Authority of Racing Fairs (CARF) ADW Approval – Lien Games

Dear Larry,

This is to confirm that Thoroughbred Owners of California, Inc. (TOC) authorizes and agrees that during the California Authority of Racing Fairs (CARF) 2014 racing season, commencing June 19, 2014 and continuing through October 13, 2014, California-licensed ADW provider Lien Games Racing LLC may accept wagers from California residents on races conducted at CARF and races conducted at tracks located outside of California.

It is TOC's understanding that the compensation rates and fees for this wagering activity are those specified in the Hub Agreement entered into May 1, 2014 by and between Lien Games Racing LLC and California Authority of Racing Fairs.

Should you have any questions, please contact me.

Very truly yours,

Joe Morris  
 President

cc: Chris Korby

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 JACK OWENS  
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 GARY BURKE (2001)  
 JACK B. OWENS (2001-03, 04-05,  
 2011)  
 RON CHARLES (2003-04)  
 ALAN LANDSBURG (2005-07)  
 MARSHA NAFFY (2007-10)  
 ARNOLD ZETCHER (2010-11)

CORPORATE OFFICE

285 W. HUNTINGTON DRIVE  
 ARCADIA, CA 91007  
 T: 626-574-6620  
 F: 626-821-1515  
 WWW.TOCONLINE.COM

June 19, 2014

Chief Operating Officer  
CARF  
1776 Tribute Road, Suite 205  
Sacramento, Ca 95815

RE: 2014 California Authority of Racing Fairs ADW Approvals

Dear Larry,

This is to confirm that the Arabian Racing Association of California (ARAC) authorizes and agrees that during the California Authority of Racing Fairs (CARF) 2014 racing season, commencing June 19, 2014 and continuing through October 13, 2014, California-licensed ADW provider Lien Games Racing LLC may accept wagers from California residents on races conducted at CARF and races conducted at tracks located outside of California.

It is ARAC's understanding that the compensation rates and fees for this wagering activity are those specified in the Hub Agreement entered into May 1, 2014 by and between Lien Games Racing LLC and California Authority of Racing Fairs.

Please feel free to contact me if you have any questions.

Sincerely,

Sam Vasquez

Chairperson



06/23/2014 15:55 6195440744



## Pacific Coast Quarter Horse Racing Association

June 19, 2014

Chief Operating Officer  
CARF  
1776 Tribute Road, Suite 205  
Sacramento, Ca 95815

RE: 2014 California Authority of Racing Fairs ADW Approvals

Dear Larry,

This is to confirm that the Pacific Quarter Horse Racing Association (PCQHRA) authorizes and agrees that during the California Authority of Racing Fairs (CARF) 2014 racing season commencing June 19, 2014 and continuing through October 13, 2014, California-licensed ADW provider Lien Games Racing LLC may accept wagers from California residents on races conducted at CARF and races conducted at tracks located outside of California.

It is PCQHRA's understanding that the compensation rates and fees for this wagering activity are those specified in the Hub Agreement entered into May 1, 2014 by and between Lien Games Racing LLC and California Authority of Racing Fairs.

Please feel free to contact me if you have any questions.

Sincerely,

Dominic Alessio  
President

June 19, 2014

Chief Operating Officer  
CARF  
1776 Tribute Road, Suite 205  
Sacramento, Ca 95815

RE: 2014 California Authority of Racing Fairs ADW Approvals

Dear Larry,

This is to confirm that the American Mule Racing Association (AMRA) authorizes and agrees that during the California Authority of Racing Fairs (CARF) 2014 racing season, commencing June 19, 2014 and continuing through October 13, 2014, California-licensed ADW provider Lien Games Racing LLC may accept wagers from California residents on races conducted at CARF and races conducted at tracks located outside of California.

It is AMRA's understanding that the compensation rates and fees for this wagering activity are those specified in the Hub Agreement entered into May 1, 2014 by and between Lien Games Racing LLC and California Authority of Racing Fairs

Please feel free to contact me if you have any questions.

Sincerely,

Don Jacklin

President

*Don Jacklin*

*6/23/14*

*President*

*American Mule Racing Association*

meeting. **On file with CHRB.**

C. Have the contract/agreements been approved by the respective horsemen's groups?

Yes  No

If yes, attach a copy of the approval.

If no, explain the status of the approval.

**NOTICE TO APPLICANT:** Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

## 11. SIMULCAST WAGERING PROGRAM

A. Simulcast organization engaged by the fair to conduct simulcast wagering:

**Northern California Off-Track Wagering, Inc. (NOCTWINC)**

B. Attach the agreement between the fair and simulcast organization permitting the organization to use the fair's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.

**On File**

C. California simulcast facilities the fair proposes to offer its live audiovisual signal:

### NORTHERN CALIFORNIA

Alameda County Fair, Pleasanton  
Bankers Casino, Salinas  
Big Fresno Fair, Fresno  
California State Fair & Exposition, Sacramento  
Club One, Fresno  
Golden Gate Fields, Albany

\*Humboldt County Fair, Ferndale

Jockey Club at San Mateo, San Mateo

Monterey County Fair, Monterey  
San Joaquin County Fair, Stockton  
Santa Clara County Fair, San Jose  
Shasta District Fair, Anderson  
Solano County Fair, Vallejo  
Sonoma County Fair, Santa Rosa  
Stanislaus County Fair, Turlock

### SOUTHERN CALIFORNIA

Barona Valley Ranch Resort & Casino, Lakeside

Cabazon Fantasy Springs Casino, Indio  
Commerce Casino Racebook, Commerce  
Derby Club, Seaside Park, Ventura  
Fairplex Park, Pomona  
Fantasy Springs Casino, Indio  
Los Alamitos Race Course, Los Alamitos  
Mermaid Tavern, Thousand Oaks  
OC Tavern & Sports Bar, San Clemente  
Roadhouse Grill, Santa Maria  
Santa Anita Park, Arcadia  
Santa Clarita Lanes, Santa Clarita  
Shalimar Sports Center, Indio  
Sports Center, San Bernardino  
Sports Pavillion at The Farmers Fair, Lake Perris  
Sports Pavillion, San Bernardino Cty. Fair, Victorville  
Surfside Race Place at Del Mar, Del Mar  
Sycuan Gaming Center, El Cajon  
Viejas Casino & Turf Club, Alpine  
Watch & Wager, Antelope Valley Fgds, Lancaster

\*Open only during Humboldt/Ferndale Fair Racing

D. Out-of-state wagering systems the fair proposes to offer its live audiovisual signal:

Out-of-State & International Imports – Full and/ or Partial Card (Subject to Change)

<b>DOMESTIC</b>	
ARLINGTON	10/1-10/14
BELMONT	10/1-10/14
CALDER RACECOURSE	10/1-10/14
CANTERBURY DOWNS	10/1-10/14
CHARLES TOWN	10/1-10/14
CHURCHILL DOWNS	10/1-10/14
COLONIAL DOWNS	10/1-10/14
DELAWARE	10/1-10/14
EMERALD DOWNS	10/1-10/14
EVANGELINE DOWNS	10/1-10/14
INDIANA DOWNS	10/1-10/14
LONE STAR	10/1-10/14
LOUISIANA DOWNS	10/1-10/14
MONMOUTH	10/1-10/14
MOUNTAINEER	10/1-10/14
PENN NATIONAL	10/1-10/14
PHILADELPHIA PARK/PARX	10/1-10/14
PIMLICO	10/1-10/14
PRAIRIE MEADOWS	10/1-10/14
PRESQUE ISLE DOWNS	10/1-10/14
RIVER DOWNS	10/1-10/14
RUIDOSO	10/1-10/14
SUFFOLK DOWNS	10/1-10/14
THISTLEDOWN	10/1-10/14
YAVAPAI DOWNS	10/1-10/14
<b>INTERNATIONAL</b>	
ASSINIBOIA	10/1-10/14
AUSTRALIAN RACING	10/1-10/14
FORT ERIE	10/1-10/14
HASTINGS	10/1-10/14
NORTHLANDS PARK	10/1-10/14
SOUTH AMERICAN RACING	10/1-10/14
UNITED KINGDOM	10/1-10/14
WOODBINE	10/1-10/14

E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the fair:

**2014 CARF  
Commingled Locations**

AmWest Entertainment	Delta Downs	Meadows The	Scioto Downs
Amwest Accounts	Dover Downs	Meadows The (ADW)	Seabrook Greyhound
Greenbrier (WV)	Ebet	Millers OTB	SoI Mutuel
Riders Up (SD)	Elite Turf Club (1 to 10)	Mobile Greyhound	Southland Greyhound
Time Out Lounge (SD)	Ellis Park	Monmouth Park	Sports Creek Raceway
Triple Crown (SD)	Emerald Downs	Montana OTB	State Fair (Lincoln , NE)
Arapahoe-Mile High	Euro Wagering Services	Monticello	Suffolk District OTB
Colorado phone	Evangeline Downs	Mountaineer Park	Suffolk Downs
Arima Race Club	Fair Grounds	Mt. Pleasant Meadows	Pat's Pizza
Arlington I	Fair Grounds ADW	Nassau Regional OTB	Sunland Park
Atlantic City Racecourse	Fair Meadows	New Jersey Casino Assoc.	SunRay Park & Casino

Atokad	Finger Lakes	Nevada Pari-Mutuel Assoc.	Tampa Bay Downs
Balmoral	Fonner	Newport Jai Alai	Taunton Acct Wagering
Balmoral ADW (BETZOTIC)	Freehold	NJ Mobile	Taunton Dog Track Inc.
Bangor Raceway	Gillespie County Fair	Northfield	The Downs at Albuquerque
Batavia	Global Wagering Solutions	Cedar Downs OTB	Thistledown
Bet Fair Games Limited	Bwin International Ltd.	Northville	Tioga Downs
Beulah Park	Intl Betting Assoc. Ltd	NYRA	Tri-State GH (Mardi Gras)
Birmingham	Magna Bet	NYRA Account Wagering	Turf Paradise
Bluffs Run Greyhound	Racebets, etc	Oaklawn	Turfway Park
Buffalo Raceway	Greenetrack	Ocean Downs	TVG Network
Calder Racecourse	Greyhound @ Post Falls	Panama	TVG Yonkers
Canterbury Day	Gulf Greyhound	Penn National	Twin River Greyhound
Capital District OTB	Gulfstream	Penn National Telebet	TwinSpires
Catskills OTB	Harrington Raceway	Peru	TwinSpires High Volume
Charles Town Race Course	Hawthorne Race Course	Philadelphia Park	Venezuela OTB
Chester Downs & Marina LLC	Hawthorne ADW	Philly Park Phone Bet	Vernon Downs
Chester Downs Acct Wagering	Hazel Park	Phumelela	Western OTB
Churchill Downs	Hoosier Park	Pinnacle Race Course	Wheeling Downs
Club Hipica InTurf	Horseman's Park	Plainridge Race Course	Will Rogers Downs
Coeur d' Alene Casino	Indiana Downs	Plainridge Telephone Wagering	Wyoming
Coeur d' Alene Account	Evansville OTB	Player Management Group	XpressBet
Colonial Downs	Clarksville OTB	Pocono Account Wagering	Yavapia Downs
Colonial Downs Phone Bet	Intermountain Racing	Pocono Downs and OTB	Yonkers Raceway
Columbus Raceway	Keeneland	Portland Meadows	Yonkers Account
Connecticut OTB	Keeneland Select ADW	Potawatomi Casino/OTB	Youbet Group 1
Bradley Teletheater, Bristol	Kentucky Downs	Prairie Meadows	Youbet Illinois
New Britain, Norwalk, Milford	Kentucky OTB	Premier Turf Club	Zia Park
East Haven, Hartford, Putnam	Lebanon	Presque Isle Downs	
Shoreline Star, Sports Haven	Les Bois (Treasure Valley)	Raceway Park	
Torrington, Waterbury	Lewiston OTB's	Racing2Day LLC	
Manchester, New London	Lien Games	Racing2Day Intl. (Stan James)	
Willimantic	Chips Lounge and Casino	Remington Park	
John Martin's Manor Restaurant	Howard Johnsons OTB	Remington OTB Network	
Connecticut OTB ADW	Rumors OTB	Retama	
Paragon Casino	Skydancer Casino OTB	Racing & Gaming Services	
Ho-Chunk Casino and Racebook	BetAmerica and Win2wager	Ripley de Venezuela (Caliente)	Separate Pool Locations
Mohegan Sun Casino	Lone Star	River Downs	Caymanas Park, Jamaica
Oneida Bingo and Casino	Louisiana Downs	Rockingham Park	Hippodromo Camarero, PI
Pony Bar Simulcast Center	LVDC	Ruidoso Downs	MIR Books (Caliente)
Tote Investment Racing	Atlantis Paradise Casino	Running Aces Harness Park	LVDC
Randall James Racetrack	Avatar Ventures	Sam Houston	
Millenium Racing	Pojoaque Cities of Gold	Valley Greyhound Park	
Royal Beach Casino	Foxwoods Resort Casino	Saratoga Harness Raceway	
Divi Carina Bay Casino	Meskwaki Bingo & Casino	Saratoga Bets (ADW)	
Fair Chance	Maronas (South America)	Scarborough	
Corpus Christi Greyhound	Maryland Jockey Club		
Cypress Bayou OTB	Maywood		
Delaware	Meadowlands		

**Canadian Locations**

Assiniboia, Barrie, Charlottetown, Clinton Teletheatre, Dresden, Elmira Raceway, Evergreen Park, Exhibition Park, Flamboro Downs, Fort Erie  
 Fraser Downs, Fredericton Raceway, Georgian Downs, Grand River, Hanover Raceway, Hastings Park, Hiawatha, Inverness Raceway  
 Kawartha Downs, Marquis Downs, Mohawk, New Brunswick, Northlands, Northside Downs, Picov Downs, Quinte Raceway, Rideau Carlton,  
 Rocky Mountain Turf Club, Royal Britiana Hub, St. Johns, Sudbury Downs, Summerside, TBC Sandown, TBC Teletheaters  
 Truro Raceway, Western Fair, Windsor Raceway, Woodbine, Woodstock/Ontario

- F. California mini-simulcast facilities the fair proposes to offer its live audiovisual signal:  
 OC Tavern & Sports Bar, San Clemente; Commerce Club, City of Commerce; Fresno Club One, Mermaid Tavern, Original Road House Grill, Santa Clarita Lanes, Bankers Casino
- G. List the host tracks from which the fair proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

**NOTICE TO APPLICANT:** Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

**THOROUGHBRED SIMULCAST RACES TO BE IMPORTED**

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
See 11D above.	TBD	

- H. List imported simulcast races the fair plans to receive during the racing meeting which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

**OTHER BREED SIMULCAST RACES TO BE IMPORTED**

Name of Host Track	Breed of Horse	Race Dates	Number of Races to be Imported
N/A			

- I. If any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing agreement by the appropriate racing association(s).  
 N/A

**NOTICE TO APPLICANT:** All interstate wagering to be conducted by a fair is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by a fair is subject to the provisions of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every fair shall pay to the simulcast organization within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every fair shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

## 12. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

### A. Racing officials nominated:

Association Veterinarian(s)	F.G. Franklin - DVM Track & Fitness Veterinarian Don Dooley – CHRB Veterinarian
Clerk of Scales	Cheryl White
Clerk of the Course TB	Dawn Schmid
Clerk of the Course EB	Dolores Collins
Film Specialist/Asst Clerk of Scales	Matt Nichols
Horse Identifier	Darrell Sparks
Horseshoe Inspector	Maurice Fitzpatrick
Paddock Judge/Patrol Judge	Linda Anderson
Patrol Judge	Ross Allardyce
Placing Judges	Danny Winnick/Myra Troske
Starter	Robert Mooneyhan
Timer	Melody Truitt

### B. Management officials in the racing department:

Director of Racing – **Larry Swartzlander**  
 Racing Secretary – **Tom Doutrich**  
 Assistant Racing Secretary – **Lisa Jones**  
 Paymaster of Purses – **Victoria Layne (C.A.R.F.)**  
 Others (identify by name and title)  
 Mutual Manager – **Bryan Wayte**

### C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards:

**Esquire Deposition Services, 155 E. Shaw Ave, Suite 201, Fresno, Ca. 93704 (559) 261-2324**  
**Tricia Martinez**

### D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract:

**Plusmic Corporation USA – Bill O'Brien (Expires 12/7/15)**

### E. Photopatrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks.

**Pegasus Communication, Inc. – Jim Porep) Contract Expires: April 30, 2018**  
**Equipment description on file with the Board: 5 Cameras – (3 Tower, 1 Pan, 1-Hand Held)**

### F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract:

**Pegasus Communication, Inc. – Jim Porep - Contract Expires April 30, 2018**

### 13. SECURITY CONTROLS

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers.

**Larry Swartzlander, Director of Racing**

**Cell: (916) 799-7084**

**Organizational Chart Attached**

- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis:

**Grandstand Security Guards: 8 Security Guards plus 2 Fresno Police Officers.**

**Stable Gate Area Security Guards: 6 Gatemen; 2 Stable Area Patrol; 4 Security Guards; and an on-ground stall superintendent live in the backside area and makes rounds throughout the night checking the horses and stalls.**

1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls.

**One security guard shall be assigned to observe each horse entered in a \$100,000 race. The guard will assume their position 6 hours prior to the post time of the stakes race. The guard will have a video surveillance camera, a communications device and a notepad. The guard must log every person who enters the stall of the race horse. Information logged will include name, time, CHRB license number and type of license.**

2. Detention Stalls:

- A. Attach a plan for use of graded stakes or overnight races.

**Addressed in B1 above.**

- B. Number of security guards in the detention stall area during a 24-hour period.

**N/A**

- C. Describe number and location of surveillance cameras in detention stall area.

**N/A**

3. TCO2 Testing:

- A. Number of races to be tested, and number of horses entered in each race to be tested.

**All horses in thoroughbred races where the number is determined by a random algorithm generator.**

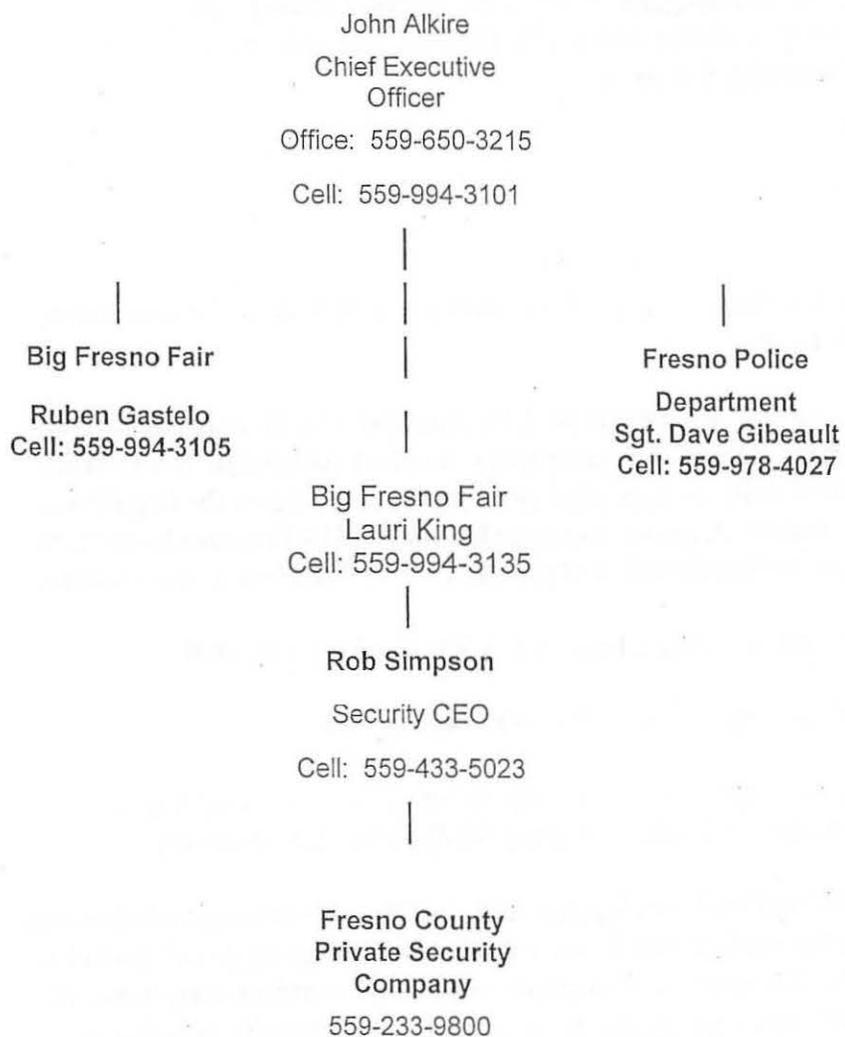
- B. Plan for enhanced surveillance for trainers with high-test results.

**Trainer with high test results will be moved to the detention area.**

- C. Plan for detention stalls for repeat offenders.

**Ten (10) stalls adjacent to Test Barn, which are under 24-hour video surveillance**

13 A. FRESNO SECURITY ORGANIZATION CHART



- D. Number of security personnel assigned to the TCO2 program.  
**One (1) 24-hour security guard when detention stalls are occupied.**

- C. Describe the electronic security system.  
**C.A.R.F. surveillance equipment and program that travels between racing Fairs.**  
 1. Location and number of video surveillance cameras for the detention stall and stable gate.  
**4 surveillance cameras monitoring this area**

#### 14. EMERGENCY SERVICES

- A. Name, address and emergency telephone number of the ambulance service to be used during workouts and the running of the races:

American Ambulance shall provide an Advanced Life Support (ALS) ambulance with minimum staffing of one paramedic and one emergency medical technician at racetrack workouts from 06:30 until 10:00 daily as requested prior to the opening of the Big Fresno Fair. In addition to scheduled racetrack workouts, an ambulance will be provided from 12:00 until the end of racing each day, for the duration of the Big Fresno Fair, October 2-14, 2013.

American Ambulance, 2011 E. Tulare Street, Fresno, CA 93721 (559) 443-5900

Emergency Contact:

CCEMSA Communications Center Supervisor (559) 600-7406 or 911

1. Attach a certification from the Ambulance Company(s) listed in 14A, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

Fresno County Contract 07-292 and CCEMSA policy 200, specifies American Ambulance as the paramedic provider servicing the "Fresno County Exclusive Operating Area", including the area of the Big Fresno Fair. Licensing and certification as well contract compliance is monitored by the Central California Emergency Medical Services Authority as well as the California Emergency Medical Services Authority

See Attached Letter from American Ambulance.

- B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:

American Ambulance, 2011 E. Tulare Street, Fresno, CA 93721 (559) 443-5900

Emergency Contact Numbers:

CCEMSA Communications Center Supervisor: (559) 600-7406 or 911

American Ambulance Field Supervisor: (559) 259-0054

Fresno Fair First-Aid Station: (559) 253-7417

The Fresno Fair First-Aid Station is manned by 2 medical teams during Fair hours of 11:00am-11:00pm on weekdays and 10:00am-12:00am on weekends. These teams have a minimum staffing of one paramedic and one emergency medical technician.

June 20, 2013

Lauri King  
Deputy Manager  
Big Fresno Fair  
1121 S. Chance Ave  
Fresno, CA 93702

Dear Lauri:

All of the personnel staffing ambulances supplied by American Ambulance are currently certified and have met the certification requirements set forth by the Central California Emergency Medical Services Agency (CCEMSA) in addition to meeting the licensure and/or certification requirements of the California Emergency Medical Services Authority.

Paramedic's meet all of the requirements outlined in CCEMSA policy 133 (attached).

Emergency Medical Technician's meet all of the requirements outlined in CCEMSA policy 112 (attached).

All of the paramedic ambulances providing service to the Big Fresno Fair are defined as Advanced Life Support Unit (ALSU): ***An ambulance unit which is equipped and staffed to provide Paramedic level patient care***, as outlined in CCEMSA policy 291 (attached).

Please contact me if you require further information about the credentialing or licensure of EMT's and Paramedics that will be providing emergency medical services to participants and patrons of the Big Fresno Fair.

Russ Richardson  
Quality Improvement Manager  
American Ambulance  
2911 East Tulare Ave  
Fresno, CA 93721  
(559) 443-5915

Please See Attached FORM 112, 133, 200, 291 & FORM 547 in this section with Fresno County Procedures.

1. Attach a certification from the Ambulance Company(s) listed in 14B, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.

See Attached Letter from American Ambulance.

Fresno County Contract 07-292 and CCEMSA policy 200, specifies American Ambulance as the paramedic provider servicing the "Fresno County Exclusive Operating Area", including the area of the Big Fresno Fair. Licensing and certification, as well contract compliance is monitored by the Central California Emergency Medical Services Authority.  
See Attachment from Fresno County.

Each paramedic ambulance is equipped to provide Advanced Life Support as outlined in CCEMSA policy 291, including ECG monitoring, defibrillation, endotracheal intubation, needle thoracotomy, intravenous fluid, medications, spinal immobilization, splinting and hemorrhage control.

- C. Describe the on-track first aid facility, including equipment and medical staffing:

Each paramedic ambulance is equipped to provide Advanced Life Support as outlined in CCEMSA policy 291, including ECG monitoring, defibrillation, endotracheal intubation, needle thoracotomy, intravenous fluid, medications, spinal immobilization, splinting and hemorrhage control.

- D. Name and emergency telephone number of the licensed physician on duty during the race meeting:

Breana Siliznoff  
1933 N. Trinity  
Kerman, CA 93630  
559-977-8477

- D. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:

Community Medical Centers, 2823 Fresno Street, Fresno, Ca. 93715 (559) 459-6000.

- E. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey:

See Attached

- F. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):

## 12C First aid and Medical Staffing

The Big Fresno Fair provides emergency medical services which support comprehensive care for jockeys, track staff and allied personnel. Emergency care focuses on immediate stabilization, comfort and transportation of injured racetrack personnel to appropriate local hospitals.

The on-track ambulance is a paramedic staffed advanced life support unit, dedicated solely to racing and racing related events. The ambulance is present trackside during training and on the track during racing. This ambulance is responsible for providing emergency medical services and transportation. When the services of the on-track ambulance are required, an additional ambulance will immediately be sent to replace the on-track ambulance.

During regular fair hours, at least one or more advanced life support ambulances are available onsite, as well as a fully staffed first-aid station that can provide advanced and basic life support.

Additional EMS resources, including rescue and air ambulance, are immediately available in the local area.

Patients will be transported per CCEMSA policy 547, based on medical necessity and/or patient preference. There are 4 local receiving facilities that provide comprehensive emergency medical services, including a Level One Trauma Center.

Community Regional Medical Center (Level One Trauma Center),  
2823 Fresno St. Fresno CA 93721  
(559) 459-33308.

Saint Agnes Medical Center. 1303 E. Herndon, Fresno, CA 93711  
(559) 449-3205

Clovis Community Hospital, 2755 Herndon, Clovis CA 93611  
(559) 324-4040

Kaiser Foundation Medical Center, 7300 N Fresno St. Fresno, CA 93720  
(559) 448-5555

Onsite communication services are provided to ensure contact between all emergency care personnel. Communications are maintained between racing operations and the on-track ambulance via VHF radio, utilizing cell phones as a secondary resource. The on-track ambulance, additionally, maintains contact with the CCEMSA communications center through VHF and UHF radio, utilizing cellular service as a backup system.

The CCEMSA communications center is a Public Safety Answering Point. All personnel are informed to call 911 in the event emergency medical services are needed and other communications systems are not functional, and/or the on-track ambulance is disabled or otherwise unavailable.

The Big Fresno Fair provides the services of a Kimzey Horse Ambulance, as well as a senior experienced driver who is responsible for the evacuation and disposition of injured horses.

## 12F Medical Protocols and Procedures: English

In case of an accident on the racetrack, the following procedures shall be implemented:

### Track Ambulance

The track ambulance will travel immediately to the scene of an accident and assume triage and patient care responsibilities and evacuated.

### Security

1. As soon as possible, a member of the track security staff shall report to the scene of the accident and thereafter take direction from the EMT responsible for management of the accident scene. The track security representative shall be responsible for keeping bystanders away from the accident scene.
2. A member of the track security staff shall proceed to the Jockey's Room to secure the ambulance transfer area, as well as prevent visitation from bystanders from entering the accident area.
3. A member of the track security staff shall be responsible for escorting emergency vehicles.
4. The security staff shall be responsible for all crowd control activities.

### Racing Staff/Track Veterinarian

1. Upon arrival at the scene, the Outrider should hold the injured horse in order to prevent further harm to people, horses or property.
2. Horses with severe injuries should be transported off the track via the horse ambulance, whenever it is practical to do so.
3. The track veterinarian shall make the decision as to the necessity of euthanasia on the track.
4. A screen blocking the public's view of the injured horse shall be set up prior to the euthanasia procedure.
5. Outriders are responsible for the removal of any debris from the racetrack following the removal of the injured person or horse from the track.

### Plant Staff

1. The Horse Ambulance shall travel immediately to the scene of an accident whenever it appears that a horse will require transport.
2. Members of the plant department who are near the accident site shall assist in screening the accident scene from the public view and shall take direction from the EMT that is responsible that is responsible for the management of the accident scene.

### Announcer

1. The announcer shall make riders aware of the details of the situation (such as the location of a loose horse, the necessity to pull up, etc.), enabling them to take the necessary steps to mitigate additional problems.

### Senior Management

1. A senior management representative should quickly proceed to the location on the racetrack where the accident has occurred. The manager should report to other members of the management team as to the accident status.

2. An additional member of the management team should report to the video department in order to monitor the scene and access the extent of video coverage to be transmitted to the public.
3. A member of the management team should provide input as to announcements to be made by the track announcer.
4. A member of the senior management team should be responsible for seeing that information regarding the accident is communicated to the family member of the injurec. Efforts need to be made to escort family members to the hospital, if necessary. In this regard, a current compilation as to who should be notified in the case of an injured jockey is kept on file.
5. All public address announcements and responses to press inquiries are within the sole purview of the senior members of the management team then available.

### All Department Heads

All department heads shall communicate to their employees that, although intentions are good, the treatment of the injured rider must be left up to trained personnel, and all other employees must stay away from the scene of an accident.

### 12F Medical Protocols and Procedures: Spanish

#### Procedimiento en caso de Accidente en Big Fresno Fair

De ocurrir un accidente en el hipodromo, se debe hacer lo siguiente:

#### El personal de la Ambulancia

El personal de la ambulancia trasladarse inmediatamente al lugar del accidente siempre que lo necesario para tartar a la(s) victim(s).

#### Seguridad

1. Tan pronto como sea posible, un miembro de seguridad del hipodromo debera reportarse al lugar del accidente y desde ahi recibir las instrucciones del Paramedico responsable del lugar del accidente. El miembro de seguridad sera responsable de mantener a los transeuntes fuera del lugar del accidente.
2. Un miembro del departamento de seguridad del hipodromo se acercara al cuart del jockey para asquarar el area donde la ambulancia estara y prevenir que transeuntes y personas ajenas se acerquen.
3. Un miembro de seguridad del hipodromo sera responsable de escoltar a los vehiculos de emergencia.
4. Los miembros de seguridad seran responsable de controlar a la multitud.

#### Personal de Carreras/Vetennano del hipodromo

1. Ena vez en el lugar del accidente, el Outrider/escolta debera sejetar al caballo herido para evitar que lastime a la gente, a otros caballos o a la propiedad.
2. Los caballos muy mal heridoa deberan ser sacados de la pista con la ambulancia para caballos, siempre que sea posible hacerlo de esa manera.

3. El veterinario del hipodromo debera decidir si se sacrifica al caballo en la pista.
4. Sea posible hacerlo, se debe colocar la pantalla/screen para tapar la vista al publico, antes de iniciar el procedimiento de sacrificio del animal.
5. Los Outriders son responsables de remover cualquier desecho en la pista de modo de que la persona a caballo accidentado haya sido trasladado del lugar.

#### Personal de Planta/Plant Staff

1. La Ambulancia de Caballos debera trasladarse inmediatamente al lugar del accidente siempre que un caballo este severamente lesionado y necesite transporte.
2. Los miembros del departamento de planta que esten cerca del accidente deberan ayudar a tapar el lugar para que el publico no pueda ver lo que sucede, ademas deberan recibir instrucciones del Paramedico responsable del lugar del accidente.

#### Locutor

El locutor debera informar a los jinetes acerca de los detalles de la situacion (como la ubicacion del caballo suelto, la necesidad de adelantar, etc.) para que puedan hacer lo necesario y mitigar otros problemas.

#### Gerencia

1. Un representante de la gerencia se acercara rapidamente al lugar del accidente en el hipodromo. El gerente informara a los otros gerentes sobre las lesiones sufridas.
2. Otro representante de la gerencia debera informar al departamento de video para monitorear la escena y ver la cobertura de video que sera transmitida al publico.
3. Un miembro de la gerencia debera aportar con informacion sobre los anuncios que debera hacer el locutor.
4. Un miembro de la gerencia sera responsable de ver que la informacion con respecto al accidente sea dada a los familiares de los heridos. Se debe hacer lo necesario para acompañar a los familiares a los hospitales, de ser el caso. Al respecto, es necesario tener un registro de la persona a quien se debe comunicar en caso de que un jockey sufra un accidente.
5. Todos los anuncios publicos y respuestas a la prensa las realice unicamente el funcionario de gerencia de alto nivel que se encuentre disponible en ese momento.

#### Todos los Jefes de Departamento

Todos los Jefes de Departamento deben comunicar a sus empleados que, a pesar de que las intenciones sean buenas, el tratamiento de un jinete/jockey herido debe ser realizado por el personal calificado para ello, y todos los demas empleados deben permanecer lejos del lugar del accidente.

**John C. Alkire, CEO, Stacy Rianda, Deputy Manager II and Lauri King, Deputy Manager I**

- H. Attach a fire clearance from the fire authority having jurisdiction over the premises.

**Fire Inspection scheduled for late June.**

- I. Name of the workers' compensation insurance carrier for the fair and the number of the insurance policy (if self-insured, provide details):

**See Attached letter from California Fair Service Authority for General Liability and Workers Compensation coverage.**

- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the fair for payment of workers' compensation.

**See Attached letter from California Fair Service Authority for General Liability and Workers Compensation coverage.**

**NOTICE TO APPLICANT:** Every licensee conducting a horse racing meeting shall pursuant to Business and Professions Code section 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in Section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

STATE OF CALIFORNIA – NATURAL RESOURCES AGENCY  
 DEPARTMENT OF FORESTRY AND FIRE PROTECTION  
**OFFICE OF THE STATE FIRE MARSHAL**  
 HEALTH AND LIFE SAFETY DIVISION  
**INSPECTION REPORT**  
 EN-02 (12/08)



**INSPECTION REPORT**

FILE NUMBER <b>04-10-04-0001</b>		PAGE <b>01</b>	OF <b>01</b>	PAGE <b>01</b>
NAME OF FACILITY <b>The Big Fresno Fair</b>		HOURS	MINUTES	
NAME OF BUILDING <b>Fire Sprinklers Maintenance Reports-Horse Barns #18 to #42</b>				
ADDRESS <b>1121 S. Chance Ave. Fresno, Ca. 93702</b>				
DISCUSSED WITH <b>John Heinrich</b>		TITLE <b>Maintenance Supervisor</b>		
ACCOMPANIED BY <b>John Heinrich</b>		TITLE <b>Maintenance Supervisor</b>		

On June 19, 2014, a review of all fire sprinkler maintenance reports at the above mention facility accordance with 13108 of the Health & Safety Code; by George D. Mavrikis, Deputy State Fire Marshal who was accompanied by: John Heinrich – Maintenance Supervisor. The following was noted:

1. The Fire Sprinkler Systems service by Jorgenson & Co, indicating all systems in the above mention buildings indicating – **PASSED**.

###

RECEIVED BY <b>John Heinrich</b>	DATE <b>06/19/2014</b>
DEPUTY STATE FIRE MARSHAL <b>George D. Mavrikis</b>	DATE OF INSPECTION <b>06/19/2014</b>



April 29, 2014

To: California Horse Racing Board  
1010 Hurley Way, Suite 300  
Sacramento, CA 95825

Re: Big Fresno Fair/21st DAA  
1121 South Chance Avenue  
Fresno, CA 93702

Please be advised that the Big Fresno Fair/21st DAA is a member of the California Fair Services Authority (CFSA), and participates in the following self-insurance and loss pooling programs which are administered by CFSA:

#### I. COMPREHENSIVE GENERAL LIABILITY PROGRAM

- A. Primary Coverage \$750,000 self-insured retention California Fair Services Authority  
Coverage continuous until cancelled
- B. Excess Coverage \$9,250,000 in excess of \$750,000  
Coverage provided by Allied World Assurance Company  
Term: 01/01/2014 to 01/01/2015

#### II. WORKERS' COMPENSATION AND EMPLOYERS' LIABILITY

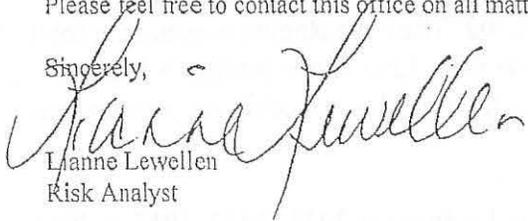
- A. Primary Coverage \$500,000 self-insured retention California Fair Services Authority  
Coverage continuous until cancelled
- B. Excess Coverage (a) Workers' Compensation: Statutory Limit in excess of \$500,000  
(b) Employers' Liability: \$4,500,000 in excess of \$500,000  
Coverage provided by CSAC Excess Insurance Authority  
Term: 07/01/2013 to 07/01/2015

CFSA represents to the California Horse Racing Board that within the above limits, terms and provisions of the coverage stated, to the extent provided by law, CFSA will provide defense, payment, and indemnification on loss funding in accordance with the terms of the contractual assumption of the Big Fresno Fair/21st DAA as set forth in the California Horse Racing Board's "Insurance Requirements".

You will be given at least thirty (30) days notice of any change in the foregoing information. We trust that this commitment will satisfy your insurance requirements.

Please feel free to contact this office on all matters including possible claims.

Sincerely,

  
Lianne Lewellen  
Risk Analyst

**15. CONCESSIONAIRES AND SERVICE CONTRACTORS**

- A. Names and addresses of all persons to whom a concession or service contract has been given, other than those already identified, and the goods and/or services to be provided by each:  
 Food & Non-Alcoholic Beverages

COMPANY	OWNER	ADDRESS	CONCESSION SERVICE
Pardini Fair Ventures, L.P.	James Pardini	2257 W. Shaw Fresno, CA 93711	Food & Beverage, Alcoholic & Non-Alcoholic Beverage
Speeda Sound	Mike King	5617 W. San Madele Fresno, Ca. 93722	Sound Equipment
Winner's Circle Restaurant	James Pardini	2257 W. Shaw Fresno, CA 93711	Cafe
Bob's Card, Inc.	Tiffany Bohland	6288 Highland Meadows Medina, OH 44256	Tip Sheets
Cal Horse	Rick Harris	26807 Proveda Mission Viejo, Ca. 92691	Tip Sheets
Vassar Photography	Bill Vassar	5075 Double Point Way Discovery Bay, CA 94505	Winner's Circle Photos
1 Sound View Loop	CARF Contract	South Salem, NY 10590	Starting Gate
Bailey Mobile Laundry	Frances Lorene Dutton	1129 Avenida Sevilla #7-B Walnut Creek, Ca. 94595-3741	Jockeys Laundry
Delmar Graphics	Del Scott	7806 Honors Court Pleasanton, CA 94588	Program Printing
NOTWINC	Bryan Wayte	11875 Dublin Blvd. Dublin, Ca. 94568	Armored Car Service

- B. Does the fair plan to provide its own concessions? Yes  No

**16. ON- TRACK ATTENDANCE/FAN DEVELOPMENT**

- A. Attach a copy of the promotional and marketing plans for the race meeting:  
**Please See Attached Marketing Plan**

- B. Promotional/ Marketing budget for this race meeting:  
**Please See Attached Marketing Plan**

Promotional/Marketing budget for prior race meeting:

As noted in our plan, the overall Operating Expense of Live Horse Racing is \$1,083,500. The overall Operating Expense of the Fair is \$10,450,302. The Live Racing expenses accounts for 9.65% of total Fair expenses. The overall Revenue from Live Horse Racing is \$1,214,000. The overall Revenue of the Fair is \$11,804,958. The Live Horse Racing revenue accounts for 9.72% of total revenue.

**Please See Attached Promotional & Marketing budget for 2011, 2012, 2013 & 2014.**

- C. Number of hosts and hostesses employed for meeting:

12

D. Describe facilities set aside for new fans:  
**Weekend and Holiday Handicapping Seminars, Created Beginner's Guide to Betting that will be available at each window.**

E. Describe any improvements to the physical facility in advance of the meeting that directly benefits:

- More than 6,350 trees planted as well as 156 boxed mature olive trees.
- Backside improvements to the tack rooms and new stall doors.
- Additional new permanent restrooms on the Backside.
- Grandstand second floor restrooms, new tile floors and new paint.
- Permanent grass planted in the infield; over two miles of sprinklers installed.
- Paddock: New recycled rubber tile floor and drainage system installed.
- New VIP paddock deck installed.
- Grandstand murals.
- Tote Board upgraded (electronically) plus a new water and rock feature.
- New 1890 Grandstand replica constructed for Kings Canyon customer gate entrance.
- Completely re-built eight barns and built 40 wash racks.
- Remodeled backside restaurant and new Satellite Wagering facility including new restrooms.
- Completely painted all barns and buildings on the backstretch.
- Tote Board refurbished with rock, plus a new 14' waterfall.
- Outside rail raised to meet CHRB standards for safety.

## 17. SCHEDULE OF CHARGES

A. Proposed charges, note any changes from previous year:  
 Proposed charges, note any changes from previous year:

Admission (general)	-	\$10.00	\$7.00 Child	\$7.00 Seniors
Admission (clubhouse)		\$10.00		
Reserved seating (general)		\$4.00		
Reserved seating (clubhouse)		\$10.00		
Parking (general)		\$5.00		
Parking (preferred)		\$8.00		
Parking (Chance Lot)		\$12.00		
Parking (valet)		N/A		
Programs (on-track)		\$2.50		
(off-track)		\$2.50		

B. Describe any "Season Boxes" or other special accommodation fees:

**Box seats are sold in advance - \$642.00 (Box of 4)**

**Daily box seats are sold individual at \$5/ea. (if available)**

C. Describe any "package" plans such as combined parking, admission and program:

**Season Passes will be sold for only \$30.00 which allows the horse players admission to the Fair every day of the Fair, a total of 12 days for only \$25.00**

## 18. JOCKEYS' QUARTERS

A. Check the applicable amenities available in the jockeys' quarters:

<input checked="" type="checkbox"/>	Corners (lockers and cubicles)	How many	<input type="text" value="23"/>
<input checked="" type="checkbox"/>	Showers	<input checked="" type="checkbox"/>	Steam room, sauna or steam cabinets
<input type="checkbox"/>	Masseur	<input checked="" type="checkbox"/>	Food/beverage service
		<input checked="" type="checkbox"/>	Lounge area
		<input checked="" type="checkbox"/>	Certified platform scale

B. Describe the quarters to be used for female jockeys:

**Quarters for female jockeys are the same as the men's quarters. Built in 1990 and 1991. Separate locker rooms, restrooms, sauna room, bunkroom, and shower room for female jockeys.**

## 19. BACKSTRETCH EMPLOYEE HOUSING

A. Inspection of backstretch housing was completed by (name) To Be Scheduled with CHRB.

B. Number of rooms used for housing on the backstretch of the racetrack:  
126 Tack Rooms

C. Number of restrooms available on the backstretch of the racetrack:

**Five trailers—one, 20-foot restroom trailers with two restrooms each available for both men and women; and two, 28-foot shower trailers with four showers and 1 restroom each available for both men and women and two 40 – foot trailers with two restrooms and four showers each available for both men and women.**

D. Estimated ratio of restrooms to the number of backstretch personnel:

**1 – Receiving Barn; 58 in RV Trailer Park; 3 Portable Toilets (2 @Gate #10; 1 @Gap)**

**1 – Racing Secretary's Office; 1 – Winner's Circle Café.**

## 20. TRACK SAFETY

A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line:  feet.

B. Describe the type of track surface at the facility, including the specific track surface composition:  
**Organic dirt, silt, clay, sand, and Fir bark**

C. The percent of cross slope in the straight-aways is: **5.3% - 5.4%**  
The percent of cross slope in the center of the turns is: **5.6%**

D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.

**Inner rail, metal (powder coated); outer rail, metal, aluminum and galvanized chainlink; inner rail supports, metal gooseneck; inner rail top shield is made of sheet metal; shield is 39" above the track surface.**

E. Name of the person responsible for supervision of the maintenance\*of the racetrack safety standards

pursuant to CHRB Rule 1474: **Steve Wood, Track Master**

- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474. **Attached.**
- G. If the fair is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing.

## 21. DECLARATIONS

- A. All labor agreements, concession and service contracts, and other agreements necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state):  
**No Exceptions**
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.  
**Horsemen's Agreement on file with CHRB.**
- C. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state):  
**No Exceptions**
- D. Absent natural disasters or causes beyond the control of the fair, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the fair except as follows (if no exceptions, so state):  
**No Exceptions**

**NOTICE TO APPLICANT:** Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

## 22. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the fair to attest to this application on its behalf.

John C. Alkire  
Print Name

CEO  
Print Title



Signature

June  
Date

STAFF ANALYSIS  
August 21, 2014

Issue: APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE PACIFIC RACING ASSOCIATION AT GOLDEN GATE FIELDS OCTOBER 16, 2014 THROUGH DECEMBER 21, 2014.

- Pacific Racing Association (PRA) filed its application to conduct a thoroughbred horse racing meeting at Golden Gate Fields. PRA proposes to conduct a thoroughbred race meeting October 16, 2014 through December 21, 2014, or 36 days. PRA proposes to race a total of 304 races, or 8.4 races per day. In the fall of 2013, PRA ran 36 days at GGF from October 16, 2013 through December 22, 2013. It ran a total of 304 races, or 8.4 races per day with an average of 7.98 runners per race during the 2013 fall meet. The average daily purse for the 2013 fall race meeting was \$158,573. The (estimated) average daily purse for this meet is \$156,379.

October - 2014						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

November - 2014						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30						

December - 2014						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30	31			

- Racing 4 days per week, Thursday through Sunday.
- 7 races on Thursdays except November 27<sup>th</sup> and December 11<sup>th</sup> where 8 races will be conducted; 8 races on Fridays except October 31<sup>st</sup> and November 28<sup>th</sup> where nine 9 races will be conducted; nine 9 races on Saturdays and Sundays except November 1<sup>st</sup>, December 13<sup>th</sup>, and December 14<sup>th</sup> where 10 races will be conducted. If the horse population permits, PRA may run additional races as long as it does not exceed an average of 8.4 races per day for the meet. If PRA plans to exceed this amount, it will request administrative approval.
- First post 12:45 p.m. daily with the following exceptions:
  - Friday, October 31, 2014 Breeders' Cup 12:05 p.m.
  - Saturday, November 1, 2014 Breeders' Cup 11:40 a.m.
  - Thursday, November 27, 2014 Thanksgiving 11:15 a.m.
  - Saturday, December 13, 2014 Closing Weekend 12:15 p.m.
  - Sunday, December 14, 2014 Closing Day 12:15 p.m.
- Request the option to change post times to coordinate north/south signals.
- Wagering program will use CHRB and ARCI rules.
  - Early wagering will be offered Friday, October 31, 2014.
  - Advance wagering offered Friday, October 31, 2014 for Breeder's Cup (Saturday, November 1, 2014).
  - Request for \$.50 Pick 5 - 14% takeout
  - Request for \$2 DD - Daily Double on all races - 20% takeout

- \$1 Pick 3 on eligible races; \$1 Trifecta on eligible races; \$.10 Superfecta on eligible races
  - \$.50 - Pick 4 on first four races and last four races
  - \$.50 - Pick 5 will be offered 100% payout to all tickets selective five winners
  - \$1 Super High Five in the last race selecting the first five finishers – No consolation 100% carryover if no ticket has five winners.
  - \$.20 PNP6 on the last six thoroughbred races each day. 70% major pool or carryover, and 30% to the minor pool.
- The Advance Deposit Wagering (ADW) providers are Xpressbet, TwinSpire, TVG, and WatchandWager.com, LLC.

Pursuant to Business and Professions Code section 19604, specific provisions must be met before an ADW provider can accept wagers

*Summary of Business and Professions Code section 19604*

*To accept wagers on races conducted in California from a resident of California.*

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

*To accept wagers on races conducted outside of California from a resident of California.*

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

Documents received in compliance with Business and Professions Code section 19604:

- ODS Technologies, L.P. dba TVG Network has submitted all documents required in compliance with Business and Professions Code section 19604.
  - XpressBet, LLC, has submitted all document required in compliance with Business and Professions Code section 19604.
  - Churchill Downs Technology Initiatives Company, dba TwinSpire, has submitted all documents required in compliance with Business and Professions Code section 19604.
  - WatchandWager.com, LLC, has submitted all documents required in compliance with Business and Professions Code section 19604.
- Simulcasting conducted with other out-of-state racing jurisdictions pursuant to Business and Professions Code section 19602; and with authorized locations throughout California.
  - Request Darrell Sparks be appointed horse identifier pursuant to CHRB Rule 1525.

- Track safety inspection has been requested and will be completed before the race meeting begins.
- Inspection of backstretch worker housing has been requested and will be completed before the race meeting begins.

Specific information **still needed** to complete this application includes:

1. CTT Agreement

Specific information which will need **updating** during the proposed race dates:

1. License for the Director of Hospitality and Sales will expire in November 2014.

#### RECOMMENDATION:

If the application is considered for approval, staff recommends a contingent approval pending the submission of outstanding items.

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting in accordance with the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

**1. APPLICANT ASSOCIATION**

A. Name, mailing address, telephone, fax numbers, and the email address for associations contact person:

**Pacific Racing Association**  
**1100 Eastshore Highway**  
**Berkeley, CA 94710**  
**Phone (510) 559-7300 Fax (510) 559-7464**  
**calvin.rainey@goldengatefields.com**

B. Breed of horse: TB  QH  H

C. Racetrack name: **Golden Gate Fields**

D. Attach a certified check payable to the Treasurer of the State of California in the amount of \$10,000 as deposit for license fees pursuant to Business and Professions Code section 19490. **On file with the Board**

E. Was the association licensed to operate a race meeting prior to January 1, 2001?

Yes  No

If no, attach a surety bond in the amount of one hundred thousand dollars. **N/A**

**NOTICE TO APPLICANT:** No application for a license to conduct a race meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of one hundred thousand dollars (\$100,000), or a greater amount, as determined by the board, which is sufficient to ensure payment of employee wages and benefits including, but not limited to, health, welfare, and pension plans. The surety bond shall be maintained during the period of the meeting and for an additional period, as determined by the board, sufficient to assure that all payments are made. This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years. The \$100,000 surety bond amount maybe increased to an amount determined by the Board at the time the application is scheduled for hearing pursuant to Business and Professions Code section 19464(b).

**NOTICE TO APPLICANT:** Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

**2. DATES OF RACE MEETING**

A. Inclusive dates allocated for the entire meeting: **October 16, 2014 through December 21, 2014**

B. Actual dates racing will be held: **October 16-19, 23-26, 30-31; November 1-2, 6-9, 13-16, 20-23, 27-30; December 4-7, 11-14**

C. Total number of days or nights of racing: **36 days**

<b>CHRB CERTIFICATION</b>	
Application received: 7-18-14	Hearing date: 8-21-14
Deposit received:	Approved date:
Reviewed: <i>cmw</i>	License number:

- D. Days or nights of the week races will be held:  
 Wed - Sun     Tues - Sat     Other (specify)

**Racing Thursdays through Sundays**

- E. Number of days or nights of racing per week:    4 days per week

**3. RACING PROGRAM**

- A. Total number of races:    304

B. Number of races for each day or night:    8.4 will be the average throughout the meet. We propose to conduct seven (7) races on Thursdays except November 27<sup>th</sup> and December 11<sup>th</sup> where 8 races will be conducted; eight (8) races on Fridays except October 31<sup>st</sup> and November 28<sup>th</sup> where nine (9) races will be conducted; nine (9) races on Saturdays except November 1<sup>st</sup> and December 13<sup>th</sup> where 10 races will be conducted, and nine (9) races on Sundays except December 14<sup>th</sup> where 10 races will be conducted. If the horse population permits, we may run additional races as long as we do not exceed an average of 8.4 races per day for the meet. If we plan to exceed this amount, we will request administrative approval.

- C. Total number of stakes races:    7    (3 Non-Overnight Stakes, 4 Overnight Stakes)

D. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each. Note the races that are designated for California-bred horses.  
**Stakes schedule attached along with comparative stakes schedule from 2013 and 2012**

- E. Will provisions be made for owners and trainers to use their own registered colors?  
 Yes     No    If no, what racing colors are to be used:

- F. List all post times for the daily racing program:

Race	Post Time All days except October 31, November 1, 27 December 13,14
1st	12:45 p.m.
2nd	1:15 p.m.
3rd	1:45 p.m.
4th	2:15 p.m.
5th	2:45 p.m.
6th	3:15 p.m.
7th	3:45 p.m.
8th	4:15 p.m.
9th	4:45 p.m.
10 <sup>th</sup> (when applicable)	5:15 p.m.

**Exceptions:**

Friday, October 31, 2014	Breeders' Cup	12:05 p.m. (subject to change)
Saturday, November 1, 2014	Breeders' Cup	11:40 a.m. (subject to change)
Thursday, November 27, 2014	Thanksgiving	11:15 a.m.
Saturday, December 13, 2014	Closing Weekend	12:15 p.m.
Sunday, December 14, 2014	Closing Day	12:15 p.m.

**PACIFIC RACING ASSOCIATION  
GOLDEN GATE FIELDS FALL 2014**

(October 16 – December 14, 2014)

**STAKES SCHEDULE  
ATTACHMENT 3 (D)**

**MISS AMERICA**

\$50,000 Added (Plus up to \$15,000 to Cal-Breds)

*Overnight Stakes*

Fillies & Mares 3yo & up -1 1/16 Turf

Saturday, October 19

**PIKE PLACE DANCER**

\$75,000 Guaranteed

*(Includes \$25,000 CMC supplement)*

2yo Fillies – 1 Mile Turf

Saturday, October 25

**GOLDEN NUGGET**

\$50,000 Added (Plus up to \$15,000 to Cal-Breds)

*Overnight Stakes*

2yo – 6 Furlongs

Saturday, November 8

**OAKLAND**

\$50,000 Added (Plus up to \$15,000 to Cal-Breds)

*Overnight Stakes*

3yo & up – 6 Furlongs

Saturday, November 15

**GOLDEN GATE DEBUTANTE**

\$50,000 Added (Plus up to \$15,000 to Cal-Breds)

*Overnight Stakes*

2yo Fillies – 6 Furlongs

Saturday, November 29

**BERKELEY HANDICAP G.III**

\$100,000 Guaranteed

3yo & up – 1 1/16

Sunday, November 30

**GOLD RUSH**

\$75,000 Guaranteed

2yo – 1 Mile

Saturday, December 6

**PACIFIC RACING ASSOCIATION  
GOLDEN GATE FIELDS FALL 2013**

(October 17 – December 15, 2013)

**STAKES SCHEDULE  
ATTACHMENT 3 (D)**

**MISS AMERICA**

\$50,000 Added (Plus up to \$15,000 to Cal-Breds)

*Overnight Stakes*

Fillies & Mares 3yo & up - 1 1/16 Turf

Saturday, October 19

**PIKE PLACE DANCER**

\$100,000 Guaranteed

*(Includes \$35,000 CMC supplement)*

2yo Fillies - 1 Mile Turf

Saturday, October 26

**GOLDEN NUGGET**

\$50,000 Added (Plus up to \$15,000 to Cal-Breds)

*Overnight Stakes*

2yo - 6 Furlongs

Saturday, November 9

**OAKLAND**

\$50,000 Added (Plus up to \$15,000 to Cal-Breds)

*Overnight Stakes*

3yo & up – 6 Furlongs

Saturday, November 16

**BERKELEY HANDICAP G.III**

\$100,000 Guaranteed

3yo & up – 1 1/16

Friday, November 29

**GOLDEN GATE DEBUTANTE**

\$50,000 Added (Plus up to \$15,000 to Cal-Breds)

*Overnight Stakes*

2yo Fillies – 6 Furlongs

Saturday, November 30

**GOLD RUSH**

\$75,000 Guaranteed

2yo – 1 Mile

Saturday, December 7

**PACIFIC RACING ASSOCIATION  
GOLDEN GATE FIELDS FALL 2012**

(October 18 – December 16, 2012)

**STAKES SCHEDULE  
ATTACHMENT 3 (D)**

**MISS AMERICA**

\$50,000 Added (Plus up to \$15,000 to Cal-Breds)

*Overnight Stakes*

Fillies & Mares 3yo & up - 1 1/16 Turf

Saturday, October 20

**PIKE PLACE DANCER**

\$100,000 Guaranteed

*(Includes \$35,000 CMC supplement)*

2yo Fillies - 1 Mile Turf

Saturday, October 27

**GOLDEN NUGGET**

\$50,000 Added (Plus up to \$15,000 to Cal-Breds)

*Overnight Stakes*

2yo - 6 Furlongs

Saturday, November 10

**ALL AMERICAN G.III**

\$100,000 Guaranteed

3yo & up - 1 1/16

Friday, November 23

**GOLDEN GATE DEBUTANTE**

\$50,000 Added (Plus up to \$15,000 to Cal-Breds)

*Overnight Stakes*

2yo Fillies -6 Furlongs

Saturday, December 1

**GOLD RUSH**

\$75,000 Guaranteed

2yo - 1 Mile

Saturday, December 8

**OAKLAND**

\$50,000 Added (Plus up to \$15,000 to Cal-Breds)

*Overnight Stakes*

3yo & up - 6 Furlongs

Saturday, December 15

**Request the option to change post time schedules in order to coordinate North/South signals**

**NOTICE TO APPLICANT:** Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813. For thoroughbred and quarter horse meetings, the total amount distributed for California-bred stakes races from the purse account, including overnight stakes, shall not be less than 10% of the total amount distributed for all stakes races pursuant to Business and Professions Code section 19568(b).

**4. RACING ASSOCIATION**

- A. Association is a:  Corporation (complete subsection C)  
 LLC (complete subsection D)  
 Other (specify, and complete subsection E)

- B. Complete the applicable subsection and attached Addendum, Background Information and Ownership. **Addendum on file with the Board**

**C. CORPORATION**

1. Registered name of the corporation: **Pacific Racing Association**
2. State where incorporated: **California**
3. Registry or file number for the corporation: **0480316**  
**Federal ID #94-1585367 California ID #173-1919-5**
4. Names of all officers and directors, titles, and the number of shares of the corporation held by each:  
**Keith Brackpool, Chairman (Officer), 0 Shares**  
**Tom Ludt, President (Officer), 0 Shares**  
**Peter W. Tunney, Executive Vice President (Officer), 0 Shares**  
**Calvin Rainey, Vice President & General Manager (Officer), 0 Shares**  
**Frank DeMarco, Jr., Vice President Regulatory Affairs and Secretary (Officer), 0 Shares**  
**Mike Rogers, Vice President, Operations (Officer/Director), 0 Shares**  
**Gina Lavo, Vice President, Finance (Officer), 0 Shares**  
**Jane Lynn, Assistant Secretary (Officer/Director), 0 Shares**
5. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: **TSG Developments Investments Inc., 100 Shares (formerly known as MI Developments, Inc.)**
6. Number of outstanding shares in the corporation: **100 Shares**
7. Are the shares listed for public trading?  Yes  No  
 If yes, on what exchange and how the stock is listed:
8. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: **Share register is with Jane Lynn, General Counsel in corporate office (Canada); Email: jane.lynn@stronachgroup.com**
9. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity: **TSG Development Investments Inc**
  - A. Is parent and/or paired corporation or entity a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F.  
 If yes, answer questions 10-17. Yes  No

10. Registered name of the corporation: **Not applicable**
11. State where incorporated: **Not applicable**
12. Registry or file number for the corporation: **Not applicable**
13. Names of all officers and directors, titles, and the number of shares of the corporation held by each: **Not applicable**
14. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each: **Not applicable**
15. Number of outstanding shares in the corporation:
16. Are the shares listed for public trading? Yes  No 

If yes, on what exchange and how the stock is listed: **Not applicable**
17. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation: **Not applicable**

## D. LLC

1. Registered name of the LLC: **Not applicable**
2. State where articles of organization are filed: **Not applicable**
3. Registry or file number for the LLC: **Not applicable**
4. Attach a list of the names of all members (including individuals (true names), corporations, other LLCs and or foreign entities), titles, and the number of shares of the LLC held by each: **Not applicable**
5. Are the shares listed for public trading? Yes  No 

If yes, on what exchange and how the stock is listed:
6. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity: **Not applicable**
  - A. Is parent and/or paired entity either a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F. If yes, answer questions 7-12. Yes  No
7. Registered name of the LLC/Corporation: **Not applicable**
8. State where articles of organization are filed: **Not applicable**
9. Registry or file number for the LLC: **Not applicable**
10. Attach a list of the names (true names) of all members (members may include individuals, corporations other LLCs and foreign entities), titles, and the number of shares of the LLC held by each: **Not applicable**
11. Are the shares listed for public trading? Yes  No
12. If yes, on what exchange and how the stock is listed:

## E. OTHER

1. Name(s) of partners/sole proprietor: **Not applicable**
2. If a partnership, attach partnership agreement. **Not applicable**

## F. FINANCIAL INFORMATION \*

1. Attach the most recent audited annual financial statement or financial report for the applicant. The financial statement or financial report shall include all relevant financial information specific to the applicant including: **On file**
  - Statement of Financial Position: also referred to as a balance sheet. Statement should report on applicant's assets, liabilities, contingent liabilities and ownership equity as of the date of the prepared statement.
  - Statement of Comprehensive Income: also referred to as Profit and Loss ("P&L") Statement. Statement should include report on applicant's income, expenses, and profits.

- Profit and Loss statement for prior two years race meeting and Profit and Loss statement for projected year race meeting.
- Statement of Changes in Equity: to include the changes of the applicants' equity through the reporting period.
- Statement of Cash Flows: to include a report of the applicant's cash flow activity, particularly its operating investing and financing activities during the reporting period.
- Copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the California Corporations Commission.

**\*NOTICE TO APPLICANT:** The financial information provided pursuant to subsection (F) above is exempt from disclosure pursuant to Government Code section 6254(k) and non-disclosable to the public.

#### G. MANAGEMENT AND STAFF

1. Name and title of the managing officer and/or general manager of the association and the name and title of all department managers and staff, other than those listed in 13B, who will be listed in the official program:

**Keith Brackpool, Chairman**  
**Tom Ludt, President**  
**Peter W. Tunney, Executive Vice President**  
**Calvin Rainey, Vice President and General Manager**  
**Mike Rogers, Vice President, Operations**  
**Frank DeMarco, Jr., Vice President, Regulatory Affairs and Secretary**  
**Gina Lavo, Vice President, Finance**  
**Jane Lynn, Assistant Secretary**  
**Merry Scalzo, Director of Administration**  
**Adam Njaa, Controller**  
**Jerry Aldoroty, Director of Hospitality and Sales**  
**Bryan Wayte, Mutuel Manager**  
**Tom Ferrall, Publicity Manager**  
**Bob Hemmer, Director of Operations**  
**Dan Cirimele, Senior Director of Marketing**  
**T.W. Johnson, Security Manager**  
**Michael Wrona, Announcer**  
**Juan Meza, Track Superintendent**  
**Steve Martinelli, Price Maker**  
**William Vassar, Track Photographer**  
**David Seftel, M.D., Track Physician**

2. Name and title of the person(s) authorized to receive notices on behalf of the association and the mailing and email address of such person(s).

**Calvin Rainey**  
**Vice President and General Manager**  
**1100 Eastshore Hwy**  
**Berkeley, CA 94710**  
[calvin.rainey@goldengatefields.com](mailto:calvin.rainey@goldengatefields.com)

**5. TAKE OUT PERCENTAGE**

1. If this is a thoroughbred race meeting, will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If yes, identify the wager and the proposed takeout percentage.

Yes  No

Wager(s) to be adjusted: **Pick 5** Proposed percentages: **14%**  
**Daily Double** **20%**

- A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the thoroughbred association and the horsemen's organization for the meeting of the thoroughbred association accepting the wager. **TOC approval letter attached**

**NOTICE TO APPLICANT:** Pursuant to Business and Professions Code section 19601.01 notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the board, unless otherwise specified in the notice.

**6. HANDLE HISTORY**

1. Complete the table below providing the last five years of handle and attendance for your racing association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation. If the racing association has changed ownership include the handle information for the previous racing association.

Year	Handle	Attendance
2013 (10/17 - 12/25/13)	\$ 13,074,833.00	68,675 (36 live race days)
2012 (10/17 - 12/25/12)	\$ 13,070,451.20	71,568 (35 live race days)
2011 (10/19 - 12/25/11)	\$ 14,141,548.10	80,148 (36 live race days)
2010 (10/20 - 12/25/10)	\$ 14,489,746.70	82,919 (42 live race days)
2009 (10/21 - 12/25/09)	\$ 17,259,602.40	90,856 (42 live race days)

**7. PURSE PROGRAM (Excluding supplements, nominations, sponsorships and starter fees.):**  
*Prior meet represents October 17 through December 15, 2013 (36 days)*

A. Purse distribution:

1. All races other than stakes:  
 Current meet estimate: **\$4,701,132.00**  
 Prior meet actual: **\$4,683,536.00**

Average Daily Purse (7 A1 ÷ number of days):  
 Current meet estimate: **\$130,587.00**  
 Prior meet actual: **\$130,098.22**

# Golden Gate Fields

July 11, 2014

Jackie Wagner  
Assistant Executive Director  
California Horse Racing Board  
1010 Hurley Way, Suite 200  
Sacramento, CA 95825

Dear Jackie:

Pursuant to California Business and Professions Code section 19601.01, Pacific Racing Association (PRA), after consultation with the Thoroughbred Owners of California (TOC), is hereby requesting takeout as set forth below to be offered during the PRA Fall race meet, October 16, 2014 through December 14, 2014.

- **Pick 5** – a \$.50 minimum wager on the first five (5) races on each day's card subject to a **takeout rate of 14%**.
- **Rolling Daily Double** - \$2.00 minimum wager offered every race on each day's card subject to a **takeout rate of 20%**.

For reference, the Pick 5 and Rolling Daily Doubles are noted on PRA's license application in Section 5A.

Please feel free to contact me with any questions or concerns.

Sincerely,



Calvin Rainey  
Vice President & General Manager

cc: Joe Morris



# TOC

Thoroughbred Owners of California  
Serving the California  
Thoroughbred Racehorse Owner

#### OFFICERS

MIKE PEGRAM  
CHAIR

PABLO SUAREZ  
VICE CHAIR, SOUTHERN CA.

JACK OWENS  
VICE CHAIR, NORTHERN CA.

NICK ALEXANDER  
SECRETARY/TREASURER

ED MOGER  
AT LARGE

#### DIRECTORS

BOB BAFFERT

MARK DEDOMENICO

MIKE HARRINGTON

RON ELLIS

TERRY LOVINGIER

WILLIAM E. MOREY

BILL STRAUSS

GEORGE TODARO

KATHY WALSH

MICHAEL WELLMAN

#### EXECUTIVE STAFF

JOE MORRIS  
PRESIDENT

KELLEE BREEN  
CHIEF FINANCIAL OFFICER

MARY FORNEY  
DIRECTOR OF OPERATIONS

RICHARD SCHEIDT  
NO. CA. DIRECTOR OF  
OPERATIONS

#### CHAIRS EMERITUS

ED FRIENDLY (1996-97)

ROBERT B. LEWIS (1997-2001)

GARY BURKE (2001)

JACK B. OWENS (2001-03, 04-05,  
2011)

RON CHARLES (2003-04)

ALAN LANDSBURG (2005-07)

MARSHA NAIFY (2007-10)

ARNOLD ZETCHER (2010-11)

#### CORPORATE OFFICE

285 W. HUNTINGTON DRIVE  
ARCADIA, CA 91007  
T: 626-574-6620  
F: 626-821-1515  
WWW.TOCONLINE.COM

July 4, 2014

Ms. Jackie Wagner  
California Horse Racing Board  
1010 Hurley Way, Suite 300  
Sacramento, CA 95825

RE: Pacific Racing Association (Golden Gate Fields) Fall Meet 2014

Dear Ms. Wagner,

Pacific Racing Association (Golden Gate Fields) has contacted the Thoroughbred Owners of California (TOC) regarding the conducting of the "Players Pick-5" on the first five (5) races of the day subject to a 14% takeout during their Fall race meet, October 16, 2014 through December 14, 2014. The TOC agrees to such a wager based upon the same terms and conditions as it was offered at Golden Gate Fields' previous meet.

Please feel free to contact me with any questions.

Sincerely,

Joe Morris  
President

cc: Cal Rainey  
Mary Scalzo



July 15, 2014

**OFFICERS**

MIKE PEGRAM  
CHAIR  
BILL STRAUSS  
VICE CHAIR, SOUTHERN CA.  
JACK OWENS  
VICE CHAIR, NORTHERN CA.  
NICK ALEXANDER  
SECRETARY/TREASURER  
ED MOGER  
AT LARGE  
MIKE HARRINGTON  
AT LARGE

**DIRECTORS**

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JIM CAHILL  
MARK DEDOMENICO  
RON ELLIS  
TERRY LOVINGIER  
WILLIAM E. MOREY  
GEORGE TODARO  
KATHY WALSH  
MICHAEL WELLMAN

**EXECUTIVE STAFF**

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PRESIDENT  
KELLEE BREEN  
CHIEF FINANCIAL OFFICER  
MARY FORNEY  
DIRECTOR OF OPERATIONS  
RICHARD SCHEIDT  
NO. CA. DIRECTOR OF  
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ED FRIENDLY (1996-97)  
ROBERT B. LEWIS (1997-2001)  
GARY BURKE (2001)  
JACK B. OWENS (2001-03, 04-05,  
2011)  
RON CHARLES (2003-04)  
ALAN LANDSBURG (2005-07)  
MARSHA NAIFY (2007-10)  
ARNOLD ZETCHER (2010-11)

**CORPORATE OFFICE**

285 W. HUNTINGTON DRIVE  
ARCADIA, CA 91007  
T: 626-574-6620  
F: 626-821-1515  
WWW.TOCONLINE.COM

Ms. Jackie Wagner  
California Horse Racing Board  
1010 Hurley Way, Suite 300  
Sacramento, CA 95825

RE: Golden Gate Fields (PRA) Fall 2014 Meet

Dear Ms. Wagner,

Golden Gate Fields has contacted the Thoroughbred Owners of California ("TOC") regarding the conducting of "Rolling Doubles" wagers, subject to a 20% takeout, on each racing day of its upcoming meet from October 16 – December 14, 2014. The TOC agrees to such a wager.

Please feel free to contact me with any questions.

Sincerely,

Joe Morris  
President

cc: Cal Rainey, GGF  
Merry Scalzo, GGF

- 2. Overnight stakes:  
 Current meet estimate: **\$200,000.00**  
 Prior meet actual: **\$199,000.00**

Average Daily Purse (7 A2 ÷ number of days):  
 Current meet estimate: **\$5,555.56**  
 Prior meet actual: **\$5,527.78**

- 3. Non-overnight stakes:  
 Current meet estimate: **\$225,000.00**  
 Prior meet actual: **\$220,300.00**

Average Daily Purse (7 A3 ÷ number of days):  
 Current meet estimate: **\$6,250.00**  
 Prior meet actual: **\$6,119.44**

- 4. Total Purses: (7A1+7A2+ 7A3)  
 Current meet estimate: **\$5,126,132.00**  
 Prior meet actual: **\$5,102,836.00**

**B. California-bred Stakes Races:**

- 1. Percentage of the purse distribution for all stakes races that will be distributed for California-bred stakes races:  
 Current meet estimate: **0%**  
 Prior meet actual: **0%**

Average Daily Purse (7 B1 ÷ number of days):  
 Current meet estimate: **0%**  
 Prior meet actual: **0%**

- C. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):  
 Current meet estimate: **\$396,992.00**  
 Prior meet actual: **\$414,803.40**

- D. Payment to each recognized horsemen's organization contracting with the association and the name(s) of the organization(s):

Recognized Horsemen's Organization

Current meet estimate:		Prior meet actual:	
<b>TOC (NTRA contribution)</b>	<b>\$ 0.00</b>	<b>TOC (NTRA contribution)</b>	<b>\$ 78,639.25</b>
<b>TOC</b>	<b>\$ 42,600.79</b>	<b>TOC</b>	<b>\$ 44,936.71</b>
<b>CTT (Administration)</b>	<b>\$ 21,300.40</b>	<b>CTT (Administration)</b>	<b>\$ 22,468.36</b>
<b>CTT (Pension)</b>	<b>\$ 42,600.79</b>	<b>CTT (Pension)</b>	<b>\$ 44,936.71</b>
<b>Total</b>	<b>\$106,501.98</b>	<b>Total</b>	<b>\$190,981.03</b>

- E. Amount from all sources to be distributed in the form of purses or other benefits to horsemen (7 A+7 C+7 D):  
 Current meet estimate: **\$5,629,625.98**  
 Prior meet actual: **\$5,708,620.43**

Average Daily Purse (7 E ÷ number of days):

Current meet estimate: **\$156,378.50**

Prior meet actual: **\$158,572.79**

- F. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s) :

Current meet estimate: **\$3,776,560.00**

Prior meet actual: **\$3,805,498.17**

Average Daily Purse (7 F ÷ number of days):

Current meet estimate: **\$104,904.44**

Prior meet actual: **\$105,708.28**

- G. Purse funds to be generated from interstate handle:

Current meet estimate: **\$1,251,914.00**

Prior meet actual: **\$1,233,659.88**

Average Daily Purse (7 G ÷ number of days):

Current meet estimate: **\$34,775.39**

Prior meet actual: **\$34,268.33**

- H. Bank and account number for the Paymaster of Purses' purse account:

**Wells Fargo PRA Account # on file**

- I. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting: **Bowen & McBeth, 10722 Arrow Route, Suite 110, Rancho Cucamonga, California 91730, (909) 944-6465; email: craigmiller@verizon.net**

**NOTICE TO APPLICANT:** All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, shall not be deemed as income to the association; shall not be transferred to a parent corporation outside the State of California; and shall, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt, into such liability account. In the event the association is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the association shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The association is entitled to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the association is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the association may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

## 8 STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held: **1,325**
- B. Minimum number of stalls believed necessary for the meeting: **2,000**
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or

approved training centers: 680

D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site: **Pleasanton – 680 Stalls**

E. Attach each contract or agreement between the association and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site.

**On file**

Complete subsections F through H if the association will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

F. Total number of usable stalls made available on-site for the 1986 meeting, pursuant to Business and Professions Code section 19535(c): **1,405**

G. Estimated cost to provide off-site stalls for this meeting. Show cost per day per stall:

**Stabling costs for this meet: \$457,272.00**

**Stabling costs per day per stall: \$9.21**

H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse:

**Vanning fees for this meet: \$33,750.00**

**Vanning fee per horse: \$75.00**

**9 PARI-MUTUEL WAGERING PROGRAM**

A. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, associations may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

	<b>TYPE OF WAGERS</b>	<b>APPLICABLE RULES</b>
Example Race:	\$1 E; \$1 Double	CHRB #1959; RCI #VE
Race #1	\$2 DD; \$1 E; \$1 PK3; \$1 TRI; \$.10 SF; \$.50 PNP5	CHRB#1957; 1959; 1977; 1979; 1979.1; 1976.9
Race #2	\$2 DD; \$1 E; \$1 PK3; \$1 TRI; \$.10 SF; \$.50 PNP4; \$.20 PNP6	CHRB#1957; 1959; 1977; 1979; 1979.1; 1976.9 ARCI 004-105 G. (g)
Race #3	\$2 DD; \$1 E; \$1 PK3; \$1 TRI; \$.10 SF; \$.20 PNP6	CHRB#1957; 1959; 1977; 1979; 1979.1; ARCI 004-105 G. (g)
Race #4	\$2 DD; \$1 E; \$1 PK3; \$1 TRI; \$.10 SF; \$.50 PNP4 \$.20 PNP6	CHRB#1957; 1959; 1977; 1979; 1979.1; 1976.9; ARCI 004-105 G. (g)
Race #5	\$2 DD; \$1 E; \$1 PK3; \$1 TRI; \$.10 SF; \$.50 PNP4 \$.20 PNP6	CHRB#1957; 1959; 1977; 1979; 1979.1; 1976.9; ARCI 004-105 G. (g)
Race #6	\$2 DD; \$1 E; \$1 PK3; \$1 TRI; \$.10 SF; \$.50 PNP4;	CHRB#1957; 1959; 1977; 1979; 1979.1; 1976.9
Race #7	\$2 DD; \$1 E; \$1 PK3; \$1 TRI; \$.10 SF; \$.50 PNP4	CHRB#1957; 1959; 1977; 1979; 1979.1; 1976.9
Race #8	\$2 DD; \$1 E; \$1 PK3; \$1 TRI; \$.10 SF	CHRB#1957; 1959; 1977; 1979; 1979.1
Race #9	\$2 DD; \$1 E; \$1 TRI; \$.10 SF;	CHRB#1957; 1959; 1979; 1979.1;
Race #10	\$2 DD; \$1 E; \$1 TRI; \$.10 SF; Super H5	CHRB#1957; 1959; 1979; 1979.1; ARCI #004-105 Subsection X Pick (n) Position (x) Pools

\$2 DD Rolling Daily Double on all races

\$1 PK3 - Pick 3 on all eligible races

\$1 TRI - Trifecta on all eligible races

\$.10 cent SF - Superfecta on all eligible races

\$.50 PNP4 - Pick 4 on first four and last four races

\$.50 PNP5 - Pick 5 on first five races and will be offered with 100% payout to all tickets selecting five winners. No consolation; 100% carryover if no ticket has five winners. 14% takeout.

\$1 Pentafecta (Super High 5) in the last race if the day only selecting the first five finishers in a same race. 100% payout on all tickets selecting five winners. No consolation; 100% carryover if no ticket has five winners.

\$.20 PNP6 on the last six thoroughbred races each day. 100% pool paid and any prior carryovers for unique ticket selecting six winners. If no unique ticket selects six winners then the 70% minor pool that day is paid to the tickets selecting the most winners and the 30% major pool will be added to the carryover.

- B. Maximum carryover pool to be allowed to accumulate before its distribution OR the date(s) designated for distribution of the carryover pool: **December 14, 2014 for the period of October 16, 2014 through December 14, 2014**
- C. List any options requested with regard to exotic wagering: **2 Tier - 70%30% split (see above)**
- D. Will "advance" or "early bird" wagering be offered? Yes  No   
 If yes, when will such wagering begin? Specify days and time for "early bird" wagering:  
**Advanced wagering on Breeders' Cup (Saturday) will be offered Friday, October 31, 2014**
- E. Type(s) of pari-mutuel or totalizator equipment to be used by the association and the simulcast organization, name of the person(s) supplying equipment, and expiration date of the service contract: **Sportech (previously Scientific Games, LLC) Expires: September 2015**

**10. ADVANCE DEPOSIT WAGERING (ADW)**

A. Identify the ADW provider(s) to be used by the association for this race meeting:

**Xpressbet  
 TwinSpires  
 TVG  
 WatchandWager.com, LLC**

B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting. **On file**

C. Have the contract/agreements been approved by the respective horsemen's groups?

Yes  No

If yes, attach a copy of the approval. **Attached**

If no, explain the status of the approval.

**NOTICE TO APPLICANT:** Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than



July 3, 2014

**OFFICERS**

MIKE PEGRAM  
CHAIR  
PABLO SUAREZ  
VICE CHAIR, SOUTHERN CA.  
JACK OWENS  
VICE CHAIR, NORTHERN CA.  
NICK ALEXANDER  
SECRETARY/TREASURER  
ED MOGER  
AT LARGE

**DIRECTORS**

MADLINE AUERBACH  
BOB BAFFERT  
DENNIS CARDOZA  
MARK DEDOMENICO  
MIKE HARRINGTON  
RON ELLIS  
WILLIAM E. MOREY  
BILL STRAUSS  
GEORGE TODARO  
KATHY WALSH  
MICHAEL WELLMAN

**EXECUTIVE STAFF**

JOE MORRIS  
PRESIDENT  
KELLEE BREEN  
CHIEF FINANCIAL OFFICER  
MARY FORNEY  
DIRECTOR OF OPERATIONS  
RICHARD SCHEIDT  
NO. CA. DIRECTOR OF  
OPERATIONS

**CHAIRS EMERITUS**

ED FRIENDLY (1996-97)  
ROBERT B. LEWIS (1997-2001)  
GARY BURKE (2001)  
JACK B. OWENS (2001-03, 04-05,  
2011)  
RON CHARLES (2003-04)  
ALAN LANDSBURG (2005-07)  
MARSHA NAIFY (2007-10)  
ARNOLD ZETCHER (2010-11)

**CORPORATE OFFICE**

285 W. HUNTINGTON DRIVE  
ARCADIA, CA 91007  
T: 626-574-6620  
F: 626-821-1515  
WWW.TOCONLINE.COM

Mr. Calvin Rainey  
Vice President & Assistant General Manager  
Golden Gate Fields  
1100 Eastshore Highway  
Berkeley CA, 94710

RE: 2014 Pacific Racing Association (Golden Gate Fields) Fall Race Meet ADW  
Approvals

Dear Cal,

This is to confirm that Thoroughbred Owners of California, Inc. (TOC) authorizes and agrees that during the Golden Gate Fields (GGF) 2014 Pacific Racing Association race meet, October 16 – December 14, 2014, California-licensed ADW providers TVG, Xpressbet, TwinSpires and WatchandWager.com may accept wagers from California residents on races conducted at GGF and races conducted at tracks located outside of California.

It is TOC's understanding that the compensation rates and fees for this wagering activity are those specified in the separate Account Wagering Agreements entered into as of November 23, 2013 by and between Monarch Content Management, LLC and Xpressbet, LLC, ODS Technologies (TVG) and Churchill Downs Incorporated and the Hub Agreements entered into August 20, 2013 by and between Los Angeles Turf Club, Inc. (Santa Anita) and Xpressbet, LLC; December 17, 2013 by and between Los Angeles Turf Club, Inc. (Santa Anita) and Churchill Downs Technology Initiatives Company (TwinSpires); January 1, 2014 by and between Del Mar Thoroughbred Club and TVG; and April 1, 2014 by and between Los Angeles Turf Club, Inc. and WatchandWager.com, LLC.

Should you have any questions, please contact me.

Very truly yours,

Joe Morris  
President

cc: Tom Varela, Monarch Content Management  
Merry Scalzo, Golden Gate Fields

five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

**11. SIMULCAST WAGERING PROGRAM**

- A. Simulcast organization engaged by the association to conduct simulcast wagering:  
**Northern California Off-Track Wagering, Inc.**
  
- B. Attach the agreement between the association and simulcast organization permitting the organization to use the association's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.  
**On file**
  
- C. California simulcast facilities the association proposes to offer its live audiovisual signal:
 

<p><u><b>Northern California</b></u>  <b>Alameda County Fair, Pleasanton</b>  <b>Big Fresno Fair, Fresno</b>  <b>CA State Fair &amp; Exposition, Sacramento</b>  <b>Club One, Fresno</b>  <b>Humboldt County Fair, Ferndale</b>  <b>Monterey County Fair, Monterey</b>  <b>San Joaquin County Fair, Stockton</b>  <b>Santa Clara County Fair, San Jose</b>  <b>Shasta District Fair, Anderson</b>  <b>Solano County Fair, Vallejo</b>  <b>Sonoma County Fair, Santa Rosa</b>  <b>Stanislaus County Fair, Turlock</b>  <b>The Jockey Club, San Mateo</b></p>	<p><u><b>Southern California</b></u>  <b>Antelope Valley Fair, Lancaster</b>  <b>Barona Resort &amp; Casino, Lakeside</b>  <b>Cabazon Fantasy Springs Casino, Indio</b>  <b>Del Mar Thoroughbred Club, Del Mar</b>  <b>Derby Club at Seaside Park, Ventura</b>  <b>Fairplex Park, Pomona</b>  <b>Los Alamitos Racecourse, Los Alamitos</b>  <b>National Orange Show, San Bernardino</b>  <b>San Bernardino County Fair, Victorville</b>  <b>Santa Anita Park, Arcadia</b>  <b>Shalimar Sports Center, Indio</b>  <b>Sports Pavilion, Farmers Fair, Lake Perris</b>  <b>Sycuan Resort &amp; Casino, El Cajon</b>  <b>Viejas Casino &amp; Turf Club, Alpine</b></p>
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- D. Out-of-state wagering systems the association proposed to offer its live audiovisual signal:

**2014 IMPORT TRACKS**

<b>Track</b>	<b>Full or Partial Cards and Stakes</b>
Arlington	Full or Partial Cards
Assiniboia (International)	Full or Partial Cards
Australia Racing (International)	Full or Partial Cards
Beulah	Full or Partial Cards
Calder	Full or Partial Cards
Canterbury	Full or Partial Cards
Charles Town	Full or Partial Cards
Churchill Downs	Full or Partial Cards
Delaware Park	Full or Partial Cards
Downs at Albuquerque	Full or Partial Cards
Ellis Park	Full or Partial Cards
Emerald	Full or Partial Cards
Evangeline	Full or Partial Cards
Fort Erie (International)	Full or Partial Cards
Gulfstream	Full or Partial Cards
Hastings Park (International)	Full or Partial Cards
Hawthorne	Full or Partial Cards
Indiana Downs	Full or Partial Cards
Keeneland	Full or Partial Cards

Kentucky Downs	Full or Partial Cards
Laurel	Full or Partial Cards
Lone Star	Full or Partial Cards
Louisiana Downs	Full or Partial Cards
Monmouth	Full or Partial Cards
Mountaineer Park	Full or Partial Cards
Northlands (International)	Full or Partial Cards
NYRA - Aqueduct, Belmont	Full or Partial Cards
Penn National	Full or Partial Cards
Philadelphia Park (Parx)	Full or Partial Cards
Prairie Meadows	Full or Partial Cards
Presque Isle	Full or Partial Cards
River Downs	Full or Partial Cards
South America Racing (International)	Full or Partial Cards
Suffolk Downs	Full or Partial Cards
Sunland Park	Full or Partial Cards
Sunray Park	Full or Partial Cards
Tampa Bay	Full or Partial Cards
Thistledown	Full or Partial Cards
Turfway Park	Full or Partial Cards
Turf Paradise	Full or Partial Cards
Woodbine (International)	Full or Partial Cards

- E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the association: **Attached**
  
- F. California mini-simulcast facilities the association proposes to offer its live audiovisual signal: **Bankers Casino, Salinas; Commerce Casino, Commerce; OC Tavern Grill, San Clemente; Ocean's 11, Oceanside; Original Roadhouse Grill, Santa Maria; Sammy's Restaurant & Bar, Lake Forest; Santa Clarita Lanes, Santa Clarita; and The Mermaid Tavern, Thousand Oaks**
  
- G. For **THOROUGHBRED** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races": **See 11D above. Full/partial card TBD**

**NOTICE TO APPLICANT:** Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

**THOROUGHBRED SIMULCAST RACES TO BE IMPORTED**

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
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- H. For **QUARTER HORSE** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country quarter horse races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

**QUARTER HORSE SIMULCAST RACES TO BE IMPORTED**

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
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**Pacific Racing Association Fall Meet 2014  
Commingle Locations**

AmiWest Entertainment	Cypress Bayou OTB	Maronas (South America)	Saratoga Raceway
Amwest Accounts	Delaware	Maryland Jockey Club	Saratoga Bets (ADW)
Greenbrier Resort	Delta Downs	Maywood	Scarborough
Riders Up (SD)	Dover Downs	Maywood ADW	Scioto Downs
Time Out Lounge (SD)	Ebet	Meadowlands	Seabrook Greyhound
Triple Crown (SD)	Elite Turf Club (1 to 10)	Meadows The	Social Gaming
Arapahoe-Mile High	Ellis Park	Meadows The (ADW)	Sol Mutuel
Colorado phone	Emerald Downs	Millers OTB	Southland Greyhound
Arima Race Club	Evangeline Downs	Mobile Greyhound	Sports Creek Raceway
Arlington I	Evangeline ADW	Monmouth Park	State Fair (Lincoln)
Atlantic City Racecourse	Fair Grounds	Montana OTB	Suffolk District OTB
Atokad	Fair Grounds ADW	Monticello	Suffolk Downs
Balmoral	Fair Meadows	Mountaineer Park	Pat's Pizza
Balmoral ADW	Finger Lakes	Mt. Pleasant Meadows	Sunland Park
Bangor Raceway	Fonner	MTP Bets	SunRay Park & Casino
Batavia	Freehold	Nassau OTB	Tampa Bay Downs
Bellor Racing	Gillespie County Fair	Nevada Pari-Mutuel Assoc.	Taunton Acct Wager
Beulah Park	Greenetrack	New Jersey Casino Assoc.	Taunton Dog Track
Birmingham	Greyhound @ Post Falls	Newport Jai Alai	The Downs at Alb
Bluffs Run Greyhound	Gulf Greyhound	NJ Mobile	Thistledown
Buffalo Raceway	Gulfstream Park	Northfield	Tioga Downs
Calder Racecourse	Global Wagering Solutions		Tri-State GH (Mardi Gras)
Canterbury Day	Bwin International Ltd.	Northville	Turf Paradise
Capital District OTB	Intl Betting Assoc. Ltd	NYRA	Turfway Park
Catskills OTB	Magna Bet	NYRA Account Wagering	TVG Harrah's Chester
Charles Town Race Course	Racebets	Oaklawn	TVG Network
Chester Downs & Marina LLC	Harrington Raceway	Ocean Downs	TVG Prairie
Churchill Downs	Hawthorne Race Course	Panama	TVG Yonkers
Club Hipica InTurf	Hawthorne ADW	Penn National	Twin River Greyhound
Coeur d' Alene Casino	Hazel Park	Penn National Telebet	TwinSpires
Coeur d' Alene Account	Hoosier Park	Peru	TwinSpires High Volume
Colonial Downs	Horseman's Park	Philadelphia Park	Velocity Wagering Ltd.
Colonial Downs Phone Bet	Indiana Downs	Philly Park Phone Bet	Venezuela OTB
Columbus Raceway	Evansville OTB	Plainridge Race Course	Vernon Downs
Connecticut OTB	Clarkesville OTB	Plainridge Telephone Wagering	WatchandWager
Bradley Teletheater, Bristol	Intermountain Racing	Player Mgmt. Group	Western OTB
New Britain, Norwalk, Milford	IP Tote (Venezuela)	Pocono Account Wagering	Wheeling Downs
East Haven, Hartford, Putnam	Keeneland	Pocono Downs and OTB	Will Rogers Downs
Shoreline Star, Sports Haven	Keeneland Select ADW	Portland Meadows	Wyoming
Torrington, Waterbury	Kentucky Downs	Potawatomi Casino/ OTB	XpressBet
Manchester, New London	Kentucky OTB	Prairie Meadows	Yonkers Raceway
Willimantic	Lebanon	Premier Turf Club	Youbet Group 1
John Martin's Manor Restaurant	Les Bois (Treasure Valley)	Presque Isle Downs	Youbet Illinois
CT. Account Wagering	Lewiston OTB's	Raceway Park	Zia Park
Coushatta Casino	Lien Games	Racing2Day LLC (US)	
Paragon Casino	Chips Lounge and Casino	Racing2Day (Stan James) International	
Ho-Chunk Casino and Racebook	Howard Johnsons OTB	Remington Park	
Mohegan Sun Casino	Rumors OTB		
Oneida Bingo and Casino	Skydancer Casino OTB	Retama	
Pony Bar Simulcast Center	BetAmerica / Offtrackbetting	Racing & Gaming Services	
Tote Investment Racing	Lone Star	Riplay de Venezuela (Caliente)	
Randall James Racetrack	Louisiana Downs	River Downs	
Millenium Racing	LVDC	Rockingham Park	
Royal Beach Casino	Atlantis Paradise Casino	Rockingham Account	
Divi Carina Bay Casino	Avatar Ventures	Ruidoso Downs	
Fair Chance	Buffalo Thunder Resort	Running Aces Harness Park	
Winners Circle	Foxwoods Resort Casino	Saddle Brook Park	
Corpus Christi Greyhound	Meskwaki Bingo & Casino	Sam Houston	
			Valley Greyhound Park

**Separate Pool Locations**  
 Camarero (Puerto Rico)  
 Caymanas (Jamaica)  
 MIR Books (Caliente)  
 NDS (Nevada)

**Canadian Locations**

Assiniboia, Barrie, Charlottetown, Clinton Teletheatre, Dresden, Elmira Raceway, Evergreen Park, Exhibition Park, Flamboro Downs, Fort Erie  
 Fraser Downs, Fredericton Raceway, Georgian Downs, Grand River, Hanover Raceway, Hastings Park, Hiawatha, Inverness Raceway  
 Kawartha Downs, Marquis Downs, Mohawk, New Brunswick, Northlands, Northside Downs, Picov Downs, Quinte Raceway, Rideau Carlton,  
 Rocky Mountain Turf Club, Royal Britiana Hub, St. Johns, Sudbury Downs, Summerside, TBC Sandown, TBC Teletheaters  
 Truro Raceway, Western Fair, Windsor Raceway, Woodbine, Woodstock/Ontario

Los Alamitos

Per CHRB calendar Full Card

- I. For STANDARDBRED racing associations, list the host tracks from which the association proposes to import out-of-state and/or out-of-country harness races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

HARNESS SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
Cal Expo Harness	Per CHRB calendar	Full Card

- J. For ALL racing associations, list imported simulcast races the association plans to receive which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Breed of Horse	Race Dates	Number of Races to be Imported
None			

- K. For ALL racing associations, if any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing the agreement by the appropriate racing association(s). **Not applicable**

**NOTICE TO APPLICANT:** All interstate wagering to be conducted by an association is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by an association is subject to the provision of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every association shall pay over to the simulcast organization within 3 calendar days following the closing of wagering for any day or night racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering, and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every association shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each day or night racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

**12. CHARITY RACING DAYS**

- A. Name and address of the distributing agent (charity foundation) for the net proceeds from charity racing days held by the association:  
**Pacific Racing Association, 1100 Eastshore Hwy, Berkeley, CA 94710 is agent for distribution**
- B. Names and addresses of the trustees or directors of the distributing agent:  
**Pacific Racing Association, 1100 Eastshore Hwy, Berkeley, CA 94710 will act as its own distributing agent**
- C. Dates the association will conduct races as charity racing days OR:
- D. Will the association pay the distributing agent an amount equal to the maximum required under B&P Code Section 19550(b)? Yes

**NOTICE TO APPLICANT:** Net proceeds from charity racing days shall be paid to the designated and approved distributing agent within 180 days following the conclusion of the association's race meeting in accordance with the provisions of Business and Professions Code section 19555. Thereafter, the distributing agent shall distribute not less than 90% of the aggregate proceeds from such charity racing days within 12 calendar months after the last day of the meeting during which the charity racing days were conducted and shall distribute the remaining funds as soon thereafter as is practicable. At least 50% of the distribution shall be made to charities associated with the horse racing industry in accordance with the provisions of Business and Professions Code section 19556(b) and (c).

### 13. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

#### A. Racing officials nominated:

Association Veterinarian(s)

**Sara Sporer, D.V.M** (Track Veterinarian)  
**Forrest Franklin, D.V.M.** (CHRB Veterinarian)  
**Diane Isbell, D.V.M.** (Examining Veterinarian)

Clerk of Scales

**Ken Sjoldal**

Assistant Clerk of Scales

**Ross Allardyce**

Clerk of the Course

**Tina Walker-Bryant**

Film Specialist/Patrol Judge

**Ella Robinson**

Horse Identifier

**Darrell Sparks**

Horseshoe Inspector

**Butch Fyllingness**

Paddock Judge

**Linda Anderson**

Patrol Judge/Steward's Aide

**Tammy McDuffie-Morris**

Placing Judge/Stakes Coordinator

**Chris Merz**

Placing Judge

**Paul Nicolo**

Starter

**Todd Stephens**

Timer

**Pedro Mercado**

#### B. Management officials in the racing department:

Racing Secretary

**Patrick Mackey**

Assistant Racing Secretary

**Steve Martinelli & Linda Anderson**

Paymaster of Purses

**Patricia Prospero**

Others (identify by name and title)

Main Track Superintendent

**Juan Meza**

Turf Track Superintendent

**Calvin Rainey**

Price Maker

**Steve Martinelli**

Stable Superintendent

**Carrie Fawcett**

#### C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards: **Christine Niccoli**

**Niccoli Reporting Service, 619 Pilgrim Drive, Foster City, CA 94404-1707, (650) 573-9339**

#### D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract: **Plusmic Corp., USA, Bill O'Brien, Expires: December 31, 2016**

#### E. Photo patrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks. **Pegasus Communications, Inc, Jim Porep, Jr., System maintained in house, Equipment contract expires: March 5, 2016**

#### F. Type of electronic timing device to be used for the timing of all races, name of the person

supplying the service, and expiration date of the service contract: **Electronic timer is installed and maintained by on-site staff**

#### 14. SECURITY CONTROLS

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers.

**T.W. Johnson, Security Manager is responsible for security on-site  
Security Department organizational chart is attached  
Security Department phone number (510) 559-7370  
Stable Security phone number (510) 542-4235 or (510) 559-7525  
T.W. Johnson cell phone number (510) 418-3161**

- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis: **34**

<b>1 – Security Manager</b>	<b>14 – Grandstand Security Officers</b>
<b>1 – Security Lieutenant</b>	<b>5 – Stable Security Officers</b>
<b>1 – Security Sergeant</b>	<b>5 – Stable Gate Personnel</b>
<b>1 – Stable Security Sergeant</b>	<b>5 – Fire Guards</b>
<b>1 – Fire Guard Lieutenant</b>	

1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls: **Attached**

2. Detention Stalls:

A. Attach a plan for use of graded stakes or overnight races.

**6 hour surveillance prior to race in Graded Stakes with purses of \$100,000 or over  
24 hour surveillance in detention barn for Trainers with high-test results and repeat offenders**

B. Number of security guards in the detention stall area during a 24-hour period.

**Four Security Guards**

- C. Describe number and location of surveillance cameras in detention barn area.

**Barn #44 contains 27 stalls and is utilized as our Transit and Detention Barn. The surveillance system in place contains cameras strategically placed to monitor entrances and exits of the barn area along with a camera monitoring each designated detention stall within the barn. Detention stall camera locations: There are a total of 16 surveillance cameras in place within stalls 4, 5, 6, 7, 8, 9, 10, 11, and 12 on the west side of barn #44 and within stalls 13, 14, 15, 16, 17, 18, and 19 on the east side of the barn. The camera equipment is connected to a digital recording system which is kept in a secured area with a power back-up in place.**

3. TCO2 Testing:

A. Number of races to be tested, and number of horses entered in each race to be tested.

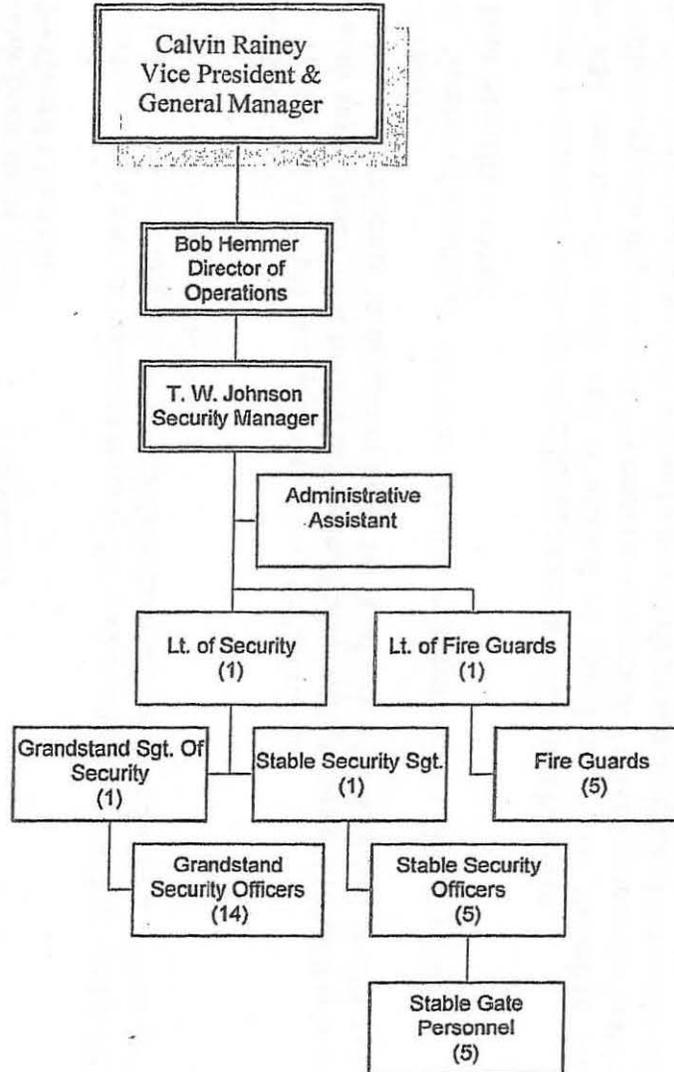
**Will follow CHRB staff directives**

B. Plan for enhanced surveillance for trainers with high-test results.

**Contractual obligations with TOC, CTT, and CHRB directives will be followed**

C. Plan for detention stalls for repeat offenders.

PACIFIC RACING ASSN / GOLDEN GATE FIELDS  
SECURITY DEPARTMENT ORGANIZATIONAL CHART  
ATTACHMENT 14A



**Attachment to PRA / Golden Gate Fields License Application**  
14B (1) and 14B (2):

**SECURITY CONTROLS**

Graded Stakes and Races of \$100,000 or more

The following procedures have been put in place at Pacific Racing Association / Golden Gate Fields to ensure that proper security surveillance guidelines for races of \$100,000 or more are followed:

- Carrie Fawcett, Stable Superintendent, provides a list of starters to T. W. Johnson, Director of Security which includes the following information:
  1. Name of horse
  2. Name of trainer
  3. Barn number
  4. Stall number
- Supplemental security staff, provided by a licensed security contractor and licensed by the CHRB, are called in the morning of the race to meet with the Director of Security for the following instruction:
  - A. An overview of the race track operating procedures
  - B. A briefing of their duties throughout the day
  - C. All guards are asked to sign out for their specific video camera
  - D. A form is provided by the CHRB to each guard which is to be signed by the attending veterinarian when administering medication to bleeders.
  - E. Each guard is given a video camera to record ALL activities involving individuals entering and exiting their assigned stall. Instruction is given to first record the posted number on the stall to confirm that the camera is directed at the assigned horse.
  - F. Instruction is given to monitor any and all suspicious activity and to contact the Director of Security if and when suspicious activity occurs.
  - G. Guards are instructed to position themselves as close to the horse's stall without jeopardizing safety.
  - H. The horse and handler will be followed on foot by each guard to the receiving barn before the race.
  - I. After all horses are safely in the receiving barn, the guards are instructed to meet in the Security office with the Director of Security. The guards sign that they have returned their video camera and participate in a discussion of the day's surveillance activities.
- Surveillance videotapes are saved until all test results have received a negative result.
- There are 2 armed Security Guards on duty per 8 hour shift in the barn area within a 24 hour period and 2 additional armed Security Guards during live racing hours in the barn area which includes coverage of stakes races of \$100,000 or more.

**In compliance with our Race Meet Agreement with TOC and at the direction of the CHRB**

D. Number of security personnel assigned to the TCO2 program.  
**As needed per the advice of CHRB staff**

D. Describe the electronic security system:

1. Location and number of video surveillance cameras for the detention stall and stable gate.  
**The camera equipment is connected to a digital recording system which is kept in a secured area with a power back-up in place. Stable Gate, Stable Security Office & Parking Lot Surveillance: Three (3) cameras are strategically placed to monitor all activities coming and going at the Main Stable Gate, one (1) camera located on roof of Stable Security Office looking out into barn area, and one (1) camera in O/T parking lot which is a PTZ camera that overlooks not only the Owner/Trainer parking lot but the parking lot at the top of the hill and Gilman street alongside the bay, and two (2) cameras scheduled to be installed at the horsemen walk-in gate providing 24/7 surveillance.**

E. For night racing associations. Describe emergency lighting system: **Not Applicable**

**15. EMERGENCY SERVICES**

A. Name, address and emergency telephone number of the ambulance service to be used during workouts and the running of the races:

**Brad Winding Turf Rescue, LLC, 19615 Barclay Road, Castro Valley, CA 94546,  
 (510) 581-8470**

1. Attach a certification from the ambulance service(s) listed in 15A, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.  
**Certification documentation attached – 1 Paramedic and 1 EMT (1A) ambulance staff;**

B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites: **Westmed Ambulance Service, 2424 Whipple Road, Hayward, CA 94544, (510)-504-3616**

1. Attach a certification from the ambulance service (s) listed in 15B, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.  
**Certification documentation attached – 2 EMT's ambulance staff; letter on file with the Board referencing current practices at our auxiliary training facility at Pleasanton**

C. Describe the on-track first aid facility, including equipment and medical staffing: **Attached**

D. Name and emergency telephone number of the licensed physician on duty during the race meeting: (If quarter horse racing association sees D (1) :

**Dr. David Seftel**

**Office Number: 510-559-7375, Cell Number: 650-520-6204**

1. Name address and emergency telephone number of hospital located within 1.5 miles of the racetrack, which whom an agreement is in place to provide emergency medical services, pursuant to Business and Professions Code section 19481.3(a): **N/A**

**TURF RESCUE, LLC**

4470 Hillsborough Drive  
Castro Valley, CA 94546  
510-581-8470

December 30, 2013

California Horse Racing Board  
1010 Hurley Blvd. Suite 300  
Sacramento, CA 95825

Re: Turf Rescue, LLC licensed personnel in 2014

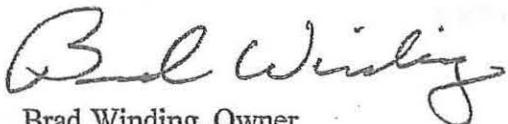
To Whom It May Concern:

This is to inform you that Turf Rescue, LLC operations and procedures are well within the standard care Operating Procedures set forth by Alameda County E.M.S.

Turf Rescue, LLC employs only licensed Paramedics and Emergency Medical Technicians that meet and follow the licensing requirements for Alameda County E.M.S.

If you have any further questions please feel free to contact me.

Sincerely,



Brad Winding, Owner  
Turf Rescue, LLC

**TURF RESCUE, LLC**

4470 Hillsborough Drive  
Castro Valley, CA 94546  
510-581-8470

December 30, 2013

Calvin Rainey  
Pacific Racing Association / Golden Gate Fields  
1100 Eastshore Highway  
Albany, CA 94706

This "Letter of Agreement" serves to confirm a mutual understanding that our Contract will be extended for a period of one (1) year, covering your 2014 race meeting.

It is further mutually understood and agreed that all the terms and conditions of the aforesaid Contract shall be in force and effect during the extended period, with one exception; the hourly billing will be \$44.50 per hour subject to change in accordance with insurance premiums.

ACCEPTED:

PACIFIC RACING ASSOCIATION



Calvin Rainey, Vice-President and Assistant General Manager

TURF RESCUE AMBULANCE SERVICE



Brad Winding, Owner



March 12, 2014

Christopher A. Seymour  
Westmed Ambulance Service  
2424 Whipple Rd.  
Hayward CA, 94544

To Whom It May Concern:

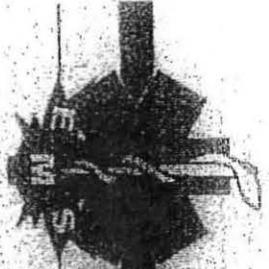
This letter shall serve as a statement of affirmation that Westmed Ambulance Service is a certified provider of Basic Life Support Non Emergency Service in the County of Alameda, certified through the Alameda County Emergency Medical Services Agency. Every Emergency Medical Technician (EMT) employed by Westmed Ambulance is trained, background checked and state certified in order to fulfill their job duties as authorized by the State of California Emergency Medical Services Authority.

Should you have any additional questions, comments or concerns please feel free to contact me at [cseymour@westmedambulance.com](mailto:cseymour@westmedambulance.com).

Best regards,

A handwritten signature in black ink that reads "Christopher A. Seymour". The signature is written in a cursive style with a long horizontal flourish at the end.

Christopher A. Seymour EMT-P, BA



ALAMEDA COUNTY EMERGENCY MEDICAL SERVICES

A Division of the Alameda County  
Public Health Department



# NON-EMERGENCY AMBULANCE CERTIFICATE OF OPERATION

This is to certify that:

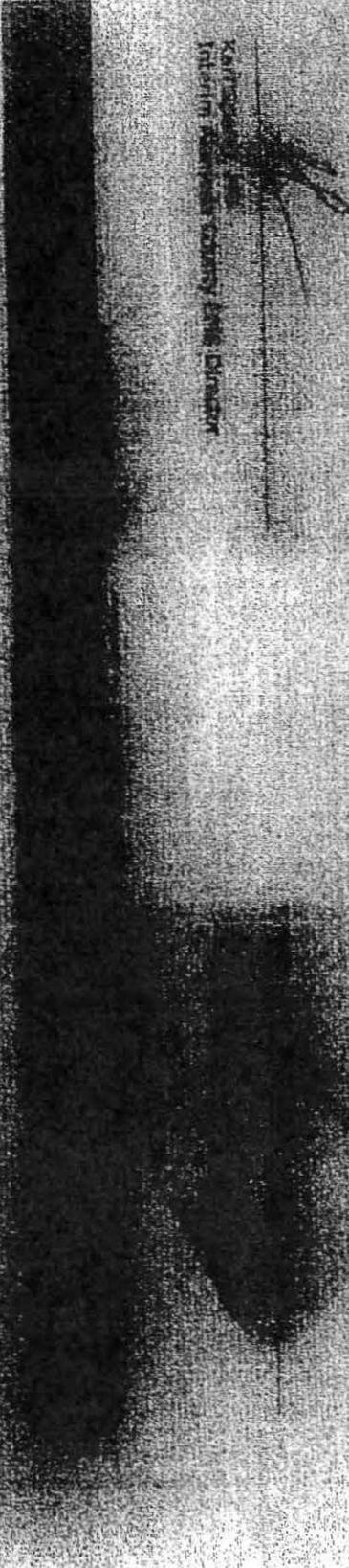
## Western Ambulance

is authorized to provide non-emergency ambulance transportation within the boundaries of Alameda County. The above means, equipment and vehicles contained in the Alameda County Ambulance Ordinance and has passed equipment, licensing and vehicle inspections.

This certificate is valid from December 17, 2012 to December 16, 2014

for BASIC LIFE SUPPORT and CRITICAL CARE NON-EMERGENCY TRANSPORT

KARL...  
Interim Alameda County Public Health Director



# Golden Gate Fields

October 6, 2013

Jacqueline Wagner  
Assistant Executive Director  
California Horse Racing Board  
1010 Hurley Way, Suite 300  
Sacramento, CA 94528

Re: Status of PRA/Pleasanton Auxiliary Training Ambulance Service  
Section 15B (1) in the PRA CHRB 2014 Winter/Spring License Application

Dear Jackie:

This letter is in response to a request from the CHRB to comment on the status of the ambulance staff at the Pleasanton auxiliary training site. Currently, the ambulance staff during training hours consists of two (2) Emergency Medical Technicians as stated in the documentation submitted with the Pacific Racing Association's 2014 Winter/Spring CHRB license application.

A conference call was held on October 4, 2013 between Golden Gate Fields management and Mr. Jerome Hoban, (Alameda County Fair CEO) and Ms. Jeanne Wasserman (Manager Satellite Facility Alameda County Fair) to discuss the progress of changing WestMed's current practices to include one (1) Paramedic.

As described by Pleasanton management, the Pleasanton auxiliary training site (Alameda County Fairgrounds) currently has a fire station across the street that could employ a Paramedic to the site if needed within a 2 ½ minute response time. Therefore, we were advised that discussions are currently underway between the Board, Pleasanton management, Chris Korby and Larry Swartzlander at CARF to explore the option of obtaining a waiver similar to the one issued at Los Alamitos Race Course allowing the current ambulance staffing because of Paramedic availability in close proximity.

I will continue dialogue with management at the Pleasanton training facility, the CHRB and CARF to resolve this issue. If you have any questions please feel free to contact me.

Sincerely,



Calvin Rainey  
Vice President

Cc: CHRB Sacramento office



GOLDEN GATE FIELDS  
Description of GGF Medical Clinic  
(Live Racing)

### Services (Mission)

- Pre-race examinations on jockeys;
- Preventive & curative medical services for jockeys;
- Urgent care facility for jockeys;
- Assist CHRB in assessment of any impaired individuals.

### Staffing

- Physician Medical Director ("Track Physician").

### Equipment

- Advanced cardiac life support (ACLS) equipment;
- 12-Lead EKG;
- Lung function testing equipment;
- Ultrasound (for internal organ injury assessment);
- Blood work & hematology supplies & equipment;
- Basic surgical care supplies & equipment.

### Availability

- One-hour before first posted race until one-to-two hours following last posted race;
- Extended hours for necessary follow-up care and/or upon request from track management or CHRB.

- E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:  
**Alta Bates Summit Medical Center, 2450 Ashby Ave., Berkeley, CA 94705, (510) 204-4444 or Alameda County Medical Center, 1411 East 31<sup>st</sup> Street, Oakland, CA 94602 (510) 437-4800**
- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey: **Attached**
- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):  
**Roy Roenbeck, Director of Environmental, Health & Safety**  
**Calvin Rainey, Vice President & General Manager serves as Assistant Safety Manager**
- H. Attach a fire clearance from the fire authority having jurisdiction over the premises.  
**Berkeley fire clearance letter attached valid through April 24, 2015**  
**Albany fire clearance letter attached valid through December 31, 2014**
- I. Name of the workers' compensation insurance carrier for the association and the number of the insurance policy (if self-insured, provide details): **Liberty Mutual Insurance Co. (insurer) and Liberty Mutual Fire Insurance Co. WA2-B7D-170912-014 (policy no.)**
- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the association for payment of workers' compensation.  
**Workers' Compensation COI attached**

**NOTICE TO APPLICANT:** Every licensee conducting a horse racing meeting shall pursuant to Business and Professions Code section 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

## 16. CONCESSIONAIRES AND SERVICE CONTRACTORS

Names and addresses of all persons to whom a concession or service contract has been given, **other than those already identified**, and the goods and/or services to be provided by each:

Tip Sheets	West Coast Wheelie's, 4811 Versailles Rd, Lexington, KY 40510
Armored Car	Loomis Armored Car, P.O. Box 44196, San Francisco, CA 94144
Track Physician	Dr. David Seftel, Conocen Corp., 20066 Austin Lane, Castro Valley, CA 94546
Official Program	Del Mar Graphics, 1100 Eastshore Highway, Albany, CA 94706



## EMERGENCY MEDICAL PROCEDURES -LIVE RACING- FOR ON-TRACK INJURY TO JOCKEYS

### "FIRST RESPONSE"

- In the event of an accident, the Stewards and/or Outriders shall immediately contact the Track Physician ("Jockey Down") using Radio Channel #7 *(or the accident may very well be witnessed directly by the Track Physician)*;
- The on-track GGF Human Ambulance (manned by GGF-contracted medical personnel) and the Track Physician (transported via the GGF "chase" or "Vet" truck) shall respond immediately to the site of the accident;
- The attending medical professionals shall arrive equipped with advanced cardiac life support (ACLS) and other necessary acute medical treatment equipment & supplies;
- An "on-site evaluation" of injuries to the jockey(s) shall be made by the Track Physician.



### "ON-SITE EVALUATION"

- Based on the Track Physician's "on-site evaluation" of injuries, a decision shall be made to either treat the injured jockey(s) on-site or to request emergency medical transport for off-site treatment at a hospital trauma center;
  - If on-site treatment is deemed sufficient, the GGF Human Ambulance shall transport the injured jockey(s) directly to the GGF Medical Clinic; or,
  - If off-site treatment is deemed necessary, "911" shall be used to summon a City of Albany Fire Dept. ambulance *(a Fire Dept. truck shall also respond)*.



### "INJURY TREATMENT"

- If the injured jockey(s) is treated at the GGF Medical Clinic, the Track Physician shall be the primary care provider unless (or until) the Track Physician surrenders custody of the patient based on his/her medical judgment;
- If the City of Albany Fire Dept. ambulance is summoned to respond, the injured jockey(s) shall be transferred to this ambulance *(accompanied by the Track Physician)* at either of the two following locations for transport to a local hospital;
  - If the injuries are deemed less severe, the jockey will be transported by the GGF Human Ambulance to an off-track location for pick-up by the City of Albany Fire Dept. ambulance; or,
  - If the injuries are deemed severe and the jockey(s) cannot be moved, the City of Albany Fire Dept. ambulance will be escorted by GGF security personnel directly onto the track for patient pickup.



### "POST INJURY ACTIVITIES"

- The incident must be reported immediately to the appropriate CHSA representative;
- In consultation with the Track Physician, an "Accident/Injury Investigation Report" must be completed by track management following completion of the above-noted procedures.

*Should the GGF Human Ambulance need to leave the track premises, for whatever reason(s), racing must be delayed until a city-chartered or other substitute ambulance is on-site and available for on-track medical service.*



**PROCEDIMIENTOS MÉDICOS DE EMERGENCIA**  
**-DURANTE LAS CARRERAS-**  
**PARA HERIDAS A JINETES EN LA PISTA**

**"PRIMEROS AUXILIOS"**

- En caso de accidente, los comisarios de carreras y/o los paradores deberán contactarse de inmediato con el médico de la pista (por "jinete caído") a través del canal de radio n.º 7 (o tal vez el médico de la pista presencia el accidente directamente);
- La Ambulancia para pacientes humanos del Golden Gate Fields (GGF) que se encuentra en la pista (con personal médico contratado del GGF) y el médico de la pista (que se trasladará en el camión escolta o veterinario del GGF) deberán atender al jinete de inmediato en el lugar del accidente;
- Los profesionales médicos que asistan deberán contar con conocimientos en soporte vital cardiovascular avanzado (SVCA) y demás equipos y suministros necesarios de tratamiento médico inmediato;
- El médico de la pista llevará a cabo una "evaluación en el lugar" de las heridas del jinete.



**"EVALUACIÓN EN EL LUGAR"**

- De acuerdo con la evaluación de las heridas que realice el médico en la pista, se tomará una decisión acerca de tratar al jinete herido en dicho lugar o bien solicitar un transporte médico para emergencias para llevar a cabo un tratamiento en un centro de traumatología;
  - Si se considera suficiente el tratamiento en el lugar, la Ambulancia del GGF deberá trasladar al jinete herido directamente a la Clínica Médica del GGF o
  - Si es necesario un tratamiento fuera del predio, se deberá utilizar el "911" para llamar una ambulancia del Departamento de Bomberos de la ciudad de Albany (también podrá actuar un camión del Departamento de Bomberos).



**"TRATAMIENTO DE HERIDAS"**

- Si el jinete herido recibe tratamiento en la Clínica Médica del GGF, el médico de la pista deberá ser el que provea asistencia primaria a menos que (o hasta que) derive al paciente de acuerdo con su criterio médico;
- Si se llama la ambulancia del Departamento de Bomberos de la ciudad de Albany para brindar atención, se deberá trasladar al jinete herido en dicha ambulancia (*acompañado por el médico de la pista*) hasta una de las siguientes ubicaciones para trasladarlo a un hospital local;
  - Si las heridas se consideran poco graves, se trasladará al jinete en la Ambulancia del GGF fuera de la pista para que lo recoja la ambulancia del Departamento de Bomberos de la ciudad de Albany o
  - Si las heridas se consideran graves y no se puede movilizar al jinete, el personal de seguridad del GGF escoltará la ambulancia del Departamento de Bomberos de la ciudad de Albany directamente hacia la pista para que recoja al paciente.



**"ACTIVIDADES POSTERIORES A LAS HERIDAS"**

- Se debe informar el incidente de inmediato al representante correspondiente de la Asociación de jinetes de California (CSHA, por su sigla en inglés);
- Con el asesoramiento del médico de la pista, la gerencia debe completar un "Informe de investigación de accidentes/ heridas" luego de que se completen los procedimientos que se apuntaron anteriormente.

*Si la Ambulancia del GGF debe retirarse del predio, por cualquier motivo, se debe demorar la carrera hasta que una ambulancia de la ciudad u otra de reemplazo se encuentre en el lugar y disponible para brindar servicio médico en la pista.*



## EMERGENCY MEDICAL PROCEDURES -MORNING TRAINING-

### FOR ON-TRACK INJURY TO JOCKEYS OR EXERCISE RIDERS

#### "FIRST RESPONSE"

- In the event of an accident, the Outriders shall immediately contact the on-track GGF Human Ambulance using Radio Channel #1 ("Rider Down");  
*(or the accident may very well be witnessed directly by the Ambulance Crew);*
- The on-track GGF Human Ambulance (manned by GGF-contracted medical personnel) shall respond immediately to the site of the accident;
- The attending contracted medical personnel shall arrive equipped with advanced cardiac life support (ACLS) and other necessary acute medical treatment equipment & supplies;
- An "on-site evaluation" of injuries to the jockey(s) or exercise rider(s) shall be made by the attending contracted medical personnel.



#### "ON-SITE EVALUATION"

- Based on the attending contracted medical personnel "on-site evaluation" of injuries, a decision shall be made to either treat the injured jockey(s) or exercise rider(s) on-site or to request emergency medical transport for off-site treatment at a hospital trauma center;
  - If on-site treatment is deemed sufficient, the GGF Human Ambulance shall provide the injured jockey(s) or exercise rider(s) with appropriate medical treatment; or,
  - If off-site treatment is deemed necessary, "911" shall be used to summon a City of Albany Fire Dept. ambulance *(a Fire Dept. truck shall also respond)*.



#### "INJURY TREATMENT"

- If an injured jockey(s) is treated on-site:
  - the attending contracted medical personnel shall provide medical treatment until surrendering custody of the patient based on his/her medical judgment;
  - the Track Physician of the GGF Medical Clinic shall likewise be notified either via telephone or when arriving on-site (live race days only).
- If an exercise rider(s) is treated on-site:
  - the attending contracted medical personnel shall provide medical treatment until surrendering custody of the patient based on his/her medical judgment;
- If the City of Albany Fire Dept. ambulance is summoned to respond, the injured jockey(s) or exercise rider(s) shall be transferred to this ambulance at either of the two following locations for transport to a local hospital;
  - If the injuries are deemed less severe, the jockey(s) or exercise rider(s) will be transported by the GGF Human Ambulance to an off-track location for pick-up by the City of Albany Fire Dept. ambulance; or,
  - If the injuries are deemed severe and the jockey(s) or exercise rider(s) cannot be moved, the City of Albany Fire Dept. ambulance will be escorted by GGF security personnel directly onto the track for patient pickup.



#### "POST INJURY ACTIVITIES"

- The incident must be reported immediately to the appropriate CHSA representative;
- In consultation with the attending contracted medical personnel, an "Accident/Injury Investigation Report" must be completed by track management following completion of the above-noted procedures.

*Should the GGF Human Ambulance need to leave the track premises, for whatever reason(s), all morning training must be delayed until a city-chartered or other substitute ambulance is on-site and available for on-track medical service.*



## PROCEDIMIENTOS MÉDICOS DE EMERGENCIA -ENTRENAMIENTO MATUTINO-

### PARA HERIDAS A JINETES O JINETES DE EJERCICIO EN LA PISTA

#### "PRIMEROS AUXILIOS"

- En caso de accidente, los paradores deberán contactarse de inmediato con la Ambulancia para pacientes humanos del Golden Gate Fields (GGF) a través del canal de radio n.º 1 (por "jinete caído") (o tal vez el equipo de la ambulancia presencia el accidente directamente);
- La Ambulancia del GGF (con personal médico contratado del GGF) deberá actuar de inmediato en el lugar del accidente;
- El personal médico contratado que asista deberá contar con conocimientos en soporte vital cardiovascular avanzado (SVCA) y demás equipos y suministros necesarios de tratamiento médico inmediato;
- Dicho personal llevará a cabo una "evaluación en el lugar" de las heridas del jinete o del jinete de ejercicio.



#### "EVALUACIÓN EN EL LUGAR"

- De acuerdo con la evaluación de las heridas que realice el personal médico contratado en el lugar, se tomará una decisión acerca de tratar al jinete o jinete de ejercicio herido en dicho lugar o bien solicitar un transporte médico para emergencias para llevar a cabo un tratamiento en un centro de traumatología;
  - Si se considera suficiente el tratamiento en el lugar, la Ambulancia del GGF deberá proporcionar el tratamiento médico correspondiente al jinete o jinete de ejercicio herido o
  - Si es necesario un tratamiento fuera del predio, se deberá utilizar el "911" para llamar una ambulancia del Departamento de Bomberos de la ciudad de Albany (también podrá actuar un camión del Departamento de Bomberos).



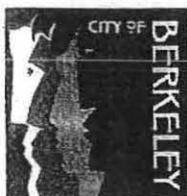
#### "TRATAMIENTO DE HERIDAS"

- Si se trata un jinete herido en el lugar:
  - el personal médico contratado que asista deberá proveer tratamiento médico hasta que derive al paciente de acuerdo con su criterio médico;
  - también se deberá notificar al médico de la pista de la Clínica Médica del GGF ya sea por vía telefónica o cuando llegue al lugar (únicamente los días de carreras).
- Si se trata un jinete de ejercicio en el lugar:
  - el personal médico contratado que asista deberá proveer tratamiento médico hasta derivar al paciente de acuerdo con su criterio médico;
- Si se llama a la ambulancia del Departamento de Bomberos de la ciudad de Albany para brindar atención, se trasladará al jinete o jinete de ejercicio herido en dicha ambulancia hasta una de las siguientes ubicaciones para trasladarlo a un hospital local;
  - Si las heridas se consideran poco graves, se trasladará al jinete o jinete de ejercicio en la Ambulancia del GGF fuera de la pista para que lo recoja la ambulancia del Departamento de Bomberos de la ciudad de Albany o
  - Si las heridas se consideran graves y no se pueden movilizar al jinete o jinete de ejercicio, el personal de seguridad del GGF escoltará la ambulancia del Departamento de Bomberos de la ciudad de Albany directamente hacia la pista para que recoja al paciente.



#### "ACTIVIDADES POSTERIORES A LAS HERIDAS"

- Se debe informar el incidente de inmediato al representante correspondiente de la Asociación de jinetes de California (CSHA, por su sigla en inglés);
- Con el asesoramiento del personal médico contratado que asista, la gerencia debe completar un "Informe de investigación de accidentes/ heridas" luego de que se completen los procedimientos que se apuntaron anteriormente.



Department of Fire and Emergency Services  
Division of Fire Prevention

Date: 1 May 2014

**Merry Scalzo**

Director of Operations  
Golden Gate Fields  
1100 Eastshore Highway  
Albany, CA 94706  
Phone (510) 559-7325  
Fax (510) 559-7465

**Subject: Fire & Life Safety Inspection – Golden Gate Fields - Stables**

On April 24, 2014 the Berkeley Fire Prevention Division conducted an annual inspection of the Golden Gate Field Horse Stables. This inspection will be valid through April 24, 2015. Our fire life safety report indicates that there are no outstanding violations noted or corrections need to be made at this time.

Should you have any questions regarding the annual inspection please call my office (510.981-5582) at your convenience.

Thank you for your time and I look forward to speaking with you in the near future.

A handwritten signature in black ink, appearing to read "Stan Fernandez". The signature is written in a cursive, flowing style.

Stan Fernandez  
Fire Inspector / Investigator

*Urban Village by the Bay***ALBANY CALIFORNIA**

CITY OF ALBANY



FIRE DEPARTMENT

1000 SAN PABLO AVENUE  
ALBANY, CA 94706  
510 528-5770[www.AlbanyCA.org/fire](http://www.AlbanyCA.org/fire)

Roy Roenbeck  
Environmental Health & Safety Director  
Pacific Racing Association/Golden Gate Fields  
11000 Eastshore Highway  
Berkeley, CA 94710

April 29, 2014

Mr. Roenbeck,

The Albany Fire Department conducted a Fire and Life safety inspection on April 15, 2014. A few minor issues were noted. Previous inspection issues have been corrected. Work orders for these current items have been issued and their compliance will be verified in a future inspection. All fire and life safety system services and inspections in place at this facility are current.

The policies and procedures, along with a trained staff used in this facility allow me to feel confident in granting Golden Gate Fields/Pacific Horse Racing Association a Fire Clearance to continue operations. This Fire Clearance will expire December 31, 2014. At which time a new clearance will need to be applied for. Should you have any further need of our service, please do not hesitate to contact me.

Yours sincerely,

Bill Svozil  
Fire Marshal  
City of Albany

# Certificate of Insurance

No.: 2014-182

Dated: June 26, 2014

This document supersedes any certificate previously issued under this number

This is to certify that the Policy(ies) of insurance listed below ("Policy" or "Policies") have been issued to the Named Insured identified below for the policy period(s) indicated. This certificate is issued as a matter of information only and confers no rights upon the Certificate Holder named below other than those provided by the Policy(ies).

Notwithstanding any requirement, term, or condition of any contract or any other document with respect to which this certificate may be issued or may pertain, the insurance afforded by the Policy(ies) is subject to all the terms, conditions, and exclusions of such Policy(ies). This certificate does not amend, extend, or alter the coverage afforded by the Policy(ies). Limits shown are intended to address contractual obligations of the Named Insured.

Limits may have been reduced since Policy effective date(s) as a result of a claim or claims.

<b>Certificate Holder:</b> California Horse Racing Board 1010 Hurley Way Sacramento, CA 95825 Attn.: Insurance Department	<b>Named Insured and Address:</b> 445327 Ontario Limited D/B/A The Stronach Group and their subsidiaries 455 Magna Drive Aurora, ON L4G 7A9  <b>Subsidiary/Divisions:</b> Pacific Racing Association 1100 Eastshore Highway Albany, CA 94706  and  Pacific Racing Association II dba Golden Gate Fields 1100 Eastshore Highway Albany, CA 94706
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**This certificate is issued regarding:**

Evidence of Insurance

Type(s) of Insurance	Insurer(s)	Policy Number(s)	Effective/Expiry Dates	Sums Insured Or Limits of Liability	
COMMERCIAL GENERAL LIABILITY	Zurich American Insurance Company	GLO 9302183-12	Jun 30, 2014 to Jun 30, 2015	Each Occurrence	USD 2,000,000
				Products-Completed Operations Aggregate	USD 2,000,000
				General Aggregate	USD 2,000,000
WORKERS' COMPENSATION & EMPLOYERS' LIAB	Liberty Mutual Fire Insurance Company	WA2-B7D-170912-014	Jun 30, 2014 to Jun 30, 2015	Workers' Compensation	Statutory
				Employers' Liability Each Accident	USD 1,000,000 Bodily Injury by Accident
				Employers' Liability Each Employee	USD 1,000,000 Bodily Injury by Disease
				Employers' Liability Policy Limit	USD 1,000,000 Bodily Injury by Disease

**Additional Information:**

The Commercial General Liability Policy, GLO9302183-12, placement was made by Marsh Commercial Business Center, a Service of Seabury & Smith, Inc., 9830 Colonnade Blvd # 400, San Antonio, TX 78230. Marsh Canada Limited has only acted in the role of a consultant to the client with respect to this placement, which is indicated here for your convenience.

The Workers' Compensation and Employers' Liability Policy, WA2-B7D-170912-014, placement was made by Marsh USA Inc. Marsh Canada Limited has only acted in the role of a consultant to the client with respect to this placement, which is indicated here for your convenience.

**Notice of cancellation:**

The insurer(s) affording coverage under the policies described herein will not notify the certificate holder named herein of the cancellation of such coverage.

**Marsh Canada Limited**

161 Bay Street, Suite 1400  
 Toronto, ON M5J 2S4  
 Telephone: 416-868-2123  
 Fax: -  
 stephanie.leite@marsh.com

Marsh Canada Limited

By:

Stephanie Wong

Jockey's Laundry F. Lorene Dutton, Bailey's Mobile Laundry, 3263 Vineyard Ave.,  
Pleasanton, CA 94566

Starting Gate Mike Costello, United Puett Starting Gate Co., P.O. Box 18, S. Salem, NY 10590

Track Photographer Bill Vassar, Vassar Photography, 1167 Sapphire Drive, Livermore, CA 94550

Does the association provide its own concessions? Yes  No

17. ON-TRACK ATTENDANCE/FAN DEVELOPMENT

A. Attach a copy of the promotional and marketing plans for the race meeting:

**2014 Marketing Plan on file with the Board; Fall Promotional schedule attached (Subject to Change)**

B. Promotional/ Marketing budget for this race meeting: **Attached**

Promotional/Marketing budget for prior race meeting: **Attached**

C. Number of hosts and hostesses employed for meeting:

**1 Customer Service Representative**

**5 Group Sales Representatives**

D. Describe facilities set aside for new fans:

**Customer Service – Our customer service center is open year round with a trained customer service representative to assist fans with general racing information, maintain our frequent fan club known as the THOROUGHBREDS, and assist with XpressBet sign ups and account maintenance**

**Mutuel Newcomer Window - A specific Mutuel teller window has been created which includes a hand-selected Mutuel clerk trained in customer service**

**Group Sales Contest Area – All group attendees can enter contests for prizes which creates a festive atmosphere where people can mingle and share their handicapping prowess**

E. Describe any improvements to the physical facility in advance of the meeting that directly benefit:

1. Horsemen

- **Received NTRA Track Safety Alliance Re-Accreditation valid through April 2016 with eight (8) best practices noted**
- **Built custom fence from Paddock to Stable Walk-In gate**
- **Built new Stable Walk-In Gate and enclosed backstretch Horsemen's viewing area for morning workouts**
- **Barn area Recreation Hall painting and refurbishing**
- **Designated an enclosed area in Grandstand as the Learning Center where various weekly activities are held, i.e., English classes, etc.**
- **Added two (2) surveillance cameras to the Main Stable Gate to monitor the traffic going in and out of the barn area**
- **Adding two (2) surveillance cameras to the new barn area Stable Walk-In gate**
- **Purchased 12 new portable cameras for stakes surveillance for races with purses \$100k+**
- **Touch up work on the 12 barn roofs repaired caused by winter storm damage**

**2014 Fall Meet Promotions Calendar****October**

- Oct. 17: Senior Friday  
Thoroughbreds Members 62 and older receive free Club House admission
- Oct. 19: Dollar NFL Sunday  
\$1 Gen. Admission, \$1 Gen. Parking, \$1 Programs, \$1 Hot Dogs, \$1 Sodas, \$1 Beers
- Oct. 24: Senior Friday  
Thoroughbreds Members 62 and older receive free Club House admission
- Oct. 25: Second Running of Let's Go 510 – The East Bay's 10k Run  
A 10k Run that Finishes on the track / After party during the races
- Oct. 26: Dollar NFL Sunday  
\$1 Gen. Admission, \$1 Gen. Parking, \$1 Programs, \$1 Hot Dogs, \$1 Sodas, \$1 Beers
- Oct. 31: Breeders' Cup – Day 1  
Senior Friday: Thoroughbreds Members 62 and older receive free Club House admission

**November**

- Nov. 1: Breeders' Cup – Day 2  
Dia De Los Muertos Festival  
Live Music and Entertainment
- Nov. 2: Dollar NFL Sunday  
\$1 Gen. Admission, \$1 Gen. Parking, \$1 Programs, \$1 Hot Dogs, \$1 Sodas, \$1 Beers
- Nov. 7: Senior Friday  
Thoroughbreds Members 62 and older receive free Club House admission  
Del Mar Fall Meet Opening Day
- Nov. 9: Dollar NFL Sunday  
\$1 Gen. Admission, \$1 Gen. Parking, \$1 Programs, \$1 Hot Dogs, \$1 Sodas, \$1 Beers
- Nov. 14: Senior Friday  
Thoroughbreds Members 62 and older receive free Club House admission
- Nov. 15: Canned Food Drive  
Dollar NFL Sunday  
\$1 Gen. Admission, \$1 Gen. Parking, \$1 Programs, \$1 Hot Dogs, \$1 Sodas, \$1 Beers
- Nov. 21: Senior Friday  
Thoroughbreds Members 62 and older receive free Club House admission
- Nov. 23: Dollar NFL Sunday  
\$1 Gen. Admission, \$1 Gen. Parking, \$1 Programs, \$1 Hot Dogs, \$1 Sodas, \$1 Beers

- Nov. 27: Thanksgiving at the Races  
Thanksgiving Buffet in the Turf Club
- Nov. 28: Senior Friday  
Thoroughbreds Members 62 and older receive free Club House admission
- Nov. 30: Dollar NFL Sunday  
\$1 Gen. Admission, \$1 Gen. Parking, \$1 Programs, \$1 Hot Dogs, \$1 Sodas, \$1 Beers

### December

- Dec. 5: Senior Friday  
Thoroughbreds Members 62 and older receive free Club House admission
- Dec. 7: Toy Drive: Toys-4-Tots  
Dollar NFL Sunday  
\$1 Gen. Admission, \$1 Gen. Parking, \$1 Programs, \$1 Hot Dogs, \$1 Sodas, \$1 Beers
- Dec. 12: Senior Friday  
Thoroughbreds Members 62 and older receive free Club House admission
- Dec. 14: Dollar NFL Sunday  
\$1 Gen. Admission, \$1 Gen. Parking, \$1 Programs, \$1 Hot Dogs, \$1 Sodas, \$1 Beers

### 2013 Fall Meet Promotions Calendar

#### October

- Oct. 18: Senior Friday  
Thoroughbreds Members 62 and older receive free Club House admission
- Oct. 19: Inaugural Let's Go 510 – The East Bay's 10k Run  
A 10k Run that Finishes on the track / After party during the races
- Oct. 20: Dollar Day  
\$1 Gen. Admission, \$1 Gen. Parking, \$1 Programs, \$1 Hot Dogs, \$1 Sodas, \$1 Beers
- Oct. 25: Senior Friday  
Thoroughbreds Members 62 and older receive free Club House admission
- Oct. 27: Ghostly Gate Fields Dollar Day: Halloween Family Day  
Free activities for kids, pumpkin patch, music  
\$1 Gen. Admission, \$1 Gen. Parking, \$1 Programs, \$1 Hot Dogs, \$1 Sodas, \$1 Beers

**November**

- Nov. 1: Senior Friday  
 Breeders' Cup – Day 1  
 Free Bet Day / Breeders' Cup Pay Day – Cash Voucher Giveaway
- Nov. 2: Breeders' Cup – Day 2  
 Free Bet Day / Breeders' Cup Pay Day – Cash Voucher Giveaway
- Nov. 3: Dollar Day  
 \$1 Gen. Admission, \$1 Gen. Parking, \$1 Programs, \$1 Hot Dogs, \$1 Sodas, \$1 Beers
- Nov. 8: Senior Friday  
 Thoroughbreds Members 62 and older receive free Club House admission
- Nov. 10: Dollar Day  
 \$1 Gen. Admission, \$1 Gen. Parking, \$1 Programs, \$1 Hot Dogs, \$1 Sodas, \$1 Beers
- Nov. 15: Senior Friday  
 Thoroughbreds Members 62 and older receive free Club House admission
- Nov. 17: Toy Drive to Support Toys-4-Tots  
 Dollar Day  
 \$1 Gen. Admission, \$1 Gen. Parking, \$1 Programs, \$1 Hot Dogs, \$1 Sodas, \$1 Beers
- Nov. 22: Senior Friday  
 Thoroughbreds Members 62 and older receive free Club House admission
- Nov. 24: Canned Food Drive  
 Dollar Day  
 \$1 Gen. Admission, \$1 Gen. Parking, \$1 Programs, \$1 Hot Dogs, \$1 Sodas, \$1 Beers
- Nov. 28: Thanksgiving at the Races  
 Thanksgiving Buffet Special in the Turf Club
- Nov 29: Black Friday TV Giveaway  
 Senior Friday: Thoroughbreds Members 62 and older receive free Club House admission

**December**

- Dec. 1: Dollar Day  
 \$1 Gen. Admission, \$1 Gen. Parking, \$1 Programs, \$1 Hot Dogs, \$1 Sodas, \$1 Beers
- Dec. 6: Senior Friday  
 Thoroughbreds Members 62 and older receive free Club House admission
- Dec. 8: Dollar Day  
 \$1 Gen. Admission, \$1 Gen. Parking, \$1 Programs, \$1 Hot Dogs, \$1 Sodas, \$1 Beers
- Dec 13: Senior Friday  
 Thoroughbreds Members 62 and older receive free Club House admission

Dec. 15: Dollar Day  
\$1 Gen. Admission, \$1 Gen. Parking, \$1 Programs, \$1 Hot Dogs, \$1 Sodas, \$1 Beers

**Budget: Fall Meet 2014**

Advertising: \$140,560

NFL Sunday Ticket: \$15,000

Dia De Los Muertos: \$5,000

Let's Go 510: \$0.00

Toys-4-Tots: \$0.00

Canned Food Drive: \$0.00

**Total Marketing Budget: \$160,560**

**Budget: Fall Meet 2013**

Advertising: \$133,967

Halloween Family Day: \$3,000

Toys-4-Tots: \$0.00

Breeders' Cup MMV: \$5,000

Black Friday TV Giveaway: \$5,000

Canned Food Drive: \$0.00

**Total Marketing Budget: \$146,967**

- Worked with Bay Alarm to ensure that our current barn area alarm system is up-to-date and compliant
- Installed two (2) new main track gap gates to better enhance horse safety
- Continual roadway, barn, and gutter repairs in the stable area

2. Fans

- Improved our simulcast signal to assist customers with handicapping by including pertinent carryover information, number of live tickets in designated exotic wagers, payoffs from previous races, total amount wagered per race, etc.
- Architect and designer team at Golden Gate Fields to employ changes to the facility
- Continuing with free shuttle service from the North Berkeley BART station to the race track. GGF has provided this popular complimentary service for six (6) years with ~10% of our total attendance using this service
- Adding new wager, Unique Ticket Pick6 (\$.20 minimum), to our wagering format with 30% of daily pool carrying over until only one (1) winner has all six (6) winners.
- Installed new carpeting in the Club House area
- Replenished our Club House box seat chair inventory by adding ~400 chairs
- Analyzing new admission policies including current THOROUGHBREDS frequent player program
- Added flat screen televisions in the Turf Terrace
- Added four (4) ATM machines in facility to replace outdated equipment
- New tip sheet vendor, West Coast Wheelie's, has proven successful and popular with our fans

3. Facilities in the restricted areas Equine Hospital

18. SCHEDULE OF CHARGES

A. Proposed charges, note any changes from the previous year:

Admission (general)	\$6.00 – Bundled price includes free program
Admission (club house)	\$8.00 – Bundled price includes free program
Admission (turf club)	\$10.00 Weekdays – Bundled price includes free program
Admission (turf club)	\$15.00 Weekends/Holidays – Bundled price includes free program
Reserved seating (general)	N/A
Reserved seating (clubhouse)	\$ 5.00 (Free with TB card) previously \$3.00
Parking (general)	\$ 5.00
Parking (preferred)	\$10.00
Parking (valet)	\$10.00
Programs (on-track)	\$ 2.50
(off-track)	\$ 2.50

Thoroughbred Club Members

Bundled Pricing includes free program

<b>General Admission:</b>		<b>Club House Admission:</b>	
1-10 Visits	\$5.00	1-10 Visits	\$6.00
11-20 Visits	\$4.00	11-20 Visits	\$5.00
21-30 Visits	\$3.00	21-30 Visits	\$4.00

31-40 Visits	\$2.00	31-40 Visits	\$3.00
Over 40 Visits	\$1.00	Over 40 Visits	\$2.00
		Reserved seating	Free

**Thoroughbred Club Prepaid Admission/Program Packages**

TB Club Members can purchase a minimum of 30 Prepaid G/A admissions @ \$2.00 each  
 TB Club Members can purchase a minimum of 15 Prepaid C/H admissions @ 4.00 each  
 Horsemen Free

B. Describe any "Season Boxes" and "Turf Club Membership" fees:

Turf Club – Full Season Single Membership	\$ 1,000.00
Turf Club – Full Season Dual Membership	\$ 2,000.00
Top of the Stretch – Full Season Membership	\$ 500.00
Club House Box (4 seats, no admission)	\$ 450.00
Club House Season Pass (Admission only)	\$ 400.00
Grandstand Season Pass (Admission only)	\$ 200.00

C. Describe any "package" plans such as combined parking, admission and program:  
**Bundled pricing, except on \$1 Sundays, includes admission and program (see above)**

**19. JOCKEYS/DRIVERS' QUARTERS**

A. Check the applicable amenities available in the jockeys/drivers' quarters:

<input checked="" type="checkbox"/> Corners (lockers and cubicles)	How many	<input type="text" value="23"/>
<input checked="" type="checkbox"/> Showers	<input checked="" type="checkbox"/> Steam room, sauna or steam cabinets	<input checked="" type="checkbox"/> Lounge area
<input checked="" type="checkbox"/> Masseur	<input checked="" type="checkbox"/> Food/beverage service	<input checked="" type="checkbox"/> Certified platform scale

B. Describe the quarters to be used for female jockeys/drivers: **Fifteen by twenty foot room accessed from a community hallway. The room has a television and bed with attached shower room, sink, and toilet.**

**20. BACKSTRETCH EMPLOYEE HOUSING**

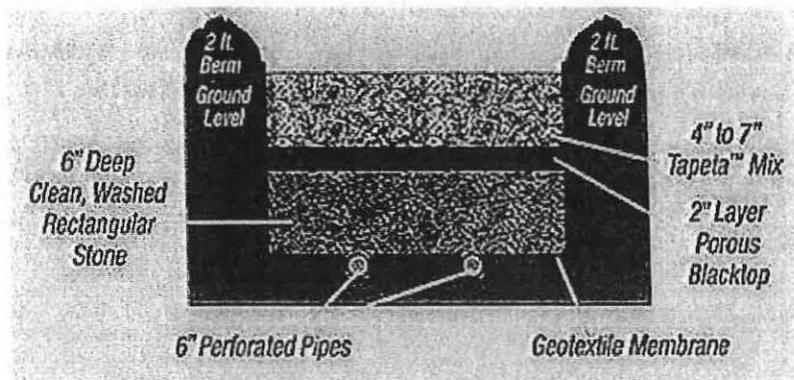
- A. Inspection of backstretch housing has been requested and will be completed prior to the beginning of the race meet.
- B. Number of rooms used for housing on the backstretch of the racetrack: **128**
- C. Number of restrooms available on the backstretch of the racetrack: **18 with showers, 7 in office areas**
- D. Estimated ratio of restroom facilities to the number of backstretch personnel:  
**1 restroom for every 12 people**

**21. TRACK SAFETY**

A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line:  feet.

- B. Describe the type of track surface at the facility, including the specific track surface composition:

**Tapeta® Footings Synthetic Track Surface**



- C. The percent of cross slope in the straight-aways is: **1.5%**  
The percent of cross slope in the center of the turns is: **4%**
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.  
**Inner and outer railings are metal gooseneck. Coverings are Rider Protection Rail by Horsemen's Track & Equipment, Inc. Approximate height of the top of the inner railing is 42 inches.**
- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474:  
**Juan Meza**
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474. **On file**
- G. If the association is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing. **Not applicable**

**22. DECLARATIONS**

- A. All labor and lease agreements and concession and service contracts necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state):  
**No exceptions**
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.  
**CTT agreement forwarded to Board upon receipt**
- C. Attach a lease agreement permitting the association to occupy the racing facility during the entire term of the meeting. (In the absence of either a lease agreement or a horsemen's agreement, a

request for an extension pursuant to CHRB Rule 1407 shall be made). N/A

- D. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state): **No exceptions**
- E. Absent natural disasters or causes beyond the control of the association, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the association except as follows (if no exceptions, so state): **No exceptions**

NOTICE TO APPLICANT: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

23. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the association to attest to this application on its behalf.

CALVIN J RAINET  
Print Name

  
Signature

VICE PRESIDENT GENERAL MGR.  
Print Title

7/12/14  
Date

STAFF ANALYSIS  
DISCUSSION AND ACTION BY THE BOARD  
REGARDING THE ALLOCATION OF THE 2015 HARNESS RACE DATES

Regular Board Meeting  
August 21, 2014

ISSUE

The 2015 harness race dates have not been allocated. Watch and Wager, LLC has requested 2015 race dates for its harness race meeting.

ANALYSIS

The Board at its June 13, 2013 regular meeting allocated the following 2014 race dates to Watch and Wager LLC. to conduct harness racing at Cal Expo.

- Harness racing at Cal Expo – December 26, 2013 through June 24, 2014
- Harness racing at Cal Expo – October 1, 2014 through December 24, 2014

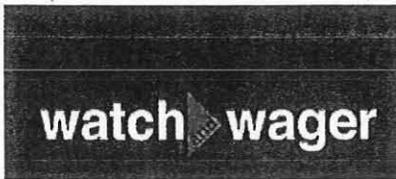
At the June 2013 Board meeting, Cal Expo submitted that for 2015 it believed that Watch and Wager would wish to conduct harness racing in 2015 on a schedule similar to 2013 and 2014. Consequently, it was requested that the 2015 racing calendar include the possibility of two harness race meetings; one from late December 2014 to mid June 2015 and one from early October 2015 to late December 2015. The exact dates were to be determined. Cal Expo also reiterated that the harness racing days would be operated by Watch and Wager LLC. No action was taken on the allocation of 2015 harness race dates at that meeting, as no exact date block was submitted.

Watch and Wager LLC. has submitted the following proposal for 2015 harness race dates at Cal Expo:

- Harness racing at Cal Expo – December 26, 2014 through May 10, 2015
- Harness racing at Cal Expo – October 2, 2015 through December 20, 2015

RECOMMENDATION:

Staff recommends the Board hear from Watch and Wager LLC.



Operating Harness Racing at Cal Expo

August 4, 2014

Mr. Rick Baedeker  
Executive Director  
California Horse Racing Board  
1010 Hurley Way, Suite 300  
Sacramento, CA 95825

Dear Mr. Baedeker,

Watch & Wager, LLC respectfully requests racing dates for the 2015 race meet. Attached is a calendar showing the dates that we would like to block for live racing, covering December 26, 2014 through May 10, 2015 and continuing from October 2, 2015 through December 20, 2015.

We appreciate your consideration of our request.

Sincerely,

A handwritten signature in black ink, appearing to read "Ben Kenney". The signature is fluid and cursive, with a long horizontal line extending to the right.

Benjamin Kenney,  
Watch & Wager LLC

cc: Jackie Wagner  
Chris Schick



# Cal Expo Harness Racing Calendar Winter/Spring 2014-2015

 Denotes live racing

December 2014

Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30	31			

January 2015

Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
				1		
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30	31					

February 2015

Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29						

March 2015

Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
1	2	3	4	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

April 2015

Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30		

May 2015

Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30
31						



# Cal Expo Harness Racing Calendar Fall 2015

 Denotes live racing

## October 2015

Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
				1		
	5	6	7	8		
	12	13	14	15		
	19	20	21	22		
	26	27	28	29		

## November 2015

Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
	2	3	4	5		
	9	10	11	12		
	16	17	18	19		
	23	24	25	26		
	30					

## December 2015

Sunday	Monday	Tuesday	Wednesday	Thursday	Friday	Saturday
		1	2	3		
	7	8	9	10		
	14	15	16	17		
	21	22	23	24	25	26
27	28	29	30	31		

STAFF ANALYSIS  
August 21, 2014

Issue: APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE WATCH AND WAGER, LLC AT CAL EXPO OCTOBER 4, 2014 THROUGH DECEMBER 21, 2014.

The Watch and Wager.com, LLC (WAW) is applying to run a harness race meeting at Cal Expo. WAW is owned by European Wagering Services Limited (EWS), an Isle of Man, United Kingdom company. EWS is a wholly owned subsidiary of Webis Holdings PLC. EWS holds licenses with the Isle of Man Gambling Supervision Commission and the North Dakota Racing Commission for operating the advance deposit wagering platforms link2bet and Watch and Wager. Golden Bear Racing, LLC (GBR) is under a management agreement with WAW. GBR will manage the day to day operations of the race meeting.

- Watch and Wager filed its application to conduct a harness horse racing meeting at Cal Expo. Watch and Wager proposes to conduct a harness race meeting October 4, 2014 through December 21, 2014, or 20 days, the same number of days Watch and Wager raced in 2013. In 2013 Watch and Wager raced October 5, 2013 through December 21, 2013. The association proposes to race a total of approximately 284 races. The (actual) average daily purse for the Watch and Wager 2013 meet during the same time period was \$47,899. The (estimated) average daily purse for this meet is \$45,918.
- The race dates proposed are the dates allocated:

October - 2014						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
			1	2	3	4
5	6	7	8	9	10	11
12	13	14	15	16	17	18
19	20	21	22	23	24	25
26	27	28	29	30	31	

November - 2014						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
						1
2	3	4	5	6	7	8
9	10	11	12	13	14	15
16	17	18	19	20	21	22
23	24	25	26	27	28	29
30						

December - 2014						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
	1	2	3	4	5	6
7	8	9	10	11	12	13
14	15	16	17	18	19	20
21	22	23	24	25	26	27
28	29	30	31			

- Racing 1 night per week in October, Saturday; 2 nights per week in November and December, Saturdays and Sundays.
- First post 6:15, Saturdays; 4:45, Sundays.
  - The association requests authorization to alter post times as needed to compliment Los Alamitos or any other evening programs if applicable.
  - Business and Professions Code section 19464(b) specifies no application for license to conduct a horse racing meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of \$100,000, or a greater amount, as determined by the Board, which is sufficient to ensure payment of employees wages and benefits including, but not limited to, health, welfare and pension plans. The surety bond shall be maintained during the period of the meeting that all payments are made. In the event of a

dispute over the amount owed, the dispute shall be resolved through the grievance procedures set forth in the labor agreement of the union representing the class of employees affected.

This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years. Watch and Wagers initial race meeting was conducted after 2001. Therefore, it is subject to the provisions of the Business and Professions Code section 19464(b). WAW has a bond on file with the Board; it expires September 1, 2014.

- WAW is requesting a takeout reduction for three wagers on its nightly harness racing program. The proposed reduction is being sought for the \$0.10 Unique Winner Pick 6; \$0.50 Pick 5, \$0.20 Pick 4 and the \$0.10 Super Hi-5. The harness takeout for exotic wagers is 24.18% and WAW is seeking a 16% takeout for the four wagers listed. WAW has cited Business and Professions Code section 19610.8 on which they base their reduction request.

Business and Profession Code section 19610.8 states upon the joint request of the association or fair accepting the wager, and the organization representing the horsemen and horsewomen participating in the meeting of the association or fair accepting the wager, the Board may set the total percentage deducted from the parimutuel pool for proposition wagers and any new type of wager introduced after January 1, 2004, in an amount of at least 10 percent and not more than 30 percent of the amount handled in the pari-mutuel pool for the wager.

- Wagering program will use CHRB and ARCI rules.
  - Early wagering will begin at 10:00 a.m. each day.
  - \$0.20 PNP4 last 4 races 16% takeout, 90% carryover if no correct tickets with four winners, 10% minor pool payout. 16% takeout.
  - \$0.50 PNP5 starting on the first race. One tier payoff 100% carryover. 16% takeout.
  - \$.10 Pentafecta selecting the first five finishers in the same race. 100% payout to all tickets selecting five winners. No consolation. 100% carryover if no ticket has five winners. Super High-5 will be carded as the last race. 16% takeout.
  - \$0.10 Pick (n) Pool on races 3 through 8. 100% pool plus carryover paid for unique ticket selecting 6 winners. Otherwise 70% daily pool paid to tickets selecting most winners, 30% daily pool added to carryover. 16% takeout.
  - Request for Saturday \$20,000 guaranteed Pick-4 pool.
  - Request for Sunday \$20,000 guaranteed Pick-4 pool.
- Advance Deposit Wagering (ADW) providers are ODS Technologies, L.P. dba TVG; XpressBet, LLC; Churchill Downs Technology Initiatives Company, dba Twinpires; Lien Games Racing, LLC, dba Bet America; and Watch and Wager.

Pursuant to Business and Profession Code section 19604, specific provisions must be met before an ADW provider can accept wagers.

Summary of B&P code 19604

*To accept wagers on races conducted in California from a resident of California.*

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

*To accept wagers on races conducted outside of California from a resident of California.*

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

Documents received in compliance with Business and Professions code section 19604:

- WatchandWager.com, LLC, has submitted all documents required in compliance with Business and Professions Code section 19604.
- Simulcasting conducted with out-of-state racing jurisdictions pursuant to Business and Professions Code section 19602; and with authorized locations throughout California.
- Track safety inspection has been requested and will be completed before the race meeting begins.

Specific information **still needed** to complete this application includes:

- Bond or bond extension; bond on file expires September 1, 2014.
- Pertinent contract and/or agreements required pursuant to Business and Professions Code section 19604 that allows Watch and Wager's designated ADW providers: Xpressbet, TwinSpires, TVG, and Bet America, to accept wagers on races conducted in and outside of California for the applied license term.
- Fire clearance.

**RECOMMENDATION:**

If the application is considered for approval, staff recommends a contingent approval pending the submission of outstanding items.

STATE OF CALIFORNIA  
 CALIFORNIA HORSE RACING BOARD  
 APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING  
 CHRB-17 (Rev 07/11)

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting in accordance with the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

**1. APPLICANT ASSOCIATION**

- A. Name, mailing address, telephone, fax numbers, and the email address for associations contact person: Watch and Wager.com LLC, 20 California St 7<sup>th</sup> Floor, San Francisco, Ca, 94111 415-503-4041, Ed Comins, ecomins@watchandwager.com
- B. Breed of horse: TB  QH  H
- C. Racetrack name: California Exposition & State Fair ( Cal Expo )
- D. Attach a certified check payable to the Treasurer of the State of California in the amount of \$10,000 as deposit for license fees pursuant to Business and Professions Code section 19490.
- E. Was the association licensed to operate a race meeting prior to January 1, 2001?
- Yes  No

If no, attach a surety bond in the amount of one hundred thousand dollars.  
 Bond on file with CHRB.

**NOTICE TO APPLICANT:** No application for a license to conduct a race meeting shall be granted unless the applicant has deposited with the Board a surety bond in the amount of one hundred thousand dollars (\$100,000), or a greater amount, as determined by the board, which is sufficient to ensure payment of employee wages and benefits including, but not limited to, health, welfare, and pension plans. The surety bond shall be maintained during the period of the meeting and for an additional period, as determined by the board, sufficient to assure that all payments are made. This subdivision does not apply to any person or association licensed to operate a horse race meeting prior to January 1, 2001, which has conducted a race meeting in each of the immediate three previous consecutive calendar years. The \$100,000 surety bond amount maybe increased to an amount determined by the Board at the time the application is scheduled for hearing pursuant to Business and Professions Code section 19464(b).

**NOTICE TO APPLICANT:** Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

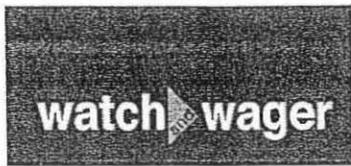
**2. DATES OF RACE MEETING**

- A. Inclusive dates allocated for the entire meeting October 4, 2014 thru December 21, 2014
- B. Actual dates racing will be held: October: 4,11,18,25 November: 1,2, 8,9, 15,16, 22,23, 29,30, December: 6,7, 13,14, 20,21.
- C. Total number of days or nights of racing: Twenty (20) Calendar Attached.
- D. Days or nights of the week races will be held:  
 Wed - Sun  Tues - Sat  Other : Saturday only in October, Saturday and Sunday in November/ December.

**CHRB CERTIFICATION**

Application received: 7/28/14  
 Deposit received: ON-FILE  
 Reviewed: 7/30/14

Hearing date: 8/21/14  
 Approved date:  
 License number:



# Cal Expo Harness Racing Calendar Fall 2014

 Denotes live racing

## October 2014

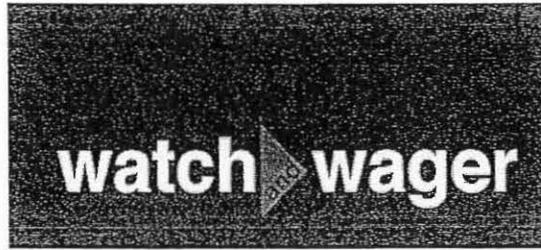
			1	2	3	4	
5	6	7	8	9	10	11	
12	13	14	15	16	17	18	
19	20	21	22	23	24	25	
26	27	28	29	30	31		

## November 2014

							1
							
2	3	4	5	6	7	8	
	9	10	11	12	13	14	15
	16	17	18	19	20	21	22
	23	24	25	26	27	28	29
	30						

## December 2014

	1	2	3	4	5	6	
7	8	9	10	11	12	13	
	14	15	16	17	18	19	20
	21	22	23	24	25	26	27
	28	29	30	31			



## Cal Expo Harness Racing

### 2014 Fall Saturday & Sunday Post Time Schedule.

	Saturday	Sunday
Race 1	6:15	4:45
Race 2	6:38	5:13
Race 3	7:02	5:38
Race 4	7:27	6:02
Race 5	7:51	6:26
Race 6	8:15	6:50
Race 7	8:38	7:14
Race 8	9:01	7:38
Race 9	9:24	8:02
Race 10	9:46	8:25
Race 11	10:07	8:48
Race 12	10:27	9:02
Race 13	10:47	9:25
Race 14	11:07	
Race 15 *	11:26	

\* if applicable

Note: Cal Expo will coordinate its post times with Los Alamitos and any other twilight or nighttime thoroughbred signal.

- E. Number of days or nights of racing per week: 1 night per week in October, 2 nights per week in November and December.

**3. RACING PROGRAM**

- A. Total number of races: Up to 284
- B. Number of races for each day or night: Up to 15 races on Saturday. Up to 13 races on Sunday.
- C. Total number of stakes races: 12.
- D. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each. Note the races that are designated for California-bred horses.

Stake schedule attached.

- E. Will provisions be made for owners and trainers to use their own registered colors?  
 Yes  No      If no, what racing colors are to be used: Drivers and Trainers will use their own registered colors.

- F. List all post times for the daily racing program: Saturday post time 6:15 Sunday post time 4:45  
 Post time schedule attached.

**NOTICE TO APPLICANT:** Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813. For thoroughbred and quarter horse meetings, the total amount distributed for California-bred stakes races from the purse account, including overnight stakes, shall not be less than 10% of the total amount distributed for all stakes races pursuant to Business and Professions Code section 19568(b).

**4. RACING ASSOCIATION**

- A. Association is a:  Corporation (complete subsection C)  
 LLC (complete subsection D)  
 Other (specify, and complete subsection E)

- B. Complete the applicable subsection and attached Addendum, Background Information and Ownership.

**C. CORPORATION**

1. Registered name of the corporation:
2. State where incorporated:
3. Registry or file number for the corporation:
4. Names of all officers and directors, titles, and the number of shares of the corporation held by each:
5. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each:
6. Number of outstanding shares in the corporation:

## 2014-2015 CALIFORNIA STANDARD BRED SIRES STAKES SCHEDULE

(Entry Fee per Stakes Race: \$150 (Day of entry – local track rules apply))

### 3 YO Series 2014-2015 (Foals of 2011) Series #34

<u>DATE</u>	<u>Sex/Gait</u>	<u>Purse</u>
November 15, 2014	Colt Pace	\$10,000 Purse
November 23, 2014	Filly Pace	\$10,000 Purse
November 29, 2014	Colt Pace	\$10,000 Purse
November 30, 2014	Trot	\$10,000 Purse
December 7, 2014	Filly Pace	\$10,000 Purse
December 14, 2014	Trot	\$10,000 Purse

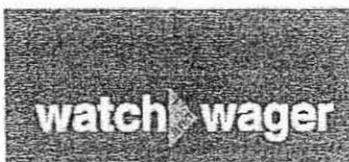
## CAL-EXPO 2014 FALL LATE CLOSERS

Event				Fees		Dates			Purse	
#	Title		Description	Nom.	Start	Leg 1	Leg 2	Final	Legs	Final Added \$
1	Bill Conlin	Pace C&G	Horses and geldings that have started in a \$4,000 claiming race or less since 5/1/14 and have not won a race for a purse of more than \$4,000 since 5/1/14	50	50	10/4	10/11	10/18	2,800	5,000
2	Annette Funicello	Pace F&M	Fillies and mares that have started in a \$4,000 claiming race or less since 5/1/14 and have not won a race for a purse of more than \$4,000 since 5/1/14	50	50	10/4	10/11	10/18	2,800	5,000
3	Kirk Breed	Pace	NW 150 PS in 2013-14 (F&M NW 250 PS); AE: NW \$1,000-5 (F&M NW \$1,500-5) - W/O \$10,000 (F&M \$15,000) in 2013-14 NE	50	50	11/2	11/9	11/16	2,800	5,000
4	Robert Staats	Pace F&M	Fillies & Mares NW \$10,000 LT (DHA \$15,000) as of 10/1/14; AE NW \$20,000 (DHA \$30,000) as of 10/1/14 to be claimed for \$6,000	100	100	11/9	11/16	11/30	3,500	6,000
5	Joe Lighthill	Trot	6 YO & Under NW \$10,000 LT (DHA & F&M \$15,000) as of 10/1/14; AE: NW \$20,000 (DHA & F&M \$30,000) as of 10/1/14 to be claimed \$6,000	100	100	11/9	11/16	11/23	3,500	6,000
6	Alan Kirschenbaum	Pace	NW 250 PS in 2013-14 (F&M NW 400 PS); AE: NW \$1,500-5 (F&M NW \$2,250-5) - W/O \$15,000 (F&M \$22,500) in 2013-14 NE	50	50	12/7	12/14	12/21	2,800	5,000

Claiming races include DHA, F&M and age allowances.

Top 10 money earners qualify for final Fields will split at 13.

Four year olds (CA Bred 5 yo) shall receive lifetime earning allowances as follows: 50% of two year old earnings (CA Bred 3 yo); 25% of three year old earnings (CA Bred 4 yo)



Operating Harness Racing at Cal Expo  
 Managed By Golden Bear Racing, LLC

## Watch and Wager @ Cal Expo

### Fall 2013 Stakes Schedule

October 26: The Annette Funicello Series	\$9,200 A
October 26: The Bill Conlin Series	\$9,200 A
October 26: The Jim Dennis Series	\$9,400 A
October 26: The Mike Corley Series	\$9,400 A
November 15: The Robert Staats Series	\$13,000 A
November 16: California Sire Stakes	\$10,000
November 22: The Joe Lighthill Series	\$13,000 A
November 22: California Sire Stakes	\$10,000
November 29: California Sire Stakes	\$10,000
November 30: California Sire Stakes	\$10,000
December 6: California Sire Stakes	\$10,000
December 13: California Sire Stakes	\$10,000
December 21: The Robert Gordon Series	\$13,000 A

- 7. Are the shares listed for public trading? Yes  No   
If yes, on what exchange and how is the stock listed:
- 8. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation:
- 9. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:  
  
A. Is parent and/or paired corporation or entity a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F. If yes, answer questions 10-17. Yes  No
- 10. Registered name of the corporation:
- 11. State where incorporated:
- 12. Registry or file number for the corporation:
- 13. Names of all officers and directors, titles, and the number of shares of the corporation held by each:
- 14. Names (true names) of all persons, other than the officers and directors listed above, that hold 5% or more of the outstanding shares in the corporation and the number of shares held by each:
- 15. Number of outstanding shares in the corporation:
- 16. Are the shares listed for public trading? Yes  No   
If yes, on what exchange and how is the stock listed:
- 17. Name of the custodian of the list of shareholders and/or the transfer agent for the share holdings of the corporation:

D. LLC

- 1. Registered name of the LLC: Watch and Wager .com LLC
- 2. State where articles of organization are filed: Nevada
- 3. Registry or file number for the LLC: C20120420-0901
- 4. Attach a list of the names of all members (including individuals (true names), corporations, other LLCs and or foreign entities), titles, and the number of shares of the LLC held by each: Ed Comins, Managing Member, Chief Operating Officer (1 share)
- 5. Are the shares listed for public trading? Yes  No   
If yes, on what exchange and how the stock is listed:
- 6. If more than 50% of the shares are held by a parent corporation or are paired with any other corporation or entity, give the name of the parent and/or paired corporation or entity:  
European Wagering Services 100 % Shareholder  
A. Is parent and/or paired entity either a publically traded or privately held company that guarantees the obligation of the applicant? If no, proceed to section F. If yes, answer questions 7-12. Yes  No
- 7. Registered name of the LLC/Corporation: Watch and Wager.com Ltd.
- 8. State where articles of organization are filed: Isle of Man, United Kingdom
- 9. Registry or file number for the LLC: IOM Registration # 0984CC2
- 10. Attach a list of the names (true names) of all members (members may include individuals, corporations other LLCs and foreign entities), titles, and the number of shares of the LLC

held by each: D H N Eke, Executive Chairman, 0 Shares – Ed Comins, COO, 0 Shares.

Watch and Wager.com LTD is a wholly owned subsidiary of Webis Holdings PLC, a public company listed on AIM ( London ) United Kingdom.

11. Are the shares listed for public trading? Yes X
12. If yes, on what exchange and how the stock is listed: London Stock Exchange

E. OTHER

1. Name(s) of partners/sole proprietor:
2. If a partnership, attach partnership agreement.

F. FINANCIAL INFORMATION \*

1. Attach the most recent audited annual financial statement or financial report for the applicant. The financial statement or financial report shall include all relevant financial information specific to the applicant including:
  - Statement of Financial Position: also referred to as a balance sheet. Statement should report on applicant's assets, liabilities, contingent liabilities and ownership equity as of the date of the prepared statement.
  - Statement of Comprehensive Income: also referred to as Profit and Loss ("P&L") Statement. Statement should include report on applicant's income, expenses, and profits.
  - Profit and Loss statement for prior two years race meeting and Profit and Loss statement for projected year race meeting.
  - Statement of Changes in Equity: to include the changes of the applicants' equity through the reporting period.
  - Statement of Cash Flows: to include a report of the applicant's cash flow activity, particularly its operating investing and financing activities during the reporting period.
  - Copy of a report made during the preceding 12 months to shareholders in the corporation and/or the Securities and Exchange Commission and/or the California Corporations Commission.

Watch and Wager.com audited financials on file.

**\*NOTICE TO APPLICANT:** The financial information provided pursuant to subsection (F) above is exempt from disclosure pursuant to Government Code section 6254(k) and non-disclosable to the public.

G. MANAGEMENT AND STAFF

1. Name and title of the managing officer and/or general manager of the association and the name and title of all department managers and staff, other than those listed in 13B, who will be listed in the official program: Ed Comins- Managing Member & Chief Operating Officer, Watch & Wager,com LLC.

Watch & Wager.com LLC has entered into a management operating agreement with Golden Bear Racing LLC.

Golden Bear Racing LLC is registered in California. There are two members of Golden Bear LLC, Benjamin Kenney and Christopher Schick. Golden Bear will operate on behalf of Watch & Wager .com LLC the day to day operations of the proposed harness meeting. Management agreement on file with the board.

Christopher Schick,	General Manager
Benjamin Kenney,	Chief Financial Officer
Kate Phariss,	Director of Backstretch Operations
Glen Harris,	Mutuel Manager
John Robert W.	Track Superintendent
Gary Seibel,	Announcer/ Simulcast Host
Martin Bridges,	Program Director

- Name and title of the person(s) authorized to receive notices on behalf of the association and the mailing and email address of such person(s). Christopher Schick and/or Benjamin Kenney 25 Cadillac Drive #201, Sacramento, Ca 95825 E-Mail [chris@goldenbearracing.com](mailto:chris@goldenbearracing.com) [ben@goldenbearracing.com](mailto:ben@goldenbearracing.com)

**5. TAKE OUT PERCENTAGE**

- If this is a thoroughbred race meeting, will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If yes, identify the wager and the proposed takeout percentage.

Yes  No

Wager(s) to be adjusted: \_\_\_\_\_ Proposed percentage: \_\_\_\_%

- Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the thoroughbred association and the horsemen's organization for the meeting of the thoroughbred association accepting the wager.

**NOTICE TO APPLICANT:** Pursuant to Business and Professions Code section 19601.01 notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the board, unless otherwise specified in the notice.

**6. HANDLE HISTORY**

- Complete the table below providing the last five years of handle and attendance for your racing association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation. If the racing association has changed ownership include the handle information for the previous racing association.

Year	Handle	Attendance
2014 YTD ( 37 Days)	36,545,783	98,245
2013 ( 68 Days)	62,552,634	134,245
2012 ( 69 Days)	66,256,754	131,750
2011 ( 117 Days )	84,237,361	304,981
2010 ( 134 Days )	92,767,118	389,129
2009 ( 138 Days )	98,225,844	474,422

**7. PURSE PROGRAM (Excluding supplements, nominations, sponsorships and starter fees.):**

A. Purse distribution: Proposed Current Meet – Oct 4, 2014 thru Dec 21,2014, 20 Racing Nights.  
 Prior meet comparison Oct 5,2013 thru Dec 21, 2013. 20 Racing Nights.

- 1. All races other than stakes:  
 Current meet estimate: \$ 750,000  
 Prior meet actual: \$ 755,825

Average Daily Purse (7 A1 ÷ number of days):  
 Current meet estimate: \$ 37,500  
 Prior meet actual: \$ 37,791

- 2. Overnight stakes  
 Current meet estimate: \$32,000  
 Prior meet actual: \$77,000

Average Daily Purse (7 A2 ÷ number of days):  
 Current meet estimate: \$2,000  
 Prior meet actual: \$3,850

- 3. Non-overnight stakes:  
 Current meet estimate: \$ 60,000  
 Prior meet actual: \$ 60,000 0

Average Daily Purse (7 A3 ÷ number of days):  
 Current meet estimate: \$ 3,000  
 Prior meet actual: \$ 3,000

- 4. Total Purses: (7A1+7A2+ 7A3)  
 Current meet estimate: \$ 842,000  
 Prior meet actual: \$ 892,825

B. California-bred Stakes Races:

- 1. Percentage of the purse distribution for all stakes races that will be distributed for California-bred stakes races: California Sires Stakes 3Yo Pacers and Trotters.

Current meet estimate: 65.2%  
 Prior meet actual: 43.9%

Average Daily Purse (7 B1 ÷ number of days):

Current meet estimate: 3.26%

Prior meet actual: 2.2%

- C. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):

Current meet estimate: \$ 24,780

Prior meet actual: \$ 24,194

- D. Recognized Horsemen's Organization: California Harness Horseman's Association

Current meet estimate:

Prior meet actual:

Total \$ 44,160

Total \$ 40,968

- E. Amount from all sources to be distributed in the form of purses or other benefits to horsemen (7 A+7 C+7 D):

Current meet estimate: \$ 918,354

Prior meet actual: \$ 957,987

Average Daily Purse (7 E ÷ number of days):

Current meet estimate: \$ 45,918

Prior meet actual: \$ 47,899

- F. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s):

Current meet estimate: \$ 516,000

Prior meet actual: \$ 522,411

Average Daily Purse (7 F ÷ number of days):

Current meet estimate: \$ 25,800

Prior meet actual: \$ 26,121

- G. Purse funds to be generated from interstate handle:

Current meet estimate: \$ 65,000

Prior meet actual: \$ 67,910

Average Daily Purse (7 G ÷ number of days):

Current meet estimate: \$ 3,250

Prior meet actual: \$ 3,396

- H. Bank and account number for the Paymaster of Purses' purse account: Union Bank # on-file

- I. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting: Disher Accountancy Corp. 1816 Maryal Dr. Sacramento, Ca. 95864 916- 482-4224  
disher\_accountancy@msn.com

**NOTICE TO APPLICANT:** All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, **shall not** be deemed as income to the association; **shall not** be transferred to a parent corporation outside the State of California; and **shall**, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt, into such liability account. In the event the association is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the association shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The association is entitled to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the association is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the association may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

## 8 STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held: 1020
- B. Minimum number of stalls believed necessary for the meeting: 650
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers: NA
- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site: NA
- E. Attach each contract or agreement between the association and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site. NA

Complete subsections F through H if the association will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the **1986** meeting, pursuant to Business and Professions Code section 19535(c):
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per day per stall: NA
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for vanning per-horse: NA

## 9 PARI-MUTUEL WAGERING PROGRAM

- A. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, associations may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9,

Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9)

### Proposed Wagering Format

The following CHRB and ARCI wagering rules are requested.

Type	Rule Number
Exacta (1E)	1959
Daily Double (1DD)	1957
Trifecta ( 0.20 Tri)	1979
Superfecta (.10SF)	1979.1
Pick-3 (1 PK3)	1977
Pick-4 ( 0.20 PNP4)	1976.9
Pick-5 ( .50 PNP5)	1976.9
Pentafecta ( .10 Super H5)	ARCI 004-105-X- Option 3
PN6 ( 0.10)	ARCI 004-105G- (g)

Race 1: 1E, 1DD, 0.20 Tri, 0.50 PNP5

Race 2: 1E, 0.20 Tri, .10 SF

Race 3: 1E, 0.20 Tri, 0.10 PN6

Race 4: 1E, 0.20 Tri, .10SF, 0.20 P3

Race 5: 1E, 0.20 Tri, .10SF

Race 6: 1E, 0.20 Tri, .10SF

Race 7: 1E, 0.20 Tri, .10SF, 0.20 P3

Race 8: 1E, 0.20 Tri, .10SF

Race 9: 1E, 0.20 Tri, .10SF

Race 10: 1E, 0.20 Tri, .10SF, 0.20 P3

Race 11: 1E, 0.20 Tri, 1PNP4

Race 12: 1E, 0.20 Tri, .10SF

Race 13: 1E, 0.20 Tri, .10SF, 0.20 P3

Race 14: 1E, 0.20 Tri, .10SF, 1DD

Race 15: 1E, 0.20 Tri, .10 Super H5

\$0.20 PNP4 on last four races. 16% takeout. 90% carryover if no correct tickets with four winners. 10% minor pool payout.

\$0.10 PN6 on races 3 thru 8. 100% Pool paid plus carryover for unique ticket with six winners. If no unique ticket selects six winners 70% of the daily pool is paid to the ticketholders selecting the most winners and 30% of the daily pool will be added to the carryover. 16% takeout.

\* \$0.50 PNP5 starting on the first race. 16% takeout, One tier payoff 100% carryover.

**\$.10 Pentafecta selecting the first five finishers in the same race. 100% payout to all ticket selecting five winners. No consolation. 100% carryover if no winning ticket. 16% takeout. Super High-5 will be carded as the last race. ARCI-004-105-X- Option3.**

- E. Maximum carryover pool to be allowed to accumulate before its distribution **OR** the date(s) designated for distribution of the carryover pool: December 21, 2014.
- F. Request for 16% Takeout on Pick-5 (0.50)  
Request for 16% Takeout on Pick-4 ( PNP4 0.20)  
Request for 16% Takeout on Pentafecta ( Super Hi 5 0.10)  
Request for 16% Takeout on Pick-6 ( PN6 0.10)

Request for Saturday \$20,000 Guaranteed Pick-4 Pool  
Request for Sunday \$20,000 Guaranteed Pick-4 Pool

Attached is a letter requesting the reduced takeout promotional wagers.

- D. Will "advance" or "early bird" wagering be offered? Yes  No   
If yes, when will such wagering begin? 10 am Specify days and time for "early bird" wagering:  
All live race days.
- E. Type(s) of pari-mutuel or totalizator equipment to be used by the association and the simulcast organization, name of the person(s) supplying equipment, and expiration date of the service contract: Sportech ( Formely Scientific Games ) Statewide contract, Contract expires Sept 2015.

**10. ADVANCE DEPOSIT WAGERING (ADW)**

- A. Identify the ADW provider(s) to be used by the association for this race meeting:  
TVG  
Xpressbet  
Twinspires  
Lien Games  
Watch and Wager.com

B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting. Documents for WatchandWager.com, LLC are on-file. TVG, Xpressbet, Twinspires, and Bet America documents are outstanding.

- C. Have the contract/agreements been approved by the respective horsemen's groups?

Yes  No

If yes, attach a copy of the approval. Attached  
If no, explain the status of the approval.

**NOTICE TO APPLICANT:** Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW provides may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

## Promotional Wager Takeout Reduction Request

Watch and Wager.com LLC, and the California Horseman's Association jointly request a reduction in takeout for four nightly wagers on the program. The wagers requested for reduction are the \$0.10 Unique winner Pick-6, the \$0.50 Pick-5, the \$0.20 Pick-4 wager, along with the nightly \$0.10 pentafecta ( super hi-five ) on the final race. The normal takeout rate for those wagers would be 24.18%, we are requesting a reduced 16% takeout on all four of the above wagers.

Business and Professions code 19610.8 states that upon joint request of the association or fair accepting the wager, and the organization representing the horseman and horsewomen participating in the meeting of the association accepting the wager, the board may set the total percentage deducted from the pari-mutuel pool for any new wager introduced after Jan 1, 2004 in a amount of at least 10% but not more than 30% of the total amount handled in the pari-mutuel pool for the wager.

The California Harness Horseman's Association has approved this joint request of reduction of takeout on the above stated wagers.

Last season these nightly promotional wagers at 16% proved very popular. We ask the board to approve the continuation of reduced takeout for the above nightly promotional wagers.

**California Harness Horsemen's Association**

**P.O. Box 254767  
Sacramento, CA 95865  
(916) 263-7888; fax (916) 263-7887**

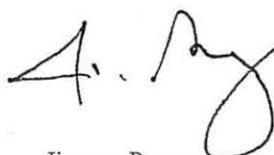
July 22, 2014

Mr. Rick Baedeker  
Executive Director  
California Horse Racing Board  
1010 Hurley Way, Suite 300  
Sacramento, CA 95825

Dear Mr. Baedeker:

The California Harness Horsemen's Association consent is herein given for the various wagering formats, including the use of wagers with reduced take-outs that are presented in the license applications that has been submitted to the California Horse Racing Board by Watch & Wager, LLC in order to conduct harness racing at Cal Expo beginning October 4, 2014 and concluding in May of 2015.

Sincerely,  
CALIFORNIA HARNESS HORSEMEN'S ASSOCIATION

A handwritten signature in black ink, appearing to read 'Jimmy Perez', with a long, sweeping underline that extends to the right.

Jimmy Perez,  
Executive Director

**California Harness Horsemen's Association****P.O. Box 254767****Sacramento, CA 95865****(916) 263-7888**

July 22, 2014

Mr. Rick Baedeker

Executive Director

California Horse Racing Board

1010 Hurley Way, Suite 300

Sacramento, CA 95825

Dear Mr. Baedeker:

This letter is to acknowledge our support for and approval of the out-of-state simulcasting of the nightly California harness races from Watch & Wager at Cal Expo in Sacramento, CA to Board approved in-state-and out-of-state simulcast venues during the period of time that Watch & Wager is conducting its harness race meet at Cal Expo. This also approves the use of live harness racing for wagering by ADW providers that have been approved by the Board and have an agreement with Watch & Wager as required by California B&P Code, Section 19604.

These approvals shall commence with the scheduled harness meet on October 4, 2014 and extend through the final day of racing in May of 2015. It should be noted that the CHHA does reserve the right to withdraw its approval upon notification to the Board by a 15 day written notice.

We are please to work with Watch & Wager to send the audio and visual signals of our nightly live races to satellite wagering venues and ADW providers that are licensed by the California Horse Racing board.

Please let me know if I can be of any further assistance in this or any other matter.

Sincerely,

CALIFORNIA HARNESS HORSEMEN'S ASSOCIATION



Jimmy Perez

Executive Director

**11. SIMULCAST WAGERING PROGRAM**

- A. Simulcast organization engaged by the association to conduct simulcast wagering:  
Southern California Off Track Wagering Inc.
- B. Attach the agreement between the association and simulcast organization permitting the organization to use the association's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.  
  
Scotwinc agreement on file with the board.
- C. California simulcast facilities the association proposes to offer its live audiovisual signal:  
All CHRB licensed California simulcast wagering facilities, card rooms, Indian gaming centers, mini satellites, and racetracks.
- D. Out-of-state wagering systems the association proposes to offer its live audiovisual signal:  
Attached
- E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the association: Attached
- F. California mini-simulcast facilities the association proposes to offer its live audiovisual signal:  
All licensed California mini-satellite sites.
- G. For **THOROUGHBRED** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

**NOTICE TO APPLICANT:** Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

**THOROUGHBRED SIMULCAST RACES TO BE IMPORTED**

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
--------------------	------------	---

- H. For **QUARTER HORSE** racing associations, list the host track from which the association proposes to import out-of-state and/or out-of-country quarter horse races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

**QUARTER HORSE SIMULCAST RACES TO BE IMPORTED**

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
--------------------	------------	---

- I. For **STANDARD BRED** racing associations, list the host tracks from which the association proposes to import out-of-state and/or out-of-country harness races. Include the dates imported races will be held, and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races":

**HARNESS SIMULCAST RACES TO BE IMPORTED**

## Watch & Wager LLC @ Cal Expo Harness

### OUT OF STATE SIMULCAST WAGERING LOCATIONS

#### 2014 Fall Harness Racing Meeting

American Tab, Oregon	New Jersey Casinos, New Jersey
Atlantic City, New Jersey	New York City OTB, New York
Balmoral Park, Illinois	Northfield Park, Ohio
Capitol District OTB, New York	Northlands Park, BC, CAN
Catskill Region OTB, New York	Northville Downs, Michigan
Connecticut OTB, Connecticut	Ocean Downs, Maryland
Dark Horse Racing/ Avatar	Penn National Gaming, Pennsylvania
Diaryland Greyhound, Wisconsin	Philadelphia Park, Pennsylvania
Downs at Albuquerque, New Mexico	Plainridge Racecourse, Massachusetts
ExpressBet, California	Pompano Park, Florida
Euro Off Track, NA	Racing Channel, Oregon
Elite Turf Club,	Raceway Park, Ohio
Fire Lake Casino, Oklahoma	Racing & Gaming Services, St. Kitts
Foxwoods Casino, Connecticut	Rosecroft Raceway, Maryland
Fraser Downs, BC, CAN	Sam Houston Park, Texas
Freehold Raceway, New Jersey	Saratoga Harness, New York
Harrington Raceway, Delaware	Scioto Downs, Ohio
Hastings Park, BC, CAN	Southland Greyhound, Arkansas
Hawthorne Racecourse, Illinois	Sports Creek Raceway, Michigan
Hazel Park, Mi	Suffolk Downs, MA
Hippodrome de Montreal, QUE, CAN	Suffolk Regional OTB, New York
Hoosier Park, Indiana	Sunland Park, New Mexico
Illinois OTB, Illinois	Television Games Network (TVG)
Indianapolis Downs, Indiana	Thistledown, Ohio
International Racing Group, Curacao	The Downs at Albuquerque, New Mexico
Ladbrokes at Meadows, Pennsylvania	The Red Mile, Kentucky
Las Vegas Dissemination, Nevada	Tri-State Greyhound, WV
Les Bois Park, Idaho	TwinSpires.com
Lone Star Park, Texas	Watch and Wager.com LLC, ND
Maywood Park, Illinois	Western Fair, ONT, CAN
Meadowlands Race Track, New Jersey	Western Region OTB, New York
Mesquaki Casino, Iowa	Windsor Raceway, ONT, CAN
Mohawk Racetrack, ONT, CAN	Woodbine Entertainment. Group, ONT, CAN
Nassau Region OTB, New York	Yonkers Raceway, New York
Nevada Pari-mutuel Association, Nevada	XPressBet.com

Watch & Wager LLC @Cal Expo Fall 2014 Harness Meet

**OUT OF STATE SIMULCAST WAGERING LOCATIONS THAT WILL COMBINE THEIR  
POOLS WITH CAL EXPO.**

American Tab, Oregon	New Jersey Casinos, Atlantic City, Nj
Atlantic City, New Jersey	Nevada Pari-Mutuel Association
Balmoral Park, Illinois	Northfield Park, Ohio
Capitol District OTB, New York	Northlands Park, BC, CAN
Catskill Region OTB, New York	Northville Downs, Michigan
Connecticut OTB, Connecticut	Ocean Downs, Maryland
Dark Horse Racing/ Avatar	Penn National Gaming, Pennsylvania
Diaryland Greyhound, Wisconsin	Philadelphia Park, Pennsylvania
Downs at Albuquerque, New Mexico	Plainridge Racecourse, Massachusetts
Elite Turf Club	Pompano Park, Florida
Empire City Bets, New York	Racing Channel, Oregon
ExpressBet, California	Raceway Park, Ohio
Euro Off Track, NA	Racing & Gaming Services, St. Kitts
European Wagering Services, LTD. Oregon	Sam Houston Park, Texas
Fire Lake Casino, Oklahoma	Saratoga Harness, New York
Foxwoods Casino, Connecticut	Scioto Downs, Ohio
Fraser Downs, BC, CAN	Southland Greyhound, Arkansas
Freehold Raceway, New Jersey	Sports Creek Raceway, Michigan
Harrington Raceway, Delaware	Suffolk Downs, MA
Hastings Park, BC, CAN	Suffolk Regional OTB, New York
Hawthorne Racecourse, Illinois	Sunland Park, New Mexico
Hazel Park, Mich	Television Games Network (TVG)
Hippodrome de Montreal, QUE, CAN	Thistledown, Ohio
Hoosier Park, Indiana	The Downs at Albuquerque, New Mexico
Illinois OTB, Illinois	The Red Mile, Kentucky
Indianapolis Downs, Indiana	Tri-State Greyhound, WV
International Racing Group, Curacao	TwinSpires.com
Ladbrokes at Meadows, Pennsylvania	Watch and Wager.com LLC
Las Vegas Dissemination, Nevada	Western Fair, ONT, CAN
Les Bois Park, Idaho	Western Region OTB, New York
Lone Star Park, Texas	Windsor Raceway, ONT, CAN
Maywood Park, Illinois	Woodbine Entertainment. Group, ONT, CAN
Meadowlands Race Track, New Jersey	XpressBet. com
Mesquaki Casino, Iowa	Yonkers Raceway, New York
Mohawk Racetrack, ONT, CAN	
Nassau Region OTB, New York	

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
Balmoral Park	TBD	Selected Feature
Maywood Park	TBD	Selected Feature
Northfield Park	TBD	Selected Feature
Yonkers Raceway	TBD	Selected Feature
Woodbine Harness	TBD	Selected Feature
Pocono Downs	TBD	Selected Feature
Meadowlands	TBD	Selected Feature

Under current statute, the association proposes to import eight (8) out of state races nightly during live racing nights overlapped with Los Alamitos.

On non-overlapped racing nights, the association proposes to import sixteen ( 16 ) out of state races.

Association requests approval to import the entire Breeders Crown program from Meadowlands Saturday November 22<sup>nd</sup>. This request is consistent with 19596 #1 which allows harness associations racing live that evening to import the entire Breeders Crown program.

- J. For ALL racing associations, list imported simulcast races the association plans to receive which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported:

#### OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Breed of Horse	Race Dates	Number of Races to be Imported
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- K. For ALL racing associations, if any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing the agreement by the appropriate racing association(s).

**NOTICE TO APPLICANT:** All interstate wagering to be conducted by an association is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by an association is subject to the provision of Business and Professions Code sections 19596, 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every association shall pay over to the simulcast organization within 3 calendar days following the closing of wagering for any day or night racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering, and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vanning deductions. Every association shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each day or night racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

## 12. CHARITY RACING DAYS

- A. Name and address of the distributing agent (charity foundation) for the net proceeds from charity racing days held by the association: Sacramento Horseman's Association 3200 Longview Dr. Sacramento, Ca. 95821
- B. Names and addresses of the trustees or directors of the distributing agent: Paris Frazier, 3200 Longview Dr. Sacramento, Ca 95821 501 C4 - 68-0031464

**California Harness Horsemen's Association**

**P.O. Box 254767  
Sacramento, CA 95865  
(916) 263-7888**

July 22, 2014

Mr. Rick Baedeker  
Executive Director  
California Horse Racing Board  
1010 Hurley Way, Suite 300  
Sacramento, CA 95825

Dear Mr. Baedeker:

This letter is to acknowledge the approval of the California Harness Horsemen's Association for the simulcast of the nightly harness and quarter horse races from out-of-state or out-of-country racing venues to the Watch & Wager meet conducted at Cal Expo in Sacramento.

This approval begins with the scheduled harness meet on October 4, 2014 and extends through the final night of racing in May of 2015. It should be noted that CHHA does reserve the right to withdraw its approval upon notification to the board by a 15 day written notice.

We are please to participate in Watch & Wager's simulcasting program. Please let me know if I can be of any further assistance in this or any other matter.

Sincerely,

CALIFORNIA HARNESS HORSEMEN'S ASSOCIATION



Jimmy Perez  
Executive Director

- C. Dates the association will conduct races as charity racing days OR:
- D. Will the association pay the distributing agent an amount equal to the maximum required under B&P Code Section 19550(b)? Yes

**NOTICE TO APPLICANT:** Net proceeds from charity racing days shall be paid to the designated and approved distributing agent within 180 days following the conclusion of the association's race meeting in accordance with the provisions of Business and Professions Code section 19555. Thereafter, the distributing agent shall distribute not less than 90% of the aggregate proceeds from such charity racing days within 12 calendar months after the last day of the meeting during which the charity racing days were conducted and shall distribute the remaining funds as soon thereafter as is practicable. At least 50% of the distribution shall be made to charities associated with the horse racing industry in accordance with the provisions of Business and Professions Code section 19556(b) and (c).

### 13. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

- A. Racing officials nominated:
- |                               |                          |
|-------------------------------|--------------------------|
| Association Veterinarian(s) : | Eduardo De La Cruz D.V.M |
| Clerk of Scales               | NA                       |
| Clerk of the Course:          | Patricia Petersen        |
| Film Specialist:              | N/A                      |
| Horse Identifier:             | Carl Sobey               |
| Horseshoe Inspector:          | Larry Goshman            |
| Paddock Judge:                | Walter Peterson          |
| Patrol Judges:                | Bill Vallandingham       |
| Placing Judges:               | Kathy Poor               |
| Starter:                      | Bill Vallandingham       |
| Timer:                        | Kathy Poor               |
- B. Management officials in the racing department:
- |                         |               |
|-------------------------|---------------|
| Director of Racing:     | Robin Schadt  |
| Racing Secretary:       | Robin Schadt  |
| Asst. Racing Secretary: | Brent Bridges |
| Paymaster of Purses:    | Glen Harris   |
- C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards: Wendy Frazier Reporting, 13136 Ivey Rd. Herald, Ca, 95638 916- 956- 3914 breezecs@att.net
- D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract: Plusmic Corp USA, CDV -210 System- Bill O'Brien. Contact expires May 15, 2015
- E. Photo patrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks. Service provider : Pegasus Communications – Jim Porep, President. Contract expires May 2015.  
Total of 6 cameras, Upper Pan, Lower Pan, 1/8 Pole Tower Head On, 5/8 Pole Tower Head On. Paddock, Finish Line Ground Level.
- F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract: Automatic electronic timer

maintained and owned by Pegasus Communications. Contract Expires May 2015.

#### 14. SECURITY CONTROLS

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers. Chief Robert Craft, 916-263- 3000/3120. See attached 2014 security organizational chart.
- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis: 18
  1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls.

Harness has no graded stake races or races for \$100,000 or more.

#### 2. Detention Stalls:

- A. Attach a plan for use of graded stakes or overnight races. Detention barn plan attached.
- B. Number of security guards in the detention stall area during a 24-hour period. Two
- C. Describe number and location of surveillance cameras in detention stall area.  
The detention barn is monitored by one surveillance camera. The recording location is the main backstretch entrance Gate 12.

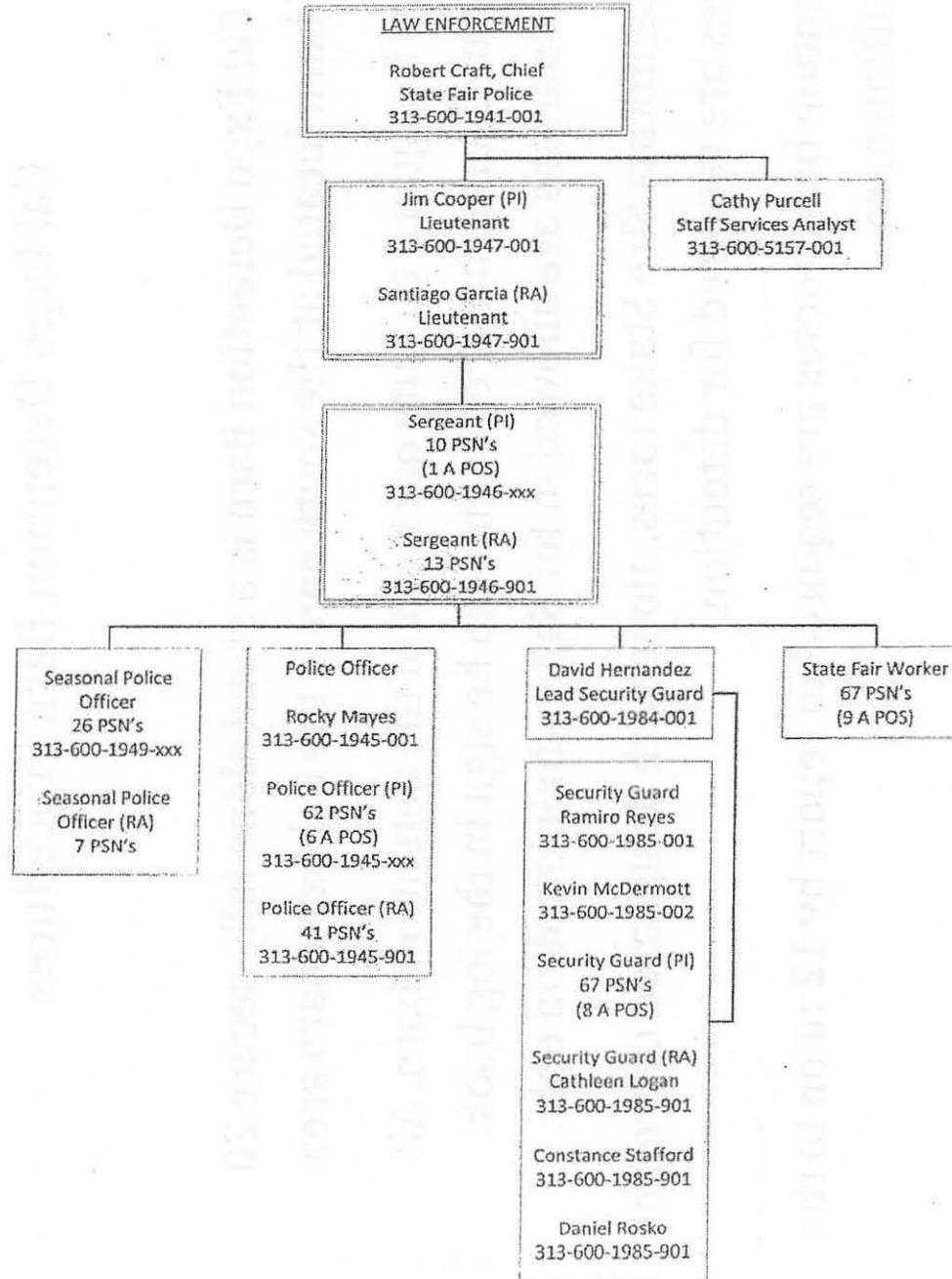
Cal Expo Detention Barn Information : The Cal Expo Detention Barn is a stand alone fully secure 20 stall barn at the south end of the Cal Expo barn area. All California Sire Stake races along with designated overnight stakes will be detention barn races. All detention barn horses must be checked in with the security guard by 12 noon. A detailed log of all people entering the detention barn is always on file. Veterinarians are allowed for Salix administration or emergency's only. All ingress or egress is documented in the log book.

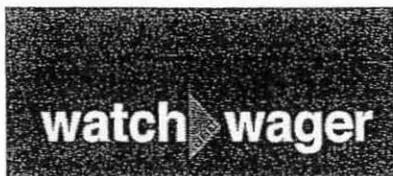
#### 3. TCO2 Testing:

- A. Number of races to be tested, and number of horses entered in each race to be tested.  
Currently seven races nightly, two horses per race, randomly drawn by the stewards.  
Association will evaluate for potential increase.
- B. Plan for enhanced surveillance for trainers with high-test results. TCO2 levels exceeding 37 millamoles are considered positive tests. We will require detention of a high testing horse for a minimum of four starts.
- C. Plan for detention stalls for repeat offenders. Repeat offenders will have their entire barn in detention for a period of no less than 30 days. Repeat offender will bear the cost of such detention. Any violation thereafter, offending trainer will be considered for exclusion.
- D. Number of security personnel assigned to the TCO2 program. A pool total of 10, with no

March 2014

# California Exposition & State Fair Law Enforcement





Operating Harness Racing at Cal Expo  
Managed By Golden Bear Racing, LLC

## Cal Expo Detention Barn Procedures

The Cal Expo Detention Barn is a stand alone fully secure 20 stall barn located at the south end of the Cal Expo barn area.

There is a guard on duty out front of the detention barn. All personnel entering are required to be sign in the log book. Veterinarians are allowed in for Salix administration only.

All California Sire Stake races, along with designated overnight stakes are required for detention.

Detention barn horses are required to report by 12 noon to the detention barn.

more than 2 at any given time.

C. Describe the electronic security system:

1. Location and number of video surveillance cameras for the detention stall and stable gate.  
One surveillance camera and monitor will be located at both the detention barn and Gate 12 Main security gate.

D. For night racing associations. Describe emergency lighting system: Generator lights are placed in strategic locations around the racetrack. In addition the starting gate lights will be used for safe exit of racing participants in the event of power loss.

## 15. EMERGENCY SERVICES

A. Name, address and emergency telephone number of the ambulance service to be used during workouts and the running of the races: American Medical Response, 1779 Tribute Rd, Sacramento, Ca, 95815 916- 563-0600

1. Attach a certification from the ambulance service(s) listed in 15A, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority. Attached.

B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites: NA

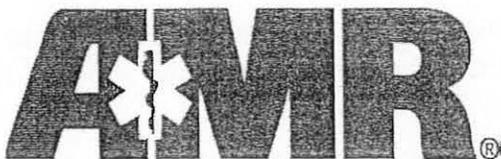
1. Attach a certification from the ambulance service (s) listed in 15B, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority. NA

C. Describe the on-track first aid facility, including equipment and medical staffing: The Cal Expo first aid station is located just past the main entrance. The facility is staffed by the Cal Expo police staff. A minimum of two Cal Expo police officers are on duty during the live racing program. Basic first aid supplies include antibiotic ointment, cotton balls/swabs, gauze pads/roller, sterile eyewash, thermometer, turkey baster for cuts. All Cal Expo police officers are CPR trained, they are also trained for Defibrillator (on site) use. Basic first aid services are offered at the station. In addition the on duty ambulance staff provides basic first aid services. The ambulance service is staffed by one EMT, and one Paramedic. The ambulance staff also has a wide range of basic and advanced life support equipment on hand.

D. Name and emergency telephone number of the licensed physician on duty during the race meeting: **(If quarter horse racing association sees D (1) : Na**

1. Name address and emergency telephone number of hospital located within 1.5 miles of the racetrack, which whom an agreement is in place to provide emergency medical services, pursuant to Business and Professions Code section 19481.3(a): Sutter Memorial Hospital 5151 F St, Sacramento 916-454-3333 (1.5 miles) Mercy General Hospital 4001 J St, Sacramento 453-4553 ( 1.5 miles)

E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey: Sutter Memorial Hospital, 5151 F Street, Sacramento 916-454-3333 (1.5 miles)



April 17, 2014

To Whom It May Concern;

American Medical Response – Sacramento Valley operates emergency and non-emergency ambulance transportation in and around the greater Sacramento area. I certify that all of our Emergency Medical Technicians (EMT's) and Paramedics are licensed by the California State Emergency Medical Services Authority (EMSA). The Paramedics are additionally accredited by Sacramento County Emergency Medical Services (SCEMS). Upon request, personnel names and certification numbers can be provided for verification. EMSA has a website where Paramedic and EMT's licenses can be reviewed and verified as active and in good standing:

<http://www.centralregistry.ca.gov/Search.aspx>.

Our ambulances are inspected annually by the California Highway Patrol and local EMS agency (Sacramento County EMS) and are stocked with equipment, medication and supplies as required by these agencies, to include all of the optional scope of practice items.

Please feel free to contact me if you have questions or require further information.

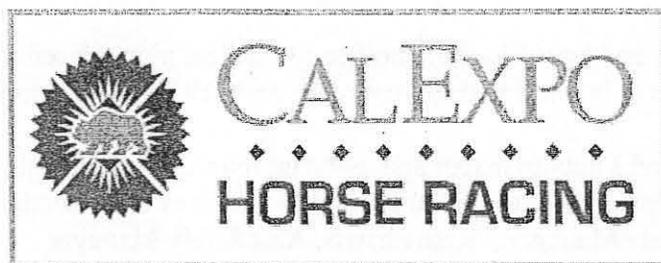
Sincerely,

A handwritten signature in black ink that reads 'Jennifer L. Bales'.

Jennifer L. Bales, MICP  
(916) 563-0835  
Regional Safety & Risk Manager  
Operations Manager  
AMR – Sacramento County

- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey: Attached
- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):  
Ben Kenney, Safety Manager , Kate Pharris, Asst Safety Manager
- H. Attach a fire clearance from the fire authority having jurisdiction over the premises. Inspection Pending ( must be after State Fair ).
- I. Name of the workers' compensation insurance carrier for the association and the number of the insurance policy (if self-insured, provide details): Pretorian Insurance Company Policy# EQB0102172
- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the association for payment of workers' compensation. Attached Certificate

**NOTICE TO APPLICANT:** Every licensee conducting a horse racing meeting shall pursuant to Business and Professions Code section 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.



## *Watch & Wager,com LLC @ Cal Expo*

### FOR ON TRACK INJURY TO DRIVERS

#### "FIRST RESPONSE"

- In the event of an accident, the Starter; Outrider, and/or Ambulance shall respond immediately
- The American Medical Response Ambulance will stop on the track and assess the driver's(s) need.
- The attending medical professionals shall be equipped with advanced cardiac life support (ACLS) and other necessary acute medical treatment equipment and supplies.
- An "on site evaluation" of injuries to the driver(s) shall be made.

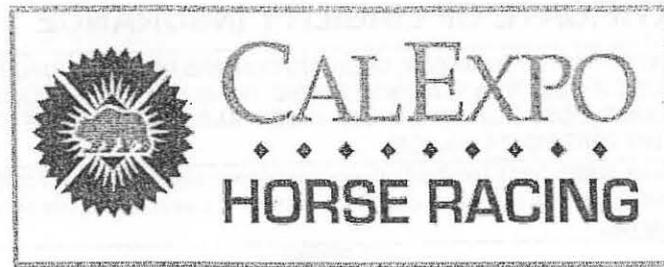
#### "ON SITE EVALUATION"

- Based on the Emergency Medical Response, the Paramedic and EMT team on the American Medical Response Ambulance, will provide on site evaluation of injuries and make decision to either treat the injured driver(s) on site or to request emergency medical transport for off site treatment at a hospital trauma center.
- If on site treatment is deemed sufficient, the American Medical Response shall treat the injured drivers(s); or,
- If off site treatment is deemed necessary, a back up ambulance from American Medical Response will be summoned to either take over the duties at the track or transport the injured party to the appropriate medical center.

#### "INJURY TREATMENT"

- If the injured driver(s) is treated by the Paramedic on the American Medical Response Ambulance, they will be the primary care provider.
- If a back up ambulance is requested, the injured driver(s) shall be transported to the local appropriate medical facility.

This notice shall be posted in a conspicuous and highly visible location in the driver's room



## Watch & Wager.com LLC @ Cal Expo

### "PRIMERA RESPUESTA"

- En caso de un accidente, respondera la ambulancia, el poniador, o, el carrode comensar.
- La ambulancia respondera par aver lo necesario.
- La ambulancia tiene el equipo necesario para accidentes.
- Se hara una evaluacion de acuerdo al accidente.

### "EVALUACION AL INSTANTE"

- Basandose al (EMT) Emergencia Medica Responsable. Que es la ambulancia. Ellos Aran Una evaluacion al instante. Para ver si se puede tartar al momento o ser transportado, al hospital.

### "ATENCION AL ACIDENTADO"

- El accidentado sera tratado de inmediato por (EMT).  
Sies demaciado grave el accidente sera transportado por ambulancia



**16. CONCESSIONAIRES AND SERVICE CONTRACTORS**

Names and addresses of all persons to whom a concession or service contract has been given, **other than those already identified**, and the goods and/or services to be provided by each: Oventions

Does the association provide its own concessions? Yes  No

**17. ON-TRACK ATTENDANCE/FAN DEVELOPMENT**

A. Attach a copy of the promotional and marketing plans for the race meeting: Attached

B. Promotional/ Marketing budget for this race meeting: \$ \$38,000

Promotional/Marketing budget for prior race meeting: \$ 34,000

C. Number of hosts and hostesses employed for meeting: 2

D. Describe facilities set aside for new fans: None

E. Describe any improvements to the physical facility in advance of the meeting that directly benefit:

1. Horsemen : None at this time.
2. Fans: Big Screen TV rental. Upgraded graphics and simulcast presentation. Hiring of Gary Seibel as track announcer/ simulcast host.
3. Facilities in the restricted areas; None at this time.

**18. SCHEDULE OF CHARGES**

A. Proposed charges, note any changes from the previous year:

- Admission (general) Free
- Admission (clubhouse) Free
- Reserved seating (general) Na
- Reserved seating (clubhouse) Na
- Parking (general) Free
- Parking (preferred) Na
- Parking (valet) Na
- Programs (on-track) 3.50
- (off-track)4.00 Program is a joint Los Alamitos/ Cal Expo night program.

B. Describe any "Season Boxes" and "Turf Club Membership" fees: NA

C. Describe any "package" plans such as combined parking, admission and program: Na

## Watch and Wager.com LLC @ Cal Expo

### Marketing and Promotional Plan

Fall 2014

**New Stable Recruitment :** We currently are advertising nationwide to recruit new stables. We are offering a new horse incentive plan in partnership with the CHHA funded up to \$250,000. We are offering an extensive overnight stake program. Our goal is to improve the quality and the quantity of the product.

**Improved Simulcast Production.:** Our on air team of Gary Siebel and Dave Brower provide some of the best insight in the industry. We plan on continuing to make the necessary investments to improve production and boost recognition of our product. Ninety Five percent of harness handle comes from off-track sources.

**Social Media:** California harness racing has very little social media exposure. We plan on immediately establishing Facebook, Twitter and You Tube accounts and start interacting with a younger crowd.

**On Track Handle:** We believe that the best thing we can do short term to improve on-track handle is improvement of the racing product.

**Parking/ Admission:** We will continue the night policy of free parking and admission.

**Concessions:** We will continue to work with the Cal Expo master caterer ( Ovations ) to provide live fans with food and beverage nightly specials. We have agreed to a \$1 night the final Saturday of each month.

**Handicappers:** We will be sharply focused on the gambling public. Each night we propose to offer four low takeout wagers. Each night we will have a guarantee on our Pick-4. We hope to implement a guaranteed pool on our Pick-5. We have limited our gimmick schedule somewhat to gives our fans bigger wagering pools. This season we propose to experiment with the unique winner Pick-6.

**19. JOCKEYS/DRIVERS' QUARTERS**

A. Check the applicable amenities available in the jockeys/drivers' quarters:

- |                                     |                                |                                     |                                     |
|-------------------------------------|--------------------------------|-------------------------------------|-------------------------------------|
| <input checked="" type="checkbox"/> | Corners (lockers and cubicles) | How many                            | <input type="text" value="46"/>     |
| <input checked="" type="checkbox"/> | Showers                        | <input checked="" type="checkbox"/> | Steam room, sauna or steam cabinets |
| <input checked="" type="checkbox"/> | Masseur                        | <input checked="" type="checkbox"/> | Food/beverage service               |
| <input type="checkbox"/>            |                                | <input checked="" type="checkbox"/> | Lounge area                         |
| <input type="checkbox"/>            |                                | <input type="checkbox"/>            | Certified platform scale            |

B. Describe the quarters to be used for female jockeys/drivers: Female drivers had a separate room for changing, along with a separate rest room and showering facilities.

**20. BACKSTRETCH EMPLOYEE HOUSING**

- A. Inspection of backstretch housing has been requested and will be completed prior to the beginning of the race meet.
- B. Number of rooms used for housing on the backstretch of the racetrack: Currently 44
- C. Number of restrooms available on the backstretch of the racetrack: 8
- D. Estimated ratio of restroom facilities to the number of backstretch personnel: 1/40

**21. TRACK SAFETY**

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line:  feet.
- B. Describe the type of track surface at the facility, including the specific track surface composition: The Cal Expo track surface is made up of various types of sand, very coarse, coarse, medium to fine. Silt and clay are also components. Medium to very fine sand makeup 75.4% of the sand. #2 screened fill sand added throughout the season to maintain surface consistency.
- C. The percent of cross slope in the straight-aways is: 2%  
The percent of cross slope in the center of the turns is: 5-6 %
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course. No Rail on Inside- Pylon System.

Outer Rail Aluminum.

- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474: J,R, Williams Track Superintendent
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474. Attached
- G. If the association is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing. NA

**22. DECLARATIONS**

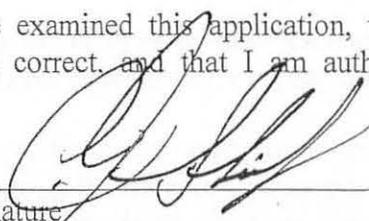
- A. All labor and lease agreements and concession and service contracts necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state): No Exceptions
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044. Attached
- C. Attach a lease agreement permitting the association to occupy the racing facility during the entire term of the meeting. (In the absence of either a lease agreement or a horsemen's agreement, a request for an extension pursuant to CHRB Rule 1407 shall be made).
- D. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so state): No Exceptions
- E. Absent natural disasters or causes beyond the control of the association, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the association except as follows (if no exceptions, so state): No Exception

**NOTICE TO APPLICANT:** Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

**23. CERTIFICATION BY APPLICANT**

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the association to attest to this application on its behalf.

CHRISTOPHER J. SETZER  
Print Name

  
Signature

General Manager  
Print Title

July 3, 2014  
Date

**CALIFORNIA HORSE RACING BOARD**

**AUGUST 21, 2014**  
**REGULAR BOARD MEETING**

**There is no board package material for Item 13**