

CALIFORNIA HORSE RACING BOARD
1010 HURLEY WAY, SUITE 300
SACRAMENTO, CA 95825
(916) 263-6000
FAX (916) 263-6042



REGULAR MEETING

of the California Horse Racing Board will be held on Thursday, April 26, 2012, commencing at 9:30 a.m., in the Sunset Room at Hollywood Park Race Track, 1050 South Prairie Ave., Inglewood, California. The audio portion only of the California Horse Racing Board regular meeting will be available online through a link at the CHRB website (www.chrb.ca.gov) under "Webcasts."

AGENDA

Action Items:

1. **Public Comment:** Communications, reports, requests for future actions of the Board.
Note: Persons addressing the Board under this item will be restricted to **three (3) minutes** for their presentations.
2. Public hearing and action by the Board regarding the **proposed amendment of CHRB Rule 1843.3, Penalties for Medication Violations**, to change the time period for imposing a category "B" second offense penalty from a 365-day period to two years; and for a third offense penalty for a category "B" violation from a 365-day period to five years. (Note: This concludes the 45-day public comment period. The Board may adopt the proposal as presented.)
3. Public hearing and action by the Board regarding the **proposed amendment of CHRB Rule 1663, Entry of Claimed Horse**, to change the requirements for running back a claimed horse within 25 days of the claiming race in which it is claimed. (Note: This concludes the 45-day public comment period. The Board may adopt the proposal as presented.)
4. Discussion and action by the Board regarding the **proposed amendment of CHRB Rule 1656, Errors Which Invalidate Claim**, to clarify the errors that will invalidate a claim.
5. Discussion and action by the Board regarding the request from the California Authority of Racing Fairs (CARF) and the Pacific Coast Quarter Horse Racing Association, to suspend the use of Clenbuterol for quarter horses at all CARF tracks, pursuant to the provisions of CHRB Rule 1844.1, Suspension of Authorized Medication.
6. Discussion and action by the Board regarding the request from the Jockeys' Guild to amend the Jockeys' Retirement Plan, to allow jockeys to elect a lump sum distribution of the plan account balance if that balance is five thousand dollars or less.

7. Report from Medication and Track Safety Committee.
8. Discussion and action by the Board regarding the proposed amendment to CHRB Rule 1867, Prohibited Veterinary Practices, to add zilpaterol and ractopamine to the prohibited practices list.
9. Report from Pari-Mutuel/ADW and Simulcast Committee.
10. Discussion and action by the Board on the Application to Conduct a Horse Racing Meeting of the Alameda County Fair (F), at Pleasanton, commencing June 20, 2012 through July 8, 2012, inclusive.
11. Discussion and action by the Board regarding the request from the California Exposition and State Fair for the allocation of harness racing dates at Cal Expo for the period of August 24, 2012 through December 15, 2012 inclusive.
12. Closed Session: For the purpose of receiving advice from counsel, considering pending litigation, reaching decisions on administrative licensing and disciplinary hearings, and personnel matters, as authorized by section 11126 of the Government Code.
 - A. The Board may convene a Closed Session to confer with and receive advice from its legal counsel regarding the pending litigation described in the attachment to this agenda captioned "Pending Litigation," as authorized by Government Code section 11126(e).
 - B. The Board may convene a Closed Session to confer with and receive advice from its legal counsel regarding the pending administrative licensing or disciplinary matters described in the attachment to this agenda captioned "Pending Administrative Adjudications," as authorized by Government Code section 11126(e).
 - C. The Board may convene a Closed Session for the purposes of considering personnel matters as authorized by Government Code section 11126, (a).

Additional information regarding this meeting may be obtained from the CHRB Administrative Office, 1010 Hurley Way, Suite 300, Sacramento, CA 95825; telephone (916) 263-6000; fax (916) 263-6042. This notice is located on the CHRB website at www.chrb.ca.gov. *Information for requesting disability related accommodation for persons with a disability who require aid or services in order to participate in this public meeting, should contact Jacqueline Wagner.

CALIFORNIA HORSE RACING BOARD

Keith Brackpool, Chairman
David Israel, Vice Chairman
Jesse H. Choper, Member
Bo Derek, Member
Richard Rosenberg, Member
Chuck Winner, Member
Kirk E. Breed, Executive Director

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PENDING LITIGATION
APRIL 2012

SUPERIOR COURT LITIGATION

- A. **Patrick Gleason vs. Anne Glasscock and the California Horse Racing Board**
Superior Court of California, County of Sacramento, Case No. 34-2010-00076781
- B. **Jeff Mullins vs. CHRB, et al**
Superior Court of California, County of San Diego, Case No. 37-2010-00092212
- C. **San Luis Rey Racing, Inc., vs. CHRB, et al**
Superior Court of California, County of San Diego, Case No. 37-2011-00096586
- D. **Alexander Sywak vs. CHRB**
Superior Court of California, County of Sacramento, Case No. 34-2011-800001021

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PENDING ADMINISTRATIVE ADJUDICATIONS
APRIL 2012

CASE

- A. Fitness for Licensure
Daniel Maier
OAH No. 2010041146

CALIFORNIA HORSE RACING BOARD

APRIL 26, 2012
REGULAR BOARD MEETING

There is no board package material for Item 1

STAFF ANALYSIS
PUBLIC HEARING AND ACTION BY THE BOARD REGARDING
THE PROPOSED AMENDMENT OF
RULE 1843.3, PENALTIES FOR MEDICATION VIOLATIONS,
TO CHANGE THE TIME PERIOD FOR IMPOSING A CATEGORY "B"
SECOND OFFENSE PENALTY FROM A 365-DAY PERIOD TO TWO YEARS;
AND FOR A THIRD OFFENSE PENALTY FOR A CATEGORY "B"
VIOLATION FROM A 365-DAY PERIOD TO FIVE YEARS

Regular Board Meeting
April 26, 2012

BACKGROUND

Business and Professions Code section 19440 specifies that the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of this chapter. Responsibilities of the Board shall include adopting rules and regulations for the protection of the public and the control of horseracing and pari-mutuel wagering. Business and Professions Code section 19461 provides that every license granted under this chapter is subject to suspension or revocation by the Board in any case where the Board has reason to believe that any condition regarding it has not been complied with, or that any law, or any rule or regulation of the Board affecting it has been broken or violated. Business and Professions Code section 19580 states that the Board shall adopt regulations to establish policies, guidelines and penalties relating to equine medication to preserve and enhance the integrity of horse racing in this State. Section 19581 of the Business and Professions Code specifies that no substance of any kind shall be administered by any means to a horse after it has been entered to race in a horse race, unless the Board has, by regulation, specifically authorized the use of the substance and the quantity and composition thereof. Business and Professions Code section 19582 provides that violations of section 19581, as determined by the Board, are punishable in regulations adopted by the Board, and that the Board may classify violations based upon each class of prohibited drug substances, prior violations within the previous three years and prior violations within the violator's lifetime. The Board may provide for suspensions of not more than 3 years. The Board may also provide for disqualification from purses, except for a third violation during the lifetime of the licensee, for a drug substance determined to be class 1 or class 2, which shall result in the permanent revocation of the person's license. The punishment for second and subsequent violations of Business and Professions Code section 19581 shall be greater than the punishment for a first violation of section 19581 with respect to each class of prohibited drug substance.

Rules 1843.3 provides the penalties for violations of the Board's medication regulations. The Medication and Track Safety Committee (Committee) discussed a proposed amendment to Board Rule 1843.3, Penalties for Medication Violations. The proposed amendment would change the time period for imposing Category "B" second and third

offenses. The Committee determined it would recommend the Board direct staff to initiate a 45-day public comment period regarding the proposed amendment.

ANALYSIS

The proposed amendment to Rule 1843.3, Penalties for Medication Violations, will change the time period for imposing a Category "B" second offense penalty from a 365-day period to two years; and for a third offense penalty for a Category "B" violation from a 365-day period to five years. These penalty changes were endorsed by the Racing Medication and Testing Consortium, and the Association of Racing Commissioners International. The penalties are designed to correct a "weak spot" in the current penalty guidelines that allow a licensee to have a Class I, II or III violation every 365-day period and always start at the lowest penalty level.

RECOMMENDATION

This item is presented for Board discussion and action. No comments were received during the 45-day public comment period.

CALIFORNIA HORSE RACING BOARD
TITLE 4, CALIFORNIA CODE OF REGULATIONS
ARTICLE 15, VETERINARY PRACTICES
PROPOSED AMENDMENT OF
RULE 1843.3. PENALTIES FOR MEDICATION VIOLATIONS

Regular Board Meeting
April 26, 2012

1843.3. Penalties for Medication Violations.

(a) In reaching a decision on a penalty for a violation of Business and Professions Code section 19581, the Board, the board of stewards, the hearing officer or the administrative law judge shall consider the penalties set forth in subsections (d) and (e) of this Rule and any aggravating and mitigating circumstances. Deviation from these penalties is appropriate where the facts of the particular case warrant such a deviation, for example: there may be mitigating circumstances for which a lesser or no penalty is appropriate, and aggravating factors may increase the penalties beyond the minimum.

(b) Mitigating circumstances and aggravating factors, which must be considered, include but are not limited to:

- (1) The past record of the licensee regarding violations of Business and Professions Code section 19581;
- (2) The potential of the drug(s) to influence a horse's racing performance;
- (3) The legal availability of the drug;
- (4) Whether there is reason to believe the responsible party knew of the administration of the drug or intentionally administered the drug;
- (5) The steps taken by the trainer to safeguard the horse;

(6) The steps taken by an owner to safeguard against subsequent medication violations including, but not limited to, the transfer of the horse(s) to an unaffiliated trainer;

(A) For the purpose of this regulation "unaffiliated trainer" means a trainer or an assistant trainer who is not related by blood, marriage or domestic partnership, or who is not or was never employed by the trainer from whose care such horse(s) were transferred.

(7) The probability of environmental contamination or inadvertent exposure due to human drug use or other factors;

(8) The purse of the race;

(9) Whether the drug found to be present in the official test sample was one for which the horse was receiving treatment as determined through the process described in Rule 1842 of this division;

(10) Whether there was any suspicious wagering pattern on the race;

(11) Whether the licensed trainer was acting under the advice of a licensed veterinarian.

(c) For the purpose of this regulation, the Board shall consider the classification of a drug substance as referred to in Rule 1843.2 of this division and the California Horse Racing Board (CHRB) Penalty Categories Listing By Classification, (1/08), which is hereby incorporated by reference, if a determination is made that an official test sample from a horse contained:

(1) Any drug substance, medication, metabolites or analogues thereof foreign to the horse, whose use is not expressly authorized in this division, or

(2) Any drug substance, medication or chemical authorized by this article in excess of the authorized level or other restrictions as set forth in the article.

(d) Penalties for violation of each classification level are as follows:

CATEGORY "A" PENALTIES

Penalties for violations due to the presence of a drug substance in an official test sample, which CHRB drug classification is categorized as warranting a Category A penalty are as follows:

LICENSED TRAINER:		
1st offense	2nd LIFETIME offense	3rd LIFETIME offense
<ul style="list-style-type: none"> ◦ Minimum one - year suspension absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of a three-year suspension. <p style="text-align: center;">AND</p> <ul style="list-style-type: none"> ◦ Minimum fine of \$10,000 or 10% of gross purse (greater of the two) absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum fine of \$25,000 or 25% of purse (greater of the two). <p style="text-align: center;">AND</p> <ul style="list-style-type: none"> ◦ May be referred to the Board for any further action deemed necessary by the Board. 	<ul style="list-style-type: none"> ◦ Minimum two-year suspension absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of a three-year suspension. <p style="text-align: center;">AND</p> <ul style="list-style-type: none"> ◦ Minimum fine of \$20,000 or 25% of gross purse (greater of the two) absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum fine of \$50,000 or 50% of purse (greater of the two). <p style="text-align: center;">AND</p> <ul style="list-style-type: none"> ◦ May be referred to the Board for any further action deemed necessary by the Board. 	<ul style="list-style-type: none"> ◦ Minimum three -year suspension absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of permanent license revocation. <p style="text-align: center;">AND</p> <ul style="list-style-type: none"> ◦ Minimum fine of \$25,000 or 50% of gross purse (greater of the two) absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of \$100,000 or 100% of purse (greater of the two). <p style="text-align: center;">AND</p> <ul style="list-style-type: none"> ◦ May be referred to the Board for any further action deemed necessary by the Board.
LICENSED OWNER:		
1st offense	2nd LIFETIME offense in owner's stable	3rd LIFETIME offense in owner's stable
<ul style="list-style-type: none"> ◦ Disqualification of horse and loss of purse. <p style="text-align: center;">AND</p> <ul style="list-style-type: none"> ◦ Horse may be placed on the veterinarian's list for up to 90 days and must pass a Board - approved examination pursuant to Rule 1846 before becoming eligible to be entered. <p style="text-align: center;">AND</p> <ul style="list-style-type: none"> ◦ Be subject to drug testing at the owner's expense and be negative for prohibited drug substances as defined in Rule 1843.1. 	<ul style="list-style-type: none"> ◦ Disqualification of horse and loss of purse. <p style="text-align: center;">AND</p> <ul style="list-style-type: none"> ◦ Horse shall be placed on the veterinarian's list for up to 120 days and must pass a Board - approved examination pursuant to Rule 1846 before becoming eligible to be entered. <p style="text-align: center;">AND</p> <ul style="list-style-type: none"> ◦ Be subject to drug testing at the owner's expense and be negative for prohibited drug substances as defined in Rule 1843.1. 	<ul style="list-style-type: none"> ◦ Disqualification of horse, loss of purse and absent mitigating circumstances, minimum fine of \$10,000. The presence of aggravating factors could be used to impose a maximum fine of \$50,000. <p style="text-align: center;">AND</p> <ul style="list-style-type: none"> ◦ Horse shall be placed on the veterinarian's list for up to 180 days and must pass a Board-approved examination pursuant to Rule 1846 before becoming eligible to be entered. <p style="text-align: center;">AND</p> <ul style="list-style-type: none"> ◦ Be subject to drug testing at the owner's expense and be negative for prohibited drug substances as defined in Rule 1843.1. <p style="text-align: center;">AND</p> <ul style="list-style-type: none"> ◦ Referral to the Board with a recommendation of a suspension of owners license for a minimum of 90 days.

CATEGORY "B" PENALTIES

Penalties for violations due to the presence of a drug substance in an official test sample, which CHR B drug classification is categorized as warranting a Category B penalty are as follows:

LICENSED TRAINER:		
1st offense	2nd offense (365-day period two years.)	3rd offense (365-day period five years)
<ul style="list-style-type: none"> ◦ Minimum 30 -day suspension absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of a 60-day suspension. <p style="text-align: center;">AND/OR</p> <ul style="list-style-type: none"> ◦ Minimum fine of \$500 absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum fine of \$10,000. 	<ul style="list-style-type: none"> ◦ Minimum 60-day suspension absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of a 180-day suspension. <p style="text-align: center;">AND/OR</p> <ul style="list-style-type: none"> ◦ Minimum fine of \$1,000 absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum fine of \$20,000. 	<ul style="list-style-type: none"> ◦ Minimum 90-day suspension absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of a one-year suspension. <p style="text-align: center;">AND/OR</p> <ul style="list-style-type: none"> ◦ Minimum fine of \$2,500 absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum fine of \$50,000 or 10% of purse (greater of the two). <p style="text-align: center;">AND</p> <ul style="list-style-type: none"> ◦ May be referred to the Board for any further action deemed necessary by the Board.
LICENSED OWNER:		
1st offense	2nd offense in stable (365-day period two years.)	3rd offense in stable (365-day period five years.)
<ul style="list-style-type: none"> ◦ Disqualification of horse and loss of purse. <p style="text-align: center;">AND</p> <ul style="list-style-type: none"> ◦ Horse must pass a Board-approved examination pursuant to Rule 1846 before becoming eligible to be entered. <p style="text-align: center;">AND</p> <ul style="list-style-type: none"> ◦ Be subject to drug testing at the owner's expense and be negative for prohibited drug substances as defined in Rule 1843.1. 	<ul style="list-style-type: none"> ◦ Disqualification of horse and loss of purse. <p style="text-align: center;">AND</p> <ul style="list-style-type: none"> ◦ Horse must pass a Board-approved examination pursuant to Rule 1846 before becoming eligible to be entered. <p style="text-align: center;">AND</p> <ul style="list-style-type: none"> ◦ Be subject to drug testing at the owner's expense and be negative for prohibited drug substances as defined in Rule 1843.1. 	<ul style="list-style-type: none"> ◦ Disqualification of horse, loss of purse and absent mitigating circumstances minimum fine of \$5,000. The presence of aggravating factors could be used to impose a maximum fine of \$20,000. <p style="text-align: center;">AND</p> <ul style="list-style-type: none"> ◦ Horse shall be placed on the veterinarian's list for up to 45 days and must pass a Board-approved examination pursuant to Rule 1846 before becoming eligible to be entered. <p style="text-align: center;">AND</p> <ul style="list-style-type: none"> ◦ Be subject to drug testing at the owner's expense and be negative for prohibited drug substances as defined in Rule 1843.1.

CATEGORY "B" PENALTIES FOR RULE 1843.6 TOTAL CARBON DIOXIDE (TCO₂) TESTING

Penalties for violations due to exceeding permitted levels of TCO₂ as defined in Rule 1843.6 are as set forth below. All concentrations are for measurements in serum or plasma.

LICENSED TRAINER:		
1st offense TCO₂ (> 37.0mmol/l- <39mmol/l)	2nd offense TCO₂ (> 37.0mmol/l- <39mmol/l)	3rd offense TCO₂ (> 37.0mmol/l- <39mmol/l)
<ul style="list-style-type: none"> Up to a 30-day suspension absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of a 60-day suspension. <p style="text-align: center;">AND/OR</p> <ul style="list-style-type: none"> Minimum fine of \$1,500 absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum fine of \$5,000. 	<ul style="list-style-type: none"> Minimum 60-day suspension absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of a 120-day suspension. <p style="text-align: center;">AND/OR</p> <ul style="list-style-type: none"> Minimum fine of \$2,500 absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum fine of \$10,000. 	<ul style="list-style-type: none"> Minimum 90-day suspension absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of a 180-day suspension. <p style="text-align: center;">AND/OR</p> <ul style="list-style-type: none"> Minimum fine of \$5,000 absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum fine of \$15,000.
LICENSED OWNER:		
1st offense TCO₂ (> 37.0mmol/l- <39mmol/l)	2nd offense TCO₂ (> 37.0mmol/l- <39mmol/l)	3rd offense TCO₂ (> 37.0mmol/l- <39mmol/l)
<ul style="list-style-type: none"> Disqualification of horse and loss of purse. 	<ul style="list-style-type: none"> Disqualification of horse and loss of purse. 	<ul style="list-style-type: none"> Disqualification of horse, loss of purse and in the absence of mitigating circumstances, \$2,500 fine.
LICENSED TRAINER:		
1st offense TCO₂ (≥ 39.0mmol/l)	2nd offense TCO₂ (≥ 39.0mmol/l)	3rd offense TCO₂ (≥ 39.0mmol/l)
<ul style="list-style-type: none"> Minimum 30-day suspension absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of a 60-day suspension. <p style="text-align: center;">AND/OR</p> <ul style="list-style-type: none"> Minimum fine of \$2,500 absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum fine of \$10,000. 	<ul style="list-style-type: none"> Minimum 60-day suspension absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of a 180-day suspension. <p style="text-align: center;">AND/OR</p> <ul style="list-style-type: none"> Minimum fine of \$5,000 absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum fine of \$15,000. 	<ul style="list-style-type: none"> Minimum 90-day suspension absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum of a 365-day suspension. <p style="text-align: center;">AND/OR</p> <ul style="list-style-type: none"> Minimum fine of \$10,000 absent mitigating circumstances. The presence of aggravating factors could be used to impose a maximum fine of \$25,000.
LICENSED OWNER:		
1st offense TCO₂ (≥ 39.0mmol/l)	2nd offense TCO₂ (≥ 39.0mmol/l)	3rd offense TCO₂ (≥ 39.0mmol/l)
<ul style="list-style-type: none"> Disqualification of horse and loss of purse. 	<ul style="list-style-type: none"> Disqualification of horse and loss of purse. 	<ul style="list-style-type: none"> Disqualification of horse, loss of purse and a fine ranging from a minimum of \$5,000, up to a maximum of \$20,000.

CATEGORY "C" PENALTIES

Penalties for violations due to the presence of a drug substance in an official test sample, which CHRB drug classification is categorized as warranting a Category C penalty and for the presence of more than one non-steroidal anti-inflammatory (NSAID) in a plasma/serum sample, as defined in Rule 1844 of this division, and furosemide as defined in Rule 1845 of this division in an official test sample are as set forth below. All concentrations are for measurements in serum or plasma.

LICENSED TRAINER:		
1 st offense	2 nd offense (365-day period)	3 rd offense (365-day period)
<ul style="list-style-type: none"> ◦ Minimum fine of \$500 to a maximum fine of \$1,000 absent mitigating circumstances. 	<ul style="list-style-type: none"> ◦ Minimum fine of \$1,000 to a maximum fine of \$2,500, and up to a 15 - day suspension absent mitigating circumstances. 	<ul style="list-style-type: none"> ◦ Minimum fine of \$2,500 and up to a 30 - day suspension absent mitigating circumstances

CATEGORY “C” PENALTIES FOR RULE 1844, AUTHORIZED MEDICATION (C) (1), (2), (3)

Penalties for violations due to overages for permitted non-steroidal anti-inflammatory drug substances (NSAIDs) as defined in Rule 1844 (c) (1), (2) and (3) of this division. All concentrations are for measurements in serum or plasma.

The official veterinarian shall consult with the treating veterinarian in all violations of 1844 (c). With permission of the official veterinarian the trainer may elect to pay the minimum fine in lieu of a stewards’ hearing. If the trainer has not had an 1844 (c) violation within the previous three years, the official veterinarian or the board of stewards may issue a warning in lieu of a fine for violations of 1844 (c)(1), phenylbutazone, provided the reported level is below 5.1 mcg/ml.

LICENSED TRAINER:	Phenylbutazone (5.1-<10.0mcg/ml) Flunixin (20 100 ng/ml) Ketoprofen (11-49 ng/ml)	Phenylbutazone (5.1-<10.0mcg/ml) Flunixin (20 100 ng/ml) Ketoprofen (11-49 ng/ml)
1st offense	2nd offense (365-day period)	3rd offense (365-day period)
◦ Minimum fine of \$500 to a maximum fine of \$1,000.	◦ Minimum fine of \$1,000 to a maximum fine of \$2,500.	◦ Minimum fine of \$2,500 to a maximum fine of \$5,000.
LICENSED OWNER:	Phenylbutazone (5.1-<10.0mcg/ml) Flunixin (20 100 ng/ml) Ketoprofen (11-49 ng/ml)	Phenylbutazone (5.1-<10.0mcg/ml) Flunixin (20 100 ng/ml) Ketoprofen (11-49 ng/ml)
1st offense	2nd offense (365-day period)	3rd offense (365-day period)
No penalty administered.	No penalty administered.	No penalty administered.
LICENSED TRAINER:	Phenylbutazone (≥ 10.0 mcg/ml) Flunixin (≥ 100 ng/ml) Ketoprofen (≥ 50 ng/ml)	Phenylbutazone (≥ 10.0 mcg/ml) Flunixin (≥ 100 ng/ml) Ketoprofen (≥ 50 ng/ml)
1st offense	2nd offense (365-day period)	3rd offense (365-day period)
◦ Minimum fine of \$1,000 to a maximum fine of \$2,500.	◦ Minimum fine of \$2,500 to a maximum fine of \$5,000.	◦ Minimum fine of \$5,000 to a maximum fine of \$10,000.
LICENSED OWNER:	Phenylbutazone (≥ 10.0 mcg/ml) Flunixin (≥ 100 ng/ml) Ketoprofen (≥ 50 ng/ml)	Phenylbutazone (≥ 10.0 mcg/ml) Flunixin (≥ 100 ng/ml) Ketoprofen (≥ 50 ng/ml)
1st offense	2nd offense (365-day period)	3rd offense (365-day period)
◦ Horse must pass Board-approved examination pursuant to Rule 1846 before being eligible to run.	◦ Disqualification of horse and loss of purse. If same horse, placed on veterinarian’s list for up to 45-days, must pass Board-approved examination pursuant to Rule 1846 before being eligible to run.	◦ Disqualification of horse and loss of purse. Minimum \$5,000 fine. If same horse, placed on veterinarian’s list for 60 days, must pass Board-approved examination pursuant to Rule 1846 before being eligible to run

(e) Violations due to the presence of a drug substance in an official test sample, which CHRB drug classification is categorized as warranting a Category “D” penalty, may result in a written warning for a first offense to the licensed trainer and owner. A Category “D” penalty for a first offense may result in a written warning or fine that will remain on the licensee’s record for a period of two years. After the two year period, if

the licensee has had no further violations of CHRB Rule 1843, the Category "D" penalty will be expunged from the licensee's record for penalty purposes.

CATEGORY "D" PENALTIES

1 ST offense (365 day period)	2 ND offense (365 day period)	3 RD offense (365 day period)
Minimum of an official written warning to a maximum fine of \$250.	Minimum of a \$250 fine to a maximum fine of \$500.	Minimum of a \$500 fine to a maximum fine of \$750.

CATEGORY "D" PENALTIES FOR RULE 1844(C)(1) VIOLATIONS

Phenylbutazone 2.1ug/ml to 5.0 ug/ml		
1 ST offense (365 day period)	2 ND offense (365 day period)	3 RD offense (365 day period)
Minimum of an official written warning to a maximum fine of \$250.	Minimum of a \$250 fine to a maximum fine of \$500.	Minimum of a \$500 fine to a maximum fine of \$750.

(f) Any drug or its metabolite or analogue thereof found to be present in an official test sample that is not classified in Rule 1843.2 of this division shall be classified as a Class 1 substance and a Category "A" penalty until classified by the Board.

(g) The administration of a drug substance to a race horse must be documented by the treating veterinarian through the process described in Rule 1842 of this division.

(h) Any licensee found to be responsible for the administration of any drug substance resulting in a positive test may be subject to the same penalties set forth for the licensed trainer and his presence may be required at any and all hearings relative to the case.

(1) Any veterinarian found to be involved in the administration of any drug substance resulting in a positive test in Penalty Category "A" shall be referred to the California Veterinary Medical Board (CVMB) for consideration of further disciplinary action.

(2) Any veterinarian found to be involved in the administration of any drug substance resulting in a positive test in Penalty Category "B" or "C" may be referred to the CVMB for consideration of further disciplinary action upon the recommendation of the Equine Medical Director, the board of stewards or hearing officers.

(i) A licensee who is suspended, or whose license is revoked, because of a medication violation is not able to benefit financially during the period of suspension or revocation. This includes, but is not limited to, ensuring that horses are not transferred to licensed family members.

(j) For the purpose of this regulation “licensed family members” means any person who holds an occupational license issued by the CHRB and who is related to the suspended licensee, or the licensee whose license is revoked, by blood, or by marriage or domestic partnership, or who is related by blood to the spouse or domestic partner of such licensee.

(l) For the purpose of this regulation, licensed trainers suspended 60 days or more, or whose license is revoked, shall be banned from all inclosures under the jurisdiction of the CHRB. In addition, during the period of suspension, or revocation, such trainer shall forfeit all assigned stall space and shall remove from the inclosures all signage, advertisements, training-related equipment, tack, office equipment, and any other property.

Authority: Sections 19440, 19461 and 19580,
Business and Professions Code.

Reference: Sections 19461, 19580, 19581 and 19582,
Business and Professions Code.

Section 11425.50,
Government Code.

STAFF ANALYSIS
PUBLIC HEARING AND ACTION BY THE BOARD REGARDING
THE PROPOSED AMENDMENT OF
CHRB RULE 1663, ENTRY OF CLAIMED HORSE,
TO CHANGE THE REQUIREMENTS FOR RUNNING BACK A
CLAIMED HORSE WITHIN 25 DAYS OF THE CLAIMING RACE
IN WHICH IT WAS CLAIMED

Regular Board Meeting
April 26, 2012

BACKGROUND

Business and Professions Code section 19420 provides that jurisdiction and supervision over meetings in California and over all persons or things having to do with such meetings is vested in the California Horse Racing Board (Board). Business and Professions Code section 19440 states responsibilities of the Board shall include adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering. Business and Professions Code section 19562 states the Board may prescribe rules, regulations, and conditions under which all horse races with wagering on their results shall be conducted in California.

Board Rule 1663, Entry of Claimed Horse, provides that a horse claimed out of a claiming race is eligible to race in California immediately after being claimed. The rule also provides that a horse claimed out of a claiming race is not eligible to start in another claiming race for 25 days for less than 25 percent more than the amount for which it was claimed.

At its January 2012 Regular Meeting, the Board discussed a proposal to amend Board Rule 1663, Entry of Claimed Horse, which was submitted by the Thoroughbred Owners of California (TOC). The proposed amendment would change the requirements for running back a claimed horse within 25 days of the race in which it was claimed. The TOC stated the amendment to Rule 1663 would encourage trainers to run claimed horses back sooner, rather than leaving the horses in barns for weeks at a time. The change would potentially help the racing office by increased fields, which would benefit the entire industry. After discussing the proposal, the Board directed staff to initiate a 45-day public comment period.

ANALYSIS

Rule 1663(a) currently states that if a claimed horse is run back (in a claiming race) within 25 days, it must run for 25 percent more than the amount for which it was claimed. The proposed amendment of Rule 1663 would apply that provision only to horses that were winners of the claiming race from which they were claimed. The proposed amendment also provides that within 25 days of being claimed, horses that were not winners of the races from which they were claimed must start in claiming races for at least the same amount at which they were claimed. This means that within 25 days of being claimed a horse that did not win its claiming race would

not have to be run back at an increased price. The horse could start in a claiming race for the same price at which it was previously claimed.

The proposed amendment would also repeal subsection 1663(b), which has been suspended since 2009. The suspension was the result of potential legal problems with the 60-day jail time. In addition, the proposed amendment repeals subsection 1663(b)(1), as it does not serve a purpose if California no longer imposes a 60-day "jail time" on claimed horses.

RECOMMENDATION

This item is presented for Board discussion and action. No comments were received during the 45-day public comment period.

CALIFORNIA HORSE RACING BOARD
 TITLE 4. CALIFORNIA CODE OF REGULATIONS
 ARTICLE 7. CLAIMING RACES
 PROPOSED AMENDMENT OF
 RULE 1663, ENTRY OF CLAIMED HORSE

Regular Board Meeting
 April 26, 2012

1663. Entry of Claimed Horse.

(a) A horse claimed out of a claiming race is eligible to race at any racing association in California immediately after being claimed.

(b) If a claimed horse is entered in a claiming race within 25 days of being claimed:

(1) The horse that won the claiming race from which it was claimed shall start for at least 25 percent more ~~is not eligible to start in a claiming race for 25 days after the date of the claim for less than 25% more~~ than the amount for which it was claimed.

(2) A horse that did not win the claiming race from which it was claimed shall start for at least the same amount for which it was claimed.

~~(b) A horse claimed out of a claiming race is not eligible to race in any State other than California until 60 days after the close of the meeting from where it was claimed except in a stakes race.~~

~~(1) For the purposes of this rule, the California Fair Circuit shall be considered one meeting.~~

(c) A claimed horse may be removed from the grounds of the association where it was claimed for non-racing purposes.

(d) The provisions of subsection (a) of this rule do not apply to standardbred horses.

Authority: Sections 19420, and 19440,
 Business and Professions Code.

Reference: Sections 19562,
 Business and Professions Code.

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD REGARDING
THE PROPOSED AMENDMENT OF CHRB RULE 1656, ERRORS WHICH INVALIDATE CLAIM
TO CLARIFY THE ERRORS THAT WOULD INVALIDATE THE CLAIM

Regular Board Meeting
April 26, 2012

BACKGROUND

Business and Professions Code section 19408.2 states that "Claiming race" means a race in which any horse entered therein may be claimed in conformity with the rules established by the Board. Business and Professions Code section 19420 provides that jurisdiction and supervision over meetings in California and over all persons or things having to do with such meetings is vested in the California Horse Racing Board (Board). Business and Professions Code section 19440 states responsibilities of the Board shall include adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering. Business and Professions Code section 19562 states the Board may prescribe rules, regulations, and conditions under which all horse races with wagering on their results shall be conducted in California.

Board Rule 1656, Errors Which Invalidate Claim, lists the specific errors that will invalidate a claim slip. In addition, it states that if the claim slip is otherwise so defective in any particular that the stewards cannot approve its validity, the claim may be invalidated. In February 2012 a claim slip had the incorrect date for the claiming race; however, the stewards initially allowed the claim to stand. After holding a hearing on the disputed claim, the stewards reversed their decision and awarded the horse to another ownership group that had submitted a claim. The reasoning for the initial stewards' decision was that an assistant in the paymaster's office entered the wrong date. Racing office personnel often assist in filling out of claim forms. The responsible party was fined \$200 for the mistake.

ANALYSIS

The form CHRB-11 (REV. 8/00) Agreement to Claim is the form used to claim horses at California racetracks. Rule 1656 states the name of the horse must be correctly spelled or specified on the claim form. The Rule also lists specific items or actions that relate to the claim that have to be correct or the claim will be declared invalid. Rule 1656 also provides that other defects in the claim may result in the stewards invalidating the claim; however, the rule does not specify what those defects are. This results in gray areas wherein a claim may or may not be invalid, and if the stewards make a decision regarding the claim, such decision may be disputed. The proposed amendment to Rule 1656 eliminates such gray areas by specifying that the name of the new trainer, the race number and the date of the claiming race must be correctly entered or the claim will be invalid. The result of the proposed amendment is that whoever fills out the Agreement to Claim must ensure every entry is entirely correct, or the claim will be invalid.

RECOMMENDATION

This item is presented for Board discussion and action. Staff recommends the Board direct staff to initiate a 45-day public comment period for the proposed amendment.

CALIFORNIA HORSE RACING BOARD
TITLE 4. CALIFORNIA CODE OF REGULATIONS
ARTICLE 7. CLAIMING RACES
PROPOSED AMENDMENT OF
RULE 1656. ERRORS WHICH INVALIDATE CLAIM

Regular Board Meeting
April 26, 2012

1656. Errors Which Invalidate Claim.

A claim is invalid if the name of the horse to be claimed is erroneously spelled or not specified on the claim form; or if the claim form is not signed by an owner authorized to claim or a member of a racing interest authorized to claim or their properly registered authorized agent; or is not accompanied by a certification from the paymaster of purses that the amount of the claim and all applicable taxes is on deposit with the association or such paymaster of purses; or is deposited at a place other than that provided by the association; or is not deposited within the correct time; or does not specify the racing interest making the claim; or does not correctly specify the name of the new trainer, the race number, or the date of the claiming race; or is otherwise so defective in any particular that the stewards cannot approve its validity; or fails to specify the designated claiming price.

Authority: Sections 19420, 19440 and 19562,
Business and Professions Code.

Reference: Sections 19408.2 and 19562,
Business and Professions Code.

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD REGARDING
THE REQUEST FROM THE CALIFORNIA AUTHORITY OF RACING FAIRS (CARF)
AND THE PACIFIC COAST QUARTER HORSE RACING ASSOCIATION
TO SUSPEND THE USE OF CLENBUTEROL FOR QUARTER HORSE RACING AT
ALL CARF TRACKS, PURSUANT TO THE PROVISIONS OF
CHRB RULE 1844.1, SUSPENSION OF AUTHORIZED MEDICATION

Regular Board Meeting
April 26, 2012

BACKGROUND

Business and Professions Code section 19420 provides that jurisdiction and supervision over meetings in this State where horse races with wagering on their results are held or conducted, and over all persons or things having to do with the operation of such meetings, is vested in the CHRB. Business and Professions Code section 19440 states the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of this chapter. Responsibilities of the Board shall include adopting rules and regulations for the protection of the public and the control of horse racing and pari-mutuel wagering. Business and Professions Code section 19562 states the Board may prescribe rules, regulations and conditions consistent with the provisions of this chapter, under which all horse races with wagering on their results shall be conducted in this state. Business and Professions Code section 19580 states the Board shall adopt regulations to establish policies, guidelines, and penalties relating to equine medication in order to preserve and enhance the integrity of horse racing in the state. Business and Professions Code section 19581 provides that no substance of any kind shall be administered by any means to a horse after it has been entered to race in a horse race, unless the Board has, by regulation, specifically authorized the use of the substance and the quantity and composition thereof. Board Rule 1844.1 provides that the Board may temporarily suspend the authorized administration of a permitted drug, substance or medication after a public hearing has been noticed in accordance with Government Code section 11125(a). The temporary suspension may be for a race, breed, or race meeting, provided all horses in the same race compete under the same conditions. The Board shall notify in writing the racing association and the trainer's organization of any temporary suspension, as specified.

Board regulations prohibit the administration of any drug, substance or medication after entry time with a few specific exceptions. The exceptions were established after due consideration by the Board based on information available at the time the regulations were adopted. Scientific information and practical experience in managing the threshold levels for the exceptions change over time as unintended consequences become apparent. Clenbuterol in quarter horse racing is an example. Clenbuterol is a beta-2 agonist approved by the United States Food and Drug Administration (FDA) in equines as a bronchodilator.

The Board established threshold levels consistent with therapeutic use to treat small airway disease. Clenbuterol, like all beta-2 agonists, has an adrenergic effect on muscle somewhat

similar to anabolic steroids. Only the Ventipulmin brand of Clenbuterol is approved by the FDA. Non-FDA approved Clenbuterol at extremely high concentrations has been used in quarter horse racing for the adrenergic effect. The CHRB has confiscated and otherwise obtained samples of this illicit formulation. Clenbuterol violations have occurred at levels unattainable with the FDA approved formulation at FDA approved dosages.

At its February 17, 2011 Regular Meeting, the Board heard from the Equine Medical Director that non-FDA approved Clenbuterol was becoming a problem in quarter horse racing, and that the American Quarter Horse Association, the Los Alamitos Racing Association and the Pacific Coast Quarter Horse Racing Association were considering asking racing jurisdictions to prohibit Clenbuterol in quarter horse racing. At that time the Board initiated the process to adopt Rule 1844.1, which would allow it to temporarily suspend an authorized medication for horses entered to race. The rule was approved by the Office of Administrative Law and became effective July 21, 2011.

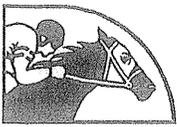
At the August 2011 Regular Board Meeting the Los Alamitos Race Course and the Pacific Coast Quarter Horse Racing Association requested that the CHRB consider enacting Rule 1844.1 to suspend the authorized administration of Clenbuterol to horses entered to race at the Los Alamitos Race Course for a period of 12 months effective October 14, 2011. The Equine Medical Director reported that since February 2011 there had been eight confirmed Clenbuterol violations, with one pending. The delay in the effective date of the suspension would allow time for any Clenbuterol that may have already been administered to a horse to "wash out" of its system. The Board agreed and suspended the use of clenbuterol in quarter horses entered to race at Los Alamitos Race Course for one year, effective October 14, 2011 through October 14, 2012.

ANALYSIS

The Pacific Coast Quarter Horse Racing Association and the California Authority of Racing Fairs (CARF) have requested that the Board consider enacting Rule 1844.1 to suspend the use of clenbuterol in quarter horses at all CARF tracks for 12 months effective June 21, 2012. The CARF tracks that would be affected are: Pleasanton, Cal Expo State Fair, Santa Rosa, Ferndale, Stockton and Fresno. The CARF tracks run mixed breed meetings with quarter horses from Los Alamitos Race Course and from out-of-state. If the Board were to suspend the use of clenbuterol at CARF tracks, as requested, the suspension would be in effect until June 21, 2013, which covers the 2012 fair circuit. The current suspension at Los Alamitos Race Course expires on October 14, 2012.

RECOMMENDATION

This item is presented for Board discussion and action.



Pacific Coast Quarter Horse Racing Association

April 11, 2012

Dear Chairman Brackpool,

The PCQHRA in cooperation with CARF requests the CHRB at its April 26th meeting suspend the use of clenbuterol in Quarter Horses at all CARF tracks for 12 months effective June 21, 2012. CARF tracks are Pleasanton, Sacramento State Fair, Santa Rosa, Ferndale, Stockton and Fresno.

If you have any questions feel free to contact either of us.

CARF

Larry Swartzlander
CARF - COO

PCQHRA

Dominic Alessio
President

cc: Kirk Breed

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD
REGARDING THE REQUEST FROM THE JOCKEYS' GUILD
TO AMEND THE JOCKEYS' RETIREMENT PLAN,
TO ALLOW JOCKEYS TO ELECT A LUMP SUM DISTRIBUTION
OF THE PLAN ACCOUNT BALANCE IF THAT BALANCE IS
FIVE THOUSAND DOLLARS OR LESS

Regular Board Meeting
April 26, 2012

BACKGROUND

Business and Professions Code section 19604(i) provides that advance deposit wagering (ADW) distributions shall be proportionally reduced, and 50 percent of the amount deducted shall be distributed to the CHRB to establish and administer jointly with the organization certified as the majority representative of California licensed jockeys, a defined retirement plan for jockeys who retired from racing on or after January 1, 2009. Monies generated by ADW distributions pursuant to this statute have been held by CHRB in an interest bearing account.

In November 2010 the Board approved the adoption of a defined jockeys retirement plan (Plan). To implement the Plan, a competitive bidding process was initiated to name a third party Plan Administrator. The process was overseen by the California Horse Racing Board (CHRB) staff. The Pentegra Retirement Services group, a financial planning firm based in White Plains, New York, was selected to serve as Plan Administrator. The selection was made jointly by representatives of the Jockeys' Guild (Guild) and the CHRB, and was based upon cost of services, investment track record, and ability to serve a diverse retiree community. Representatives of the CHRB and the Guild were named as Plan Trustees. After a thorough review of investment options, the Trustees selected a fifty percent equity/fifty percent fixed income asset allocation portfolio to be shared by each participating jockey. To serve as the Plan Auditor, the Los Angeles accounting firm of Miller, Kaplan and Arase was selected after a Request for Proposals issued by the Guild.

After the Plan was approved by the Board in November 2010, extensive efforts were undertaken to enroll all California licensed jockeys in 2010 and 2011. Jockeys were contacted at race tracks in California and requested to complete Plan enrollment agreements. Efforts to enroll additional Plan participants continue. Funds generated by Business and Professions Code section 19604(i) are held by the State of California in an interest bearing account. Upon Board approval of the Plan trustees the funds are transferred to Pentegra for investment to benefit enrolled jockeys.

ANALYSIS

The Guild has requested that the Plan be amended to allow jockeys to elect to receive a lump sum distribution if their retirement account balance is five thousand dollars or less. The proposal would also amend the plan to prevent jockeys who retire and who elect to receive lump sum

distributions from future participation in the Plan as an active participant. Such retired jockeys would not accrue any additional benefits. Finally, the proposal would amend the Plan to clarify the definition of Internal Revenue Code (IRS) compensation used in situations involving non-highly compensated participants. This would bring the Plan in compliance with IRS regulations to insure its tax deferred status.

RECOMMENDATION

This item is presented for Board discussion and action. Staff recommends the Board hear from Guild representatives.

Jockeys' Retirement Plan
Plan Amendment as Recommended
by the Plan's Board of Directors

The proposed amendment does the following:

1. Amends section 10.02(B) in order to provide that retired participants are eligible to elect a lump sum distribution of their Plan account balance if that account balance is \$5,000 or less.

This provision will allow the Plan to pay out to retirees with small balances a lump sum rather than a lifetime monthly pension if the balance is \$5,000 or less. Under the current provisions of the plan, a lump sum payment is limited to balances of \$1,000 or less. This will be both preferable to most retirees (who would otherwise receive a very small monthly benefit) and will lower administrative costs for the plan. Since all jockeys qualify for benefits from their first race, over time, there will be a large number of beneficiaries with small balances who should appropriately qualify for a lump sum payment upon retirement.

2. Amends section 3.01 in order to provide that Participants who retire and elect distributions of their benefit under the Plan will be excluded from future participation in the Plan as an active participant and will not accrue any additional benefits under the Plan.

This provision will prevent a jockey from retiring, collecting a lump sum benefit, and then "coming out of retirement" to start accruing benefits again. Retirement is a one-time, irreversible decision that triggers payment of benefits. If a jockey retires and is collecting benefits, they may return to racing but will not qualify for accumulation of further benefits. This is to prevent "gaming" of the system.

3. Amends section 2.09(D) in order to clarify the definition of Internal Revenue Code section 415 compensation to be used in situations involving non-highly compensated participants.

This provision was recommended by Counsel to bring our plan in compliance with IRS regulations so as to insure its tax deferred status.

CALIFORNIA HORSE RACING BOARD

APRIL 26, 2012
REGULAR BOARD MEETING

There is no board package material for Item 7

STAFF ANALYSIS
DISCUSSION AND ACTION REGARDING THE
PROPOSED AMENDMENT OF
RULE 1867 PROHIBITED VETERINARY PRACTICES
TO ADD
ZILPATEROL AND RACTOPAMINE
TO THE PROHIBITED PRACTICES LIST

Regular Board Meeting
April 26, 2012

BACKGROUND

Business and Professions Code section 19440 provides that the Board shall adopt regulations for the control of horse racing. Business and Professions Code section 19562 states the Board may prescribe rules and regulations under which all horse races with wagering on their results shall be conducted in California. Section 19580 of the Business and Professions Code requires the Board to adopt regulations to establish policies, guidelines, and penalties relating to equine medication in order to preserve and enhance the integrity of horse racing in California.

Board Rule 1867, Prohibited Veterinary Practices, states that an act or practice which may endanger the safety and welfare of the horse and rider/driver is a prohibited veterinary practice. The rule also identifies those acts, practices, drugs or substances that fall into the "prohibited practices" category.

The proposal to amend Rule 1867 was discussed at the April 11, 2012, Medication and Track Safety Committee (Committee) meeting. The Committee voted to recommend that the Board initiate a 45-day public comment period regarding the proposed amendment.

ANALYSIS

The proposed amendment to Rule 1867 will add ractopamine and zilpaterol, or their metabolites or analogues, to the list of drug substances whose possession and/or use of on the premises of a facility under the jurisdiction of the Board is considered a prohibited veterinary practice. Ractopamine is a beta agonist similar to clenbuterol and Albuterol, and is used as a feed additive to increase weight-gain in livestock. Ractopamine helps keep nutrients from going into fat stores so as to enhance muscle mass. It is not approved by the government for use in horses. In March 2012 the Kentucky Horsemen's Benevolent and Protective Association (HBPA) news reported that since 2005 there have been nine ractopamine positives nationally, with eight cases since 2009. (The HBPA news only reported closed cases) The Racing Commissioners International (RCI) lists ractopamine as a Category III drug, or a drug that may or may not have a therapeutic use in horses and may have the potential to affect performance. Zilpaterol is an adrenergic agonist drug used as a feed additive for cattle at slaughter age to produce rapid weight and muscle gain. The RCI lists zilpaterol as a Category III drug with Class "A" penalties.

RECOMMENDATION

This item is presented for Board discussion and action.

CALIFORNIA HORSE RACING BOARD
TITLE 4. CALIFORNIA CODE OF REGULATIONS
ARTICLE 15. VETERINARY PRACTICES
PROPOSED AMENDMENT OF
RULE 1867. PROHIBITED VETERINARY PRACTICES.

Regular Board Meeting
April 26, 2012

1867 Prohibited Veterinary Practices.

For purposes of this division, prohibited veterinary practices means:

(a) The possession and/or use on the premises of a facility under the jurisdiction of the Board of any drug, substance or medication specified below, for which a recognized analytical method has not been developed to detect and confirm its administration; or the use of which may endanger the health and welfare of the horse, or the safety of the rider or driver, or alter equine performance.

- (1) Erythropoietin (EPO) and analogs
- (2) Darbepoietin and analogs
- (3) Snake venom
- (4) Snail venom
- (5) Growth hormone and analogs
- (6) ractopamine and ractopamine metabolites or analogs,
- (7) zilpaterol and zilpaterol metabolites or analogs

(b) The possession and/or use on the premises of a facility under the jurisdiction of the Board of any drug, substance or medication that has not been approved by the United States Food and Drug Administration (FDA) for use in the United States.

(c) The presence of any drug, substance or medication described in subsections (a)(1) through (a)(5), and subsection (b) of this regulation in any test sample obtained consistent with

Rules 1858, 1859 and 1859.25 of this article, and the provisions of this article, shall apply to such sample in the same manner as if the horse were entered to race (See Title 4, California Code of Regulations, section 1843.3). The Board may grant an exception to this subsection if the person or persons seeking the exemption submits written documentation that demonstrates an FDA exemption has been obtained pursuant to Guide 1240.3025 of the FDA Center for Veterinary Medicine (CVM) Program Policy and Procedures Manual, which is hereby incorporated by reference. Guide 1240.3025 of the FDA CVM Program Policy and Procedures Manual may be obtained at the California Horse Racing Board's headquarters office.

Authority: Sections 19440, 19562, 19580, and,
Business and Professions Code.

Reference: Sections 19580, 19581, and 19582,
Business and Professions Code.

CALIFORNIA HORSE RACING BOARD

APRIL 26, 2012
REGULAR BOARD MEETING

There is no board package material for Item 9

STAFF ANALYSIS

April 26, 2012

Issue: APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF THE ALAMEDA COUNTY FAIR AT PLEASANTON JUNE 20, 2012 THROUGH JULY 8, 2012

Alameda County Fair (ACF) filed its application to conduct a horse racing meeting at Pleasanton:

- June 20 through July 8, 2012, or 13 days, the same as 2011. The fair proposes to race a total of 150 races. Alameda County Fair will simulcast only on June 20, 2012 and begin live racing on June 21, 2012.

June - 2012						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
					1	2
3	4	5	6	7	8	9
10	11	12	13	14	15	16
17	18	19	20	21	22	23
24	25	26	27	28	29	30

July - 2012						
Sun	Mon	Tue	Wed	Thu	Fri	Sat
1	2	3	4 H	5	6	7
8	9	10	11	12	13	14
15	16	17	18	19	20	21
22	23	24	25	26	27	28
29	30	31				

- Racing Thursday through Sunday the first and second week and Wednesday through Sunday the third week. 12 races on Wednesday, Friday, Saturday, and Sunday. 10 races on Thursday. Alameda is running a four day race week to coincide with Hollywood Park with the exception of the July 4th holiday (Wednesday).
 - Number of horses available determines the number of daily races programmed by breed.
 - 2011 Race Meeting: Average number of runners per race (TB): 7.65
 - 2011 Race Meeting: Average number of runners per race (Arabian): 6.38
 - 2011 Race Meeting: Average number of runners per race (Quarterhorse): 6.50
 - 2011 Race Meeting: Average number of runners per race (Mules): 7.63
- First post 1:15 p.m.
- Specific changes from the 2011 license application:
 - Parking (general) – from \$8.00 with no on-line option to \$10.00 (pre fair \$8 on-line)
 - Programs (on-track) – from \$1.00 to \$2.50
 - Programs (off-track) – from \$2.25 to \$2.50
- Request Patrick Kealy be appointed horse identifier pursuant to CHRB Rule 1525.
- In the event there is a prohibitive favorite where there is sufficient information that the entry will most likely generate a negative place or show pool, the Alameda County Fair may request approval from the CHRB to allow an entry to run for purse only.
- Wagering program will use CHRB rules and ARCI rules.
 - \$1 Place Pick, \$1 Trifecta, and \$0.10 Superfecta when applicable.
 - \$0.50 Pick 4 on first 4 races and last 4 races – Pick five on last 5 races, with 100% major pool paid for 5 of 5. If no 5 of 5, 75% carryover and 25% minor pool to most winners.

- \$2 Pick 6 on last 6 races, with 70% major pool paid or a carryover and a 30% minor pool paid.
 - \$0.50 Pentafecta on last race of the day. 100% payout on all tickets selecting five winners. No consolation or minor pool; 100% carryover if no ticket has five winners. Note: Superfecta will be offered in the race. Super High-5 will be carded on the last race of the day.
 - Early wagering will not be offered.
- The Advance Deposit Wagering (ADW) providers are XpressBet, TVG and TwinSpire.

Pursuant to Business and Profession Code section 19604, specific provisions must be met before an ADW provider can accept wagers.

Summary of B&P code 19604

To accept wagers on races conducted in California from a resident of California.

- The ADW provider must be licensed by the Board.
- A written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made.
- The agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made.

To accept wagers on races conducted outside of California from a resident of California.

- The ADW provider must be licensed by the Board.
- There is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wager is placed, and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

Documents received in compliance with Business and Professions code 19604:

- ODS Technologies, L.P. d/b/a TVG Network has submitted all document required in compliance with Business and Professions code 19604.
 - XpressBet LLC. dba XpressBet.com has submitted all document required in compliance with Business and Professions code 19604.
 - Churchill Downs Technology Initiatives Company, dba TwinSpire, has submitted all document required in compliance with Business and Professions code 19604.
- Simulcasting conducted with other out-of-state racing jurisdictions pursuant to Business and Professions Code Section 19602; and with authorized locations throughout California.
 - Inspection of backstretch worker housing has been requested and will be completed prior to race meet.
 - Track safety inspection has been requested and will be completed prior to race meet.

ITEMS STILL NEEDED

1. Fire Clearance

RECOMMENDATION:

If the application is considered for approval, staff recommends a contingent approval upon the submission of outstanding items.

STATE OF CALIFORNIA
CALIFORNIA HORSE RACING BOARD
APPLICATION FOR LICENSE TO CONDUCT A HORSE RACING MEETING OF A CALIFORNIA FAIR
CHRB-18 (Rev.07/11)

Application is hereby made to the California Horse Racing Board (CHRB) for a license to conduct a horse racing meeting of a California fair as authorized by Article 6.5 of the California Business and Professions Code, Chapter 4, Division 8, Horse Racing Law, and in accordance with applicable provisions and the California Code of Regulations, Title 4, Division 4, CHRB Rules and Regulations.

1. APPLICANT FAIR ASSOCIATION

A. Name, mailing address, telephone, and fax numbers of fair:

Alameda County Fair, 4501 Pleasanton Avenue, 4501 Pleasanton, CA 94566
Phone: 925-426-7600 Fax: 925-426-7599

B. Fair association is a: District Fair County Fair Citrus Fruit Fair
 California Exposition and State Fair Other qualified fair

C. Provide the name, telephone, and email address for the fair contact person:

Rick Pickering, C.E.O., 925-426-7501, rick@alamedacountyfair.com

NOTICE TO APPLICANT: Application must be filed not later than 90 days before the scheduled start date for the proposed meeting pursuant to CHRB Rule 1433.

2. DATES OF RACE MEETING

- A. Inclusive dates allocated for race meeting: June 20 – July 8, 2012
- B. Actual dates racing will be held: June 21, 22, 23, 24, 28, 29, 30 & July 1, 4, 5, 6, 7, 8
- C. Dates racing will NOT be held: June 20, 25, 26, 27 & July 2 & 3
- D. Total number of racing days: 13
- E. Days of the week races will be held:
 Wed - Sun Tues - Sat Other (specify) Thurs-Sun (First 2 weeks)
Wed-Sun (Third week)

3. RACING PROGRAM

- A. Total number of races: 150
- B. Number of races by breed:

<input type="text" value="117"/>	Thoroughbreds	<input type="text" value="11"/>	Quarter Horses	<input type="text" value="0"/>	Appaloosas
<input type="text" value="12"/>	Arabians	<input type="text" value="0"/>	Paints	<input type="text" value="10"/>	Mules

CHRB CERTIFICATION	
Application received: 3/9/12	Hearing date: 4/26/12
Reviewed: CW	Approved date:
	License number:

C. Number of races daily:

	Thurs 6/21	Fri 6/22	Sat 6/23	Sun 6/24	Wed 7/4	Thurs 6/28&7/5	Fri 6/29 &7/6	Sat 6/30 & 7/7	Sun 7/1 & 7/8
Thoroughbred	8	9	9	10	9	8	9	9	10
Other Breeds	2	3	3	2	3	2	3	3	2
Total	10	12	12	12	12	10	12	12	12

D. Total number of stakes races by breed:

<input type="text" value="7"/>	Thoroughbreds	<input type="text" value="0"/>	Quarter Horses	<input type="text" value="0"/>	Appaloosas
<input type="text" value="1"/>	Arabians	<input type="text" value="0"/>	Paints	<input type="text" value="0"/>	Mules

E. Attach a listing of all stakes races and indicate the date to be run and the added money or guaranteed purse for each.

Thoroughbred Stakes

Name TBD Three-year old Fillies - Six Furlongs	\$50,000 Added Overnight Stakes (Plus up to \$15,000 to Cal-Breds)	Sat., Jun. 23
Livermore Valley Wine Stakes Three-year olds - Six Furlongs	\$50,000 Added Overnight Stakes (Plus up to \$15,000 to Cal-Breds)	Sun., Jun 24
Alameda County Fillies & Mares - 44 rd Running Fillies and Mares Three-year olds and Upward One and 1/16 Miles	\$50,000 Added Overnight Stakes (Plus up to \$15,000 to Cal-Breds)	Sat., Jun 30
Alamedan Handicap - 47 th Running Three-year olds and Upward - One and 1/16 Miles	\$50,000 Added Overnight Hdcp (Plus up to \$15,000 to Cal-Breds)	Sun., Jul 1
Sam J. Whiting Memorial Handicap - 50 th Running Three-year olds and Upward - Six Furlongs	\$75,000 Guaranteed	Wed., Jul 4
Juan Gonzalez Memorial Overnight Stakes-34 th Running Fillies Two-years old - Five & 1/2 Furlongs	\$50,000 Added Overnight Stakes (Plus up to \$15,000 to Cal-Breds)	Sat., Jul 7
Everett Nevin Alameda County Stakes-51 st Running Two-year olds - Five & 1/2 Furlongs	\$50,000 Added Overnight Stakes (Plus up to 15,000 to Cal-Breds)	Sun., Jul 8

Arabian Stakes

Grade III Sheikh Zayed Bin Sultan Al Nahyan Arabian Cup Three Year-Olds and Upward - Six Furlongs	\$20,000 Guaranteed	Sat., Jun 30
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F. Will provisions be made for owners and trainers to use their own registered colors?

Yes No If no, what racing colors are to be used:

G. List all post times for the daily racing program:

<i>Race Number</i>	<i>Weekdays</i>	<i>Weekends & July 4</i>
Race # 1	1:15p.m.	1:15 p.m.
Race # 2	1:45 p.m.	1:45 p.m.
Race # 3	2:15 p.m.	2:15 p.m.
Race # 4	2:45 p.m.	2:45 p.m.
Race # 5	3:15 p.m.	3:15 p.m.
Race # 6	3:45 p.m.	3:45 p.m.
Race # 7	4:15 p.m.	4:15 p.m.
Race # 8	4:45p.m.	4:45 p.m.
Race # 9	5:15 p.m.	5:15 p.m.
Race # 10	5:45 p.m.	5:45 p.m.
Race # 11		6:15 p.m.
Race # 12		6:45 p.m.

** As much as possible, we intend to align our post times to compliment the Hollywood Park post times*

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall each racing day provide for the running of at least one race limited to California-bred horses, to be known as the "California-bred race" pursuant to CHRB Rule 1813.

4. FAIR ASSOCIATION

- A. Names of the fair directors: Lil Arnerich, Jack Balch, Paul Banke, Jason Chin, Dawna Dowdell, Gordon Galvan, Patsy Gilbert, Frank Imhof, Jack Kavanagh, Janet Lockhart, Michael Mahoney, Bill McCammon, Jim McGrail, Pat O'Brien, Anthony Pegram, Dean Schenone, Richard Sealana, Don Sherratt, Robert Silva, Harvey Smith, John Smith, Naomi Wallace, Eric Wente, Ario Ysit, Ron Zeno.
- B. Names of the directors serving on the Racing Committee or otherwise responsible for the conduct of the racing program: Co Chair Frank Imhof, Co Chair Kavanagh, Lil Arnerich, Paul Banke, Jason Chin, Gordon Galvan, Jim McGrail, Naomi Wallace, Ario Ysit
- C. Name and title of the fair manager or executive officer and the names and titles of all department managers and fair staff, other than those listed in 12B, who will be listed in the official program: C.F.O. Randy Magee, Maintenance Operations Manager Ed Johnson, & Stable Manager Jim Burns
- D. Name and title of the person(s) authorized to receive notices on behalf of the fair association and the mailing and email address of such person(s).
Rick Pickering, C.E.O.
4501 Pleasanton Avenue
Pleasanton, CA 94566
rick@alamedacountyfair.com

5. TAKE OUT PERCENTAGE

1. Will the percentage deducted for any type of wager be adjusted pursuant to Business and Professions Code section 19601.01? If no, proceed to subsection 6. If yes, identify the wager and the proposed takeout percentage.

Yes No

Wager(s) to be adjusted: _____ Proposed percentage: _____%

A. Attach copy of written notice requesting the proposed takeout adjustment, the proposed percentage and the wager(s) affected. The notice must include the written agreement of the fair association and the horsemen's organization for the meeting of the fair association accepting the wager.

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19601.01 notwithstanding any other provision of law, a thoroughbred association or fair, upon the filing of a written notice with, and approval by, the board specifying the percentage to be deducted, may deduct from the total amount handled in the pari-mutuel pool for any type of wager an amount of not less than 10 percent nor more than 25 percent. The written notice shall include the written agreement of the thoroughbred association or fair and the horsemen's organization for the meeting of the thoroughbred association or fair accepting the wager. The established percentage to be deducted shall remain in effect until the filing of a subsequent notice with, and approval by, the board, unless otherwise specified in the notice.

6. HANDLE HISTORY

1. Complete the table below providing the last five years of handle and attendance for the fair association. If your association has been operating for fewer than five years, provide information for the period of time it has been in operation.

Year	Handle	Attendance	Number of Racing Days
2011	\$26,456,203	95,213	13 days
2010	\$27,991,722	102,728	14 days
2009	\$31,941,942	107,607	15 days
2008	\$29,167,713	90,336	11 days
2007	\$33,529,755	87,094	11 days

7. PURSE PROGRAM (Excluding supplements, nominations, sponsorships, and starter fees):

A. Purse distribution:

1. All races other than stakes:
 Current meet estimate: \$1,532,348
 Prior meet actual: \$1,462,459

Average Daily Purse (7A1 ÷ number of days):
 Current meet estimate: \$117,873
 Prior meet actual: \$112,497

2. Overnight stakes:
 Current meet estimate: \$375,000
 Prior meet actual: \$300,000

Average Daily Purse (7A2 ÷ number of days):

Current meet estimate: \$28,846

Prior meet actual: \$23,077

3. Non-overnight stakes:
 Current meet estimate: 0
 Prior meet actual: 0

4. Total Purses: (7A1+7A2+ 7A3)
 Current meet estimate: \$1,907,348
 Prior meet actual \$1,762,459

Average Daily Purse (7A3 ÷ number of days):

Current meet estimate: 0

Prior meet actual: 0

- B. Funds to be generated for all California-bred incentive awards (including breeder awards and owners premiums):

Current meet estimate: \$153,436

Prior meet actual: \$142,070

- C. Payment to each recognized horsemen's organization contracting with the fair:

Current meet estimate:		Prior meet actual:
CTT	\$ 4,978	\$ 4,909
TOC	\$ 9,957	\$ 9,219
NTRA	\$ 3,732	\$ 3,456
PCQHRA	\$ 1,085	\$ 1,005
CWAR	\$ -0-	\$ -0-
ARAC	\$ 4,860	\$ 4,500
AMRA	\$ 4,862	\$ 4,502
CHBPAPEN	\$ 14,934	\$ 13,828
CTHF	\$ 14,934	\$ 13,828
Total	\$ 59,342	Total \$55,247

- D. Amount from all sources to be distributed at the meeting in the form of purses or other benefits to horsemen (7A+7B+7C):

Current meet estimate: \$2,120,126

Prior meet actual: \$1,959,776

Average Daily Purse (7D ÷ number of days):

Current meet estimate: \$163,087

Prior meet actual: \$150,729

- E. Purse funds to be generated from on-track handle and intrastate off-track handle (excluding carry-overs from prior race meet(s):
 Current meet estimate: \$1,574,621
 Prior meet actual: \$1,631,734

Average Daily Purse (7E ÷ number of days):
 Current meet estimate: \$121,125
 Prior meet actual: \$125,518

- F. Purse funds to be generated from interstate handle:
 Current meet estimate: \$184,941
 Prior meet actual: \$191,649

Average Daily Purse (7F ÷ number of days):
 Current meet estimate: \$14,226
 Prior meet actual: \$14,742

- G. Bank and account number for the Paymaster of Purses' purse account:
 West America Bank (C.A.R.F.) TOC Account No. On file. Emerging Breeds Account No. On file.

- H. Name, address, email and telephone number of the pari-mutuel audit firm engaged for the meeting:
 Disher Accountancy Corporation, 1816 Maryal Drive, Sacramento, CA. 95864, (916) 482-4224

NOTICE TO APPLICANT: All funds generated and retained from on-track pari-mutuel handle which are obligated by law for distribution in the form of purses, breeders' awards or other benefits to horsemen, **shall not** be deemed as income to the fair and **shall**, within 3 calendar days following receipt, be deposited in a segregated and separate liability account in a depository approved by the CHRB and shall be at the disposition of the Paymaster of Purses, who shall pay or distribute such funds to the persons entitled thereto. All funds generated from off-track simulcast wagering, interstate wagering, and out-of-state wagering which are obligated by law for distribution in the form of purses and breeders' awards, shall also be deposited within 3 calendar days following receipt into such liability account. In the event the fair is obligated to the payment of purses prior to those obligated amounts being retained from pari-mutuel wagering for such purpose, or as a result of overpayment of earned purses at the conclusion of the meeting, the fair shall transfer from its own funds such amounts as are necessary for the Paymaster of Purses to distribute to the horse owners statutorily or contractually entitled thereto. The fair is entitled thereafter to recover such transferred funds from the Paymaster of Purses' account; and if insufficient funds remain in the account at the conclusion of the meeting, the fair is entitled to carry forward the deficit to its next succeeding meeting as provided by Business and Professions Code section 19615(c) or (d). In the event of **underpayment** of purses which results in a balance remaining in the Paymaster of Purses' account at the conclusion of the meeting after distribution of amounts due to horsemen and breeders and horsemen's organizations, the fair may carry forward the surplus amount to its next succeeding meeting; provided, however, that the amount so retained does not exceed an amount equivalent to the average daily distribution of purses and breeders' awards during the meeting. All amounts in excess shall be distributed retroactively and proportionally in the form of purses and breeders' awards to the horse owners and breeders having earned purses or awards during the conduct of the meeting.

8. STABLE ACCOMMODATIONS

- A. Number of usable stalls available for racehorses at the track where the meeting is held:
684 (100 Portables available if needed)
- B. Minimum number of stalls believed necessary for the meeting:
2,000
- C. Total number of usable stalls to be made available off-site at approved auxiliary stabling areas or approved training centers:
1,440

- D. Name and location of each off-site auxiliary stabling area and the number of stalls to be maintained at each site: **Golden Gate Fields – 1,440 stalls**
- E. Attach each contract or agreement between the fair and the person(s) furnishing off-site stabling accommodations for eligible racehorses that cannot be provided stabling on-site.
Northern California Stabling and Vanning Fund (On File).

Complete subsections F through H if the fair will request reimbursement for off-site stabling as provided by Business and Professions Code sections 19607, 19607.1, 19607.2, and 19607.3; otherwise, proceed to section 9.

- F. Total number of usable stalls made available on-site for the **1986** meeting, pursuant to Business and Professions Code section 19535(c).
884
- G. Estimated cost to provide off-site stalls for this meeting. Show cost per-day per stall:
\$7.91
- H. Estimated cost to provide vanning from off-site stalls for this meeting. Show fees to be paid for Vanning per-horse: **Alameda County Fair will not be Vanning horses.**

9. PARI-MUTUEL WAGERING PROGRAM

- A. Is the fair applicant a member of the California Authority of Racing Fairs (CARF)? If yes, attach a copy of the CARF recommended wagering format. Yes No
- B. Pursuant to Business and Professions Code section 19599, and with the approval of the CHRB, fairs may elect to offer wagering programs using CHRB Pari-mutuel Rules, the Association of Racing Commissioners International (RCI) Uniform Rules of Racing, Chapter 9, Pari-mutuel Wagering, or a combination of both. Please complete the following schedule for the types of wagering other than WPS and the minimum wager amount for each. If applicant is a member of CARF, also indicate if wager is a part of the CARF recommended wagering format:

Use DD for daily double, E for exacta (special quinella), PK3 for pick three, PK4 for select four, PNP for pick (n) pool, PPN for place pick (n), Q for quinella, SF for superfecta, TRI for trifecta, and US for unlimited sweepstakes (pick 9).

Type		Rule Number
Exacta	(1E)	1959
Quinella	(2Q)	1958
Trifecta	(1TRI)	1979
Daily Double	(2DD)	1957
Superfecta	(.10SF)	1979.1
Pentafecta	(.50 PF)	ARCI 004-105 T-Pick (N) Position (X) Pools
PK 3	(1PK3)	1977
PK 4	(.50PNP4)	1976.9
PK 5	(.50PNP5)	1976.9
PK 6	(2PNP6)	1976.9
PLACE PICK	(1PPN)	1976.8
ALL		

CHRB-18 (Rev. 07/11)

Example Race	TYPE OF WAGERS \$1 E; \$1 Double	APPLICABLE RULES CHRB #1959; RCI #VE	CARFWAGERINGFORMAT <input checked="" type="checkbox"/> Yes X
Race #1	\$1E, \$1PK3, \$1TRI, \$2Q \$2DD, \$.10SF, \$1PPN% \$.50PNP4	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1958, CHRB #1957, CHRB #1979.1 CHRB #1976.8, CHRB #1976.9	
Race #2	\$1E, \$1PK3, \$1TRI, \$2Q \$2DD, \$.10SF, \$1PPN% \$.50PNP4	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1958, CHRB #1957, CHRB #1979.1 CHRB #1976.8, CHRB #1976.9	
Race #3	\$1E, \$1PK3, \$1TRI, \$2Q \$2DD, \$.10SF, \$1PPN% \$.50PNP4, \$2PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1958, CHRB #1957, CHRB #1979.1 CHRB #1976.8, CHRB #1976.9, CHRB 1976.9	
Race #4	\$1E, \$1PK3, \$1TRI, \$2Q \$2DD, \$.10SF, \$1PPN% \$.50PNP4, \$.50PNP5, \$2PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1958, CHRB #1957, CHRB #1979.1 CHRB #1976.8, CHRB #1976.9, CHRB 1976.9, CHRB 1976.9	
Race #5	\$1E, \$1PK3, \$1TRI, \$2Q \$2DD, \$.10SF, \$1PPN% \$.50PNP4, \$.50PNP5, \$2PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1958, CHRB #1957, CHRB #1979.1 CHRB #1976.8, CHRB #1976.9, CHRB 1976.9, CHRB 1976.9	
Race #6	\$1E, \$1PK3, \$1TRI, \$2Q \$2DD, \$.10SF, \$1PPN% \$.50PNP4, \$.50PNP5, \$2PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1958, CHRB #1957, CHRB #1979.1 CHRB #1976.8, CHRB #1976.9, CHRB 1976.9, CHRB 1976.9	
Race #7	\$1E, \$1PK3, \$1TRI, \$2Q \$2DD, \$.10SF, \$1PPN% \$.50PNP4, \$.50PNP5, \$2PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1958, CHRB #1957, CHRB #1979.1 CHRB #1976.8, CHRB #1976.9, CHRB 1976.9, CHRB 1976.9	
Race #8	\$1E, \$1PK3, \$1TRI, \$2Q \$2DD, \$.10SF, \$1PPN% \$.50PNP4, \$.50PNP5, \$2PNP6 \$.50 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1958, CHRB #1957, CHRB #1979.1 CHRB #1976.8, CHRB #1976.9, CHRB 1976.9, CHRB 1976.9, ARCI 004-105 T-Pick (N) Position (X) Pools	
Race #9	\$1E, \$1PK3, \$1TRI, \$2Q \$2DD, \$.10SF, \$1PPN% \$.50PNP4, \$.50PNP5, \$2PNP6 \$.50 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1958, CHRB #1957, CHRB #1979.1 CHRB #1976.8, CHRB #1976.9, CHRB 1976.9, CHRB 1976.9, ARCI 004-105 T-Pick (N) Position (X) Pools	
Race #10	\$1E, \$1PK3, \$1TRI, \$2Q \$2DD, \$.10SF, \$1PPN% \$.50PNP4, \$.50PNP5, \$2PNP6 \$.50 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1958, CHRB #1957, CHRB #1979.1 CHRB #1976.8, CHRB #1976.9, CHRB 1976.9, CHRB 1976.9, ARCI 004-105 T-Pick (N) Position (X) Pools	
Race #11	\$1E, \$1PK3, \$1TRI, \$2Q \$2DD, \$.10SF, \$1PPN% \$.50PNP4, \$.50PNP5, \$2PNP6 \$.50 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1958, CHRB #1957, CHRB #1979.1 CHRB #1976.8, CHRB #1976.9, CHRB 1976.9, CHRB 1976.9, ARCI 004-105 T-Pick (N) Position (X) Pools	
Race #12	\$1E, \$1PK3, \$1TRI, \$2Q \$2DD, \$.10SF, \$1PPN% \$.50PNP4, \$.50PNP5, \$2PNP6 \$.50 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1958, CHRB #1957, CHRB #1979.1 CHRB #1976.8, CHRB #1976.9, CHRB 1976.9, CHRB 1976.9, ARCI 004-105 T-Pick (N) Position (X) Pools	



The following is the CARF wagering format:

Example Race	TYPE OF WAGERS	APPLICABLE RULES
	\$1 E; \$1 Double	CHRB #1959; RCI #VE
Race #1	\$1E, \$1PK3, \$1TRI, \$2Q \$2DD, \$.10SF, \$1PPN% \$.50PNP4	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1958, CHRB #1957, CHRB #1979.1 CHRB #1976.8, CHRB #1976.9
Race #2	\$1E, \$1PK3, \$1TRI, \$2Q \$2DD, \$.10SF, \$1PPN% \$.50PNP4	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1958, CHRB #1957, CHRB #1979.1 CHRB #1976.8, CHRB #1976.9
Race #3	\$1E, \$1PK3, \$1TRI, \$2Q \$2DD, \$.10SF, \$1PPN% \$.50PNP4, \$2PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1958, CHRB #1957, CHRB #1979.1 CHRB #1976.8, CHRB #1976.9, CHRB 1976.9
Race #4	\$1E, \$1PK3, \$1TRI, \$2Q \$2DD, \$.10SF, \$1PPN% \$.50PNP4, \$.50PNP5, \$2PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1958, CHRB #1957, CHRB #1979.1 CHRB #1976.8, CHRB #1976.9, CHRB 1976.9, CHRB 1976.9
Race #5	\$1E, \$1PK3, \$1TRI, \$2Q \$2DD, \$.10SF, \$1PPN% \$.50PNP4, \$.50PNP5, \$2PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1958, CHRB #1957, CHRB #1979.1 CHRB #1976.8, CHRB #1976.9, CHRB 1976.9, CHRB 1976.9
Race #6	\$1E, \$1PK3, \$1TRI, \$2Q \$2DD, \$.10SF, \$1PPN% \$.50PNP4, \$.50PNP5, \$2PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1958, CHRB #1957, CHRB #1979.1 CHRB #1976.8, CHRB #1976.9, CHRB 1976.9, CHRB 1976.9
Race #7	\$1E, \$1PK3, \$1TRI, \$2Q \$2DD, \$.10SF, \$1PPN% \$.50PNP4, \$.50PNP5, \$2PNP6	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1958, CHRB #1957, CHRB #1979.1 CHRB #1976.8, CHRB #1976.9, CHRB 1976.9, CHRB 1976.9
Race #8	\$1E, \$1PK3, \$1TRI, \$2Q \$2DD, \$.10SF, \$1PPN% \$.50PNP4, \$.50PNP5, \$2PNP6 \$.50 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1958, CHRB #1957, CHRB #1979.1 CHRB #1976.8, CHRB #1976.9, CHRB 1976.9, CHRB 1976.9, ARCI 004-105 T-Pick (N) POSITION (X) POOLS
Race #9	\$1E, \$1PK3, \$1TRI, \$2Q \$2DD, \$.10SF, \$1PPN% \$.50PNP4, \$.50PNP5, \$2PNP6 \$.50 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1958, CHRB #1957, CHRB #1979.1 CHRB #1976.8, CHRB #1976.9, CHRB 1976.9, CHRB 1976.9, ARCI 004-105 T-Pick (N) POSITION (X) POOLS
Race #10	\$1E, \$1PK3, \$1TRI, \$2Q \$2DD, \$.10SF, \$1PPN% \$.50PNP4, \$.50PNP5, \$2PNP6 \$.50 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1958, CHRB #1957, CHRB #1979.1 CHRB #1976.8, CHRB #1976.9, CHRB 1976.9, CHRB 1976.9, ARCI 004-105 T-Pick (N) POSITION (X) POOLS
Race #11	\$1E, \$1PK3, \$1TRI, \$2Q \$2DD, \$.10SF, \$1PPN% \$.50PNP4, \$.50PNP5, \$2PNP6 \$.50 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1958, CHRB #1957, CHRB #1979.1 CHRB #1976.8, CHRB #1976.9, CHRB 1976.9, CHRB 1976.9, ARCI 004-105 T-Pick (N) POSITION (X) POOLS
Race #12	\$1E, \$1PK3, \$1TRI, \$2Q \$2DD, \$.10SF, \$1PPN% \$.50PNP4, \$.50PNP5, \$2PNP6 \$.50 SUPER HIGH 5	CHRB #1959, CHRB #1977, CHRB #1979, CHRB #1958, CHRB #1957, CHRB #1979.1 CHRB #1976.8, CHRB #1976.9, CHRB 1976.9, CHRB 1976.9, ARCI 004-105 T-Pick (N) POSITION (X) POOLS

This format will be use at the following fairs: Alameda County Fair, Sonoma County Fair, Humboldt County Fair, San Joaquin County Fair and the Big Fresno Fair.

9B: Super High wager refers to rule: CHRB 1976.9, ARCI 004-105 T-Pick (N) POSITION (X) POOLS

- C. Maximum carryover pool to be allowed to accumulate before its distribution OR the date(s) designated for distribution of the carryover pool: **July 8, 2012 (Closing Day of Alameda County Fair)**

In the event there is a prohibitive favorite where there is sufficient information that the entry will most likely generate a negative place or show pool, the association may request approval from CHRB to allow that entry to run for purse only.

- D. List any options requested with regard to exotic wagering:
\$1 PPN when applicable; \$1 TRI and \$0.10 SF when applicable
\$0.50 PNP4 on the first four races and the last four thoroughbred races each day
\$0.50 PNP5 on the last five thoroughbred races each day, with 100% major pool paid for 5 of 5. If no 5 of 5, 75% carryover and 25% minor pool to most winners.
\$2 PNP6 on the last six thoroughbred races each day, with 70% major pool paid or a carryover and a 30% minor pool paid.
\$0.50 Pentafecta (Super High 5) selecting the first five finishers in the same race. 100% payout on all tickets selecting five winners. No consolation or minor pool; 100% carryover if no ticket has five winners. Note: Superfecta will be offered in the race. Super High-5 will be carded on the last race of the day.

- E. Will "advance" or "early bird" wagering be offered? Yes No

If yes, when will such wagering begin. Specify days and time for "early bird" wagering:

- F. Type(s) of pari-mutuel or totalizator equipment to be used by the fair and the simulcast organization, the name of the person(s) supplying equipment, and expiration date of the service contract:

Scientific Games Racing (Tom Kelso) Expiration: September 30, 2012.

Equipment description on file with Board.

10. ADVANCE DEPOIST WAGERING (ADW)

- A. Identify the ADW provider(s) to be used by the fair for this race meeting:
Express Bet, T.V.G.(Television Games Network), Twin Spires
- B. Attach a copy of the agreement/contracts with each ADW provider to be used for this race meeting. **On file with CHRB.**
- C. Have the contract/agreements been approved by the respective horsemen's groups?
 Yes No

If yes, attach a copy of the approval. - **On File**

If no, explain the status of the approval.

NOTICE TO APPLICANT: Pursuant to Business and Professions Code section 19604, ADW providers may accept wagers on races conducted in California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) a written agreement allowing those wagers exists with the racing association or fair conducting the races on which the wagers are made; 3) the agreement shall have been approved in writing by the horsemen's organization responsible for negotiating purse agreements for the breed on which the wagers are made. ADW providers may accept wagers on races conducted outside of California from a resident of California if: 1) the ADW provider is licensed by the Board; 2) there is a hub agreement between the ADW provider and one or both of (i) one or more racing associations or fairs that together conduct no fewer than five weeks of live racing on the breed on which wagering is conducted during the calendar year during which the wagers are placed and (ii) the horsemen's organization responsible for negotiating purse agreements for the breed on which wagering is conducted.

11. SIMULCAST WAGERING PROGRAM

- A. Simulcast organization engaged by the fair to conduct simulcast wagering:
Northern California Off-Track Wagering, Inc. (NOCTWINC)
- B. Attach the agreement between the fair and simulcast organization permitting the organization to use the fair's live audiovisual signal for wagering purposes and providing access to its totalizator for the purpose of combining on-track and off-track pari-mutuel pools.
On File
- C. California simulcast facilities the fair proposes to offer its live audiovisual signal:

NORTHERN CALIFORNIA

Alameda County Fair, Pleasanton
 Big Fresno Fair, Fresno
 California State Fair & Exposition, Sacramento
 Club One, Fresno
 Golden Gate Fields, Albany
 *Humboldt County Fair, Ferndale
 Jockey Club at San Mateo, San Mateo
 Kern County Fair, Bakersfield
 Monterey County Fair, Monterey
 San Joaquin County Fair, Stockton
 Santa Clara County Fair, San Jose
 Shasta District Fair, Anderson
 Solano County Fair, Vallejo
 Sonoma County Fair, Santa Rosa
 Stanislaus County Fair, Turlock
 Tulare County Fair, Tulare

SOUTHERN CALIFORNIA

Barona Valley Ranch Resort & Casino, Lakeside
 Cabazon Fantasy Springs Casino, Indio
 Commerce Casino Racebook, Commerce
 Derby Club, Seaside Park, Ventura
 Fairplex Park, Pomona
 Los Alamitos Race Course, Los Alamitos
 OC Tavern & Sports Bar, San Clemente
 Santa Anita Park, Arcadia
 Shalimar Sports Center, Indio
 Sports Center, San Bernardino
 Sports Pavillion at The Farmers Fair, Lake Perris
 Sports Pavillion, San Bernardino Cty. Fair, Victorville
 Surfside Race Place at Del Mar, Del Mar
 Sycuan Gaming Center, El Cajon
 Viejas Casino & Turf Club, Alpine
 Watch & Wager, Antelope Valley Fgds, Lancaster

*Open only during Humboldt/Ferndale Fair Racing

- D. Out-of-state wagering systems the fair proposes to offer its live audiovisual signal:

Out-of-State & International Imports – Full and/ or Partial Card (Subject to Change)

DOMESTIC	
ARLINGTON	6/20-7/8
BELMONT	6/20/7/8
CALDER RACECOURSE	6/20-7/8
CANTERBURY DOWNS	6/20-7/8
CHARLES TOWN	6/20-7/8
CHURCHILL DOWNS	6/20-7/8
COLONIAL DOWNS	6/20-7/8
DELAWARE	6/20-7/8
EMERALD DOWNS	6/20-7/8
EVANGELINE DOWNS	6/20-7/8
INDIANA DOWNS	6/20-7/8
LONE STAR	6/20-7/8
LOUISIANA DOWNS	6/20-7/8
MONMOUTH	6/20-7/8
MOUNTAINEER	6/20-7/8
PENN NATIONAL	6/20-7/8
PHILADELPHIA PARK/PARX	6/20-7/8
PIMLICO	6/20-7/8
PRAIRIE MEADOWS	6/20-7/8
PRESQUE ISLE DOWNS	6/20-7/8
RIVER DOWNS	6/20-7/8
RUIDOSO	6/20-7/8
SUFFOLK DOWNS	6/20-7/8
THISTLEDOWN	6/20-7/8
YAVAPAI DOWNS	6/20-7/8
INTERNATIONAL	
ASSINIBOIA	6/20-7/8
AUSTRALIAN RACING	6/20-7/8
FORT ERIE	6/20-7/8
HASTINGS	6/20-7/8
NORTHLANDS PARK	6/20-7/8
SOUTH AMERICAN RACING	6/20-7/8
UNITED KINGDOM	6/20-7/8
WOODBINE	6/20-7/8

E. Out-of-state wagering systems that will combine their pari-mutuel pools with those of the fair:

2012 CARF

Commingled Locations

AmWest Entertainment	Delta Downs	Meadows The	Scioto Downs
Amwest Accounts	Dover Downs	Meadows The (ADW)	Seabrook Greyhound
Greenbrier (WV)	Ebet	Millers OTB	Sol Mutuel
Riders Up (SD)	Elite Turf Club (1 to 10)	Mobile Greyhound	Southland Greyhound
Time Out Lounge (SD)	Ellis Park	Monmouth Park	Sports Creek Raceway
Triple Crown (SD)	Emerald Downs	Montana OTB	State Fair (Lincoln , NE)
Arapahoe-Mile High	Euro Wagering Services	Monticello	Suffolk District OTB
Colorado phone	Evangeline Downs	Mountaineer Park	Suffolk Downs
Arima Race Club	Fair Grounds	Mt. Pleasant Meadows	Pat's Pizz
Arlington I	Fair Grounds ADW	Nassau Regional OTB	Sunland Park
Atlantic City Racecourse	Fair Meadows	New Jersey Casino Assoc.	SunRay Park & Casino
Atokad	Finger Lakes	Nevada Pari-Mutuel Assoc.	Tampa Bay Downs

CHRB-18 (Rev. 07/11)

Balmoral	Fonner	Newport Jai Alai	Taunton Acct Wagering
Balmoral ADW (BETZOTIC)	Freehold	NJ Mobile	Taunton Dog Track Inc.
Bangor Raceway	Gillespie County Fair	Northfield	The Downs at Albuquerque
Batavia	Global Wagering Solutions	Cedar Downs OTB	Thistledown
Bet Fair Games Limited	Bwin International Ltd.	Northville	Tioga Downs
Beulah Park	Intl Betting Assoc. Ltd	NYRA	Tri-State GH (Mardi Gras)
Birmingham	Magna Bet	NYRA Account	Turf Paradise
Bluffs Run Greyhound	Racebets, etc	Wagering	Turfway Park
Buffalo Raceway	Greenetrack	Oaklawn	TVG Network
Calder Racecourse	Greyhound @ Post Falls	Ocean Downs	TVG Yonkers
Canterbury Day	Gulf Greyhound	Panama	Twin River Greyhound
Capital District OTB	Gulfstream	Penn National	TwinSpires
Catskills OTB	Harrington Raceway	Penn National Telebet	TwinSpires High Volume
Charles Town Race Course	Hawthorne Race Course	Peru	Venezuela OTB
Chester Downs & Marina LLC	Hawthorne ADW	Philadelphia Park	Vernon Downs
Chester Downs Acct Wagering	Hazel Park	Philly Park Phone Bet	Western OTB
Churchill Downs	Hoosier Park	Phumelela	Wheeling Downs
Club Hipica InTurf	Horseman's Park	Pinnacle Race Course	Will Rogers Downs
Coeur d' Alene Casino	Indiana Downs	Plainridge Race Course	Wyoming
Coeur d' Alene Account	Evansville OTB	Plainridge Telephone Wagering	XpressBet
Colonial Downs	Clarksville OTB	Player Management Group	Yavapia Downs
Colonial Downs Phone Bet	Intermountain Racing	Pocono Account	Yonkers Raceway
Columbus Raceway	Keeneland	Wagering	Yonkers Account
Connecticut OTB	Keeneland Select ADW	Pocono Downs and OTB	Yobet Group 1
Bradley Teletheater, Bristol	Kentucky Downs	Portland Meadows	Yobet Illinois
New Britain, Norwalk, Milford	Kentucky OTB	Potawatomini Casino/OTB	Zia Park
East Haven, Hartford, Putnam	Lebanon	Prairie Meadows	
Shoreline Star, Sports Haven	Les Bois (Treasure Valley)	Premier Turf Club	
Torrington, Waterbury	Lewiston OTB's	Presque Isle Downs	
Manchester, New London	Lien Games	Raceway Park	
Willimantic	Chips Lounge and Casino	Racing2Day LLC	
John Martin's Manor Restaurant	Howard Johnsons OTB	Racing2Day Intl. (Stan James)	
Connecticut OTB ADW	Rumors OTB	Remington Park	
Paragon Casino	Skydancer Casino OTB	Remington OTB Network	
Ho-Chunk Casino and Racebook	BetAmerica and Win2wager	Retama	Separate Pool Locations
Mohegan Sun Casino	Lope Star	Racing & Gaming Services	Caymanas Park, Jamaica
Oneida Bingo and Casino	Louisiana Downs	Riplay de Venezuela (Caliente)	Hippodromo Camarero, PI
Pony Bar Simulcast Center	LVDC	River Downs	MIR Books (Caliente)
Tote Investment Racing	Atlantis Paradise Casino	Rockingham Park	LVDC
Randall James Racetrack	Avatar Ventures	Ruidoso Downs	
Millenium Racing	Pojoaque Cities of Gold	Running Aces Harness Park	
Royal Beach Casino	Foxwoods Resort Casino	Sam Houston	
Divi Carina Bay Casino	Meskwaki Bingo & Casino	Valley Greyhound Park	
Fair Chance	Maronas (South America)	Saratoga Harness Raceway	
Corpus Christi Greyhound	Maryland Jockey Club	Saratoga Bets (ADW)	
Cypress Bayou OTB	Maywood	Scarborough	
Delaware	Meadowlands		

Canadian Locations

Assiniboia, Barrie, Charlottetown, Clinton Teletheatre, Dresden, Elmira Raceway, Evergreen Park, Exhibition Park, Flamboro Downs, Fort Erie
Fraser Downs, Fredericton Raceway, Georgian Downs, Grand River, Hanover Raceway, Hastings Park, Hiawatha, Inverness Raceway
Kawartha Downs, Marquis Downs, Mohawk, New Brunswick, Northlands, Northside Downs, Picov Downs, Quinte Raceway, Rideau Carlton,
Rocky Mountain Turf Club, Royal Britiana Hub, St. Johns, Sudbury Downs, Summerside, TBC Sandown, TBC Teletheaters
Truro Raceway, Western Fair, Windsor Raceway, Woodbine, Woodstock/Ontario

- F. California mini-simulcast facilities the fair proposes to offer its live audiovisual signal:
OC Tavern & Sports Bar, San Clemente; Commerce Club, City of Commerce; Original Road House Grill, Santa Maria
- G. List the host tracks from which the fair proposes to import out-of-state and/or out-of-country thoroughbred races. Include the dates imported races will be held and whether or not a full card will be accepted. If the full card will not be imported, state "selected feature and/or stakes races": N/A

NOTICE TO APPLICANT: Business and Professions Code section 19596.2(a) stipulates that on days when live thoroughbred or fair racing is being conducted in the state, the number of thoroughbred races which may be imported by an association or fair during the calendar period the association or fair is conducting its racing meeting cannot exceed a combined daily total of 50 imported thoroughbred races statewide. The limitation of 50 imported thoroughbred races per day statewide does not apply to those races specified in Business and Professions Code section 19596.2(a)(1), (2), (3) and (4).

THOROUGHBRED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Race Dates	Full Card or Selected Feature and/or Stakes Races
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- H. List imported simulcast races the fair plans to receive during the racing meeting which use breeds other than the breed of the majority of horses racing at its live horse racing meeting. Include the name of the host track, the dates imported races will be held, and how many races will be imported: N/A

OTHER BREED SIMULCAST RACES TO BE IMPORTED

Name of Host Track	Breed of Horse	Race Dates	Number of Races to be Imported
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- I. If any out-of-state or out-of-country races will commence outside of the time constraints set forth in Business and Professions Code sections 19596.2 and 19596.3, attach a copy showing agreement by the appropriate racing association(s):
N/A

NOTICE TO APPLICANT: All interstate wagering to be conducted by a fair is subject to the provisions of Title 15, United States Codes, which require specific written approval of the CHRB and of the racing commission having jurisdiction in the out-of-state venue. All international wagering to be conducted by a fair is subject to the provisions of Business and Professions Code sections 19596.1, 19596.2, 19596.3, 19601, 19602, and 19616.1, and will require specific written approval of the CHRB.

Every fair shall pay to the simulcast organization within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained from off-track simulcast wagering, interstate and out-of-state wagering and which are obligated by statute for guest commissions, simulcast operator's expenses and promotions, equine research, local government in-lieu taxes, and stabling and vaning deductions. Every fair shall pay to its Paymaster of Purses' account within 3 calendar days following the closing of wagering for each racing program, or upon receipt of the proceeds, such amounts that are retained or obligated from off-track simulcast wagering, interstate and out-of-state wagering for purses, breeders' awards or other benefits to horsemen. (See Notice to Applicant, Section 7.)

12. RACING OFFICIALS, OFFICIALS, AND OFFICIATING EQUIPMENT

- A. Racing officials nominated:
Association Veterinarian(s) – Sarah Sporer, D.V.M.

Clerk of Scales – **Kenneth Sjoldal**
 Clerk of the Course – **Dolores Collins (E-Breeds) & Tina Walker (Thoroughbreds)**
 Film Specialist – **Danny Winick & Asst Clerk of Scales**
 Horse Identifier – **Patrick Kealy**
 Horseshoe Inspector – **Jack Hammonds**
 Paddock Judge – **Joe Gibson**
 Patrol Judges – **Lisa Jones & Joe Gibson**
 Placing Judges – **Greg Brent & Ella Robinson**
 Starter – **Todd Stephens**
 Timer – **Melody Truitt**

- B. Management officials in the racing department:
 Director of Racing – **Rick K. Pickering**
 Assistant Director of Racing – **Jeanne Wasserman**
 Racing Secretary – **Tom Doutrich**
 Assistant Racing Secretary – **Linda Anderson**
 Paymaster of Purses – **Victoria Layne (C.A.R.F.)**
 Others (identify by name and title)
- C. Name, address, email and telephone number of the reporter employed to record and prepare transcripts of hearings conducted by the stewards:
Nicoli Reporting, 619 Pilgrim Dr.
Foster City, CA 94404
Telephone: 650-573-9339
pdqcsr@comcast.net
- D. Photographic device to be used for photographing the finish of all races, name of the person supplying the service, and expiration date of the service contract:
Plusmic Corporation USA – Bill O'Brien (Expires 12/7/12)
- E. Photopatrol video equipment to be used to record all races, name of the person supplying the service, and expiration date of the service contract. Specify the number and location of cameras for dirt and turf tracks.
Pegasus Communication, Inc. – Jim Porep) Contract Expires: April 30, 2013
Equipment description on file with the Board: 5 Cameras – (3 Tower, 1 Pan, 1-Hand Held)
- F. Type of electronic timing device to be used for the timing of all races, name of the person supplying the service, and expiration date of the service contract:
Pegasus Communication, Inc. – Jim Porep - Contract Expires April 30, 2013

13. SECURITY CONTROLS

- A. Name and title of the person responsible for security controls on the premises. Include an organizational chart of the security department and a list of the names of security personnel and contact telephone numbers. See Attached
Racetrack/Grandstand: Alameda County Sheriff Chief in Charge
Liaison Officer yet to be assigned (925-426-7525)

Item #13 A:**Security Organizational Chart**

Security during the Live Race Meet is coordinated by a number of groups. Day-to-day training security items are handled by the Stable Manager in concert with Security Eye personnel assigned to the Guard Shacks and Barn Area. Race Track, Grandstands and Fairgrounds security items are coordinated between Fair Management, Alameda County Sheriff's Department and Security Eye patrol. Daily meetings are held and as issues escalate, Fair Management, Security Eye Management, and the Sheriff's Department become involved as necessary.

Alameda County Agricultural Fair Association

Rick K. Pickering, CEO	925-567-6032
Ed Johnson, Manager of Maintenance Operations	925-567-6043
Judy Carrico, Fair Operations Manager	925-567-6039
Randy Magee, CFO Risk Manager	925-567-6034

Security Eye

Dave Rezendes, President	925-455-6585
Dave Graber, Vice President	925-455-6585
Barn Area Guard Shack, various personnel	925-426-7519
Roadway 12 Guard Shack, various personnel	925-426-7519

Alameda County Sheriff Department

Fairgrounds Command Center	925-426-7525
Sergeant J.D. Nelson, Sheriff Liaison Officer	510-272-6901

Note: Confidential cell phone numbers are made available to CHRB staff. Additional security related personnel are available by direct radio contact.

- B. Estimated number of security guards, gatemen, patrolmen or others to be engaged in security tasks on a regular full-time basis:

Racetrack/Grandstand: 8 Sheriff's Deputies

Barn Area: 5 Security Officers, 2 Security Rovers, 3 Licensed Gatemen based on 8/hour shifts.

1. Attach a written plan for enhanced security for graded stakes races, and races of \$100,000 or more, to include the number of security guards in the restricted areas during a 24-hour period and a plan for detention stalls.

N/A

2. Detention Stalls:

(The Fair is not running graded stakes)

- A. Attach a plan for use of graded stakes or overnight races.

N/A

- B. Number of security guards in the detention stall area during a 24-hour period.

N/A

- C. Describe number and location of surveillance cameras in detention stall area.

N/A

3. TCO2 Testing:

- A. Number of races to be tested, and number of horses entered in each race to be tested.
All horses in thoroughbred races where the number is determined by a random algorithm generator.

- B. Plan for enhanced surveillance for trainers with high-test results.
Trainer with high test results will be moved to the detention area.

- C. Plan for detention stalls for repeat offenders.
Ten (10) stalls adjacent to Test Barn, which are under 24-hour video surveillance

- D. Number of security personnel assigned to the TCO2 program.
One (1) 24-hour security guard when detention stalls are occupied.

- C. Describe the electronic security system.

C.A.R.F. surveillance equipment and program that travels between racing Fairs.

1. Location and number of video surveillance cameras for the detention stall and stable gate.
4 surveillance cameras monitoring this area

14. EMERGENCY SERVICES

- A. Name, address and emergency telephone number of the ambulance service to be used during workouts and the running of the races: **Westmed Ambulance Service, 2424 Whipple Road, Hayward, CA 94544, 510-504-3616.**

1. Attach a certification from the Ambulance Company(s) listed in 14A, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.
See Attached
- B. Name, address and emergency telephone number of the ambulance service to be used during workouts at auxiliary sites:
**Golden Gate Fields
Turf Rescue LLC
19615 Barclay Road
Castro Valley, CA 94546
510-581-8470**
1. Attach a certification from the Ambulance Company(s) listed in 14B, certifying that the paramedic staff are licensed with the California Emergency Medical Services Authority.
See Attached
- C. Describe the on-track first aid facility, including equipment and medical staffing:
See Attached
- D. Name and emergency telephone number of the licensed physician on duty during the race meeting:
Dr. Peter Wong, 925-997-0015 cell
- E. Name, address and emergency telephone number of the hospital to be used for admittance and treatment of emergency injuries in the event of an on-track injury to a jockey:
Valley Care Medical Center, 5555 W. Las Positas, Pleasanton, CA 94588, 925-416-3418
- F. Attach, in English and Spanish, the emergency medical plan procedures that will be posted in each jockey's room to be used in the event of an on-track injury to a jockey:
See Attached
- G. Name of health and safety manager and assistant manager responsible for compliance of health and safety provisions pursuant to Business and Professions Code section 19481.3(d):
Randy Magee, Health & Safety Manager, Vicki Hunter, Assistant Manager
- H. Attach a fire clearance from the fire authority having jurisdiction over the premises.
April 4th & 6th (scheduled fire inspection)
- I. Name of the workers' compensation insurance carrier for the fair and the number of the insurance policy (if self-insured, provide details):
Self Insured, see 14J attachment
- J. Attach a Certificate of Insurance for workers' compensation coverage. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of insurance that secures the liability of the fair for payment of workers' compensation.
See Attached

NOTICE TO APPLICANT: Every licensee conducting a horse racing meeting shall pursuant to Business and Professions Code section 19481.3 maintain, staff, and supply an on-track first aid facility, that may be either permanent or mobile, and which shall be staffed and equipped as directed by the board. A qualified and licensed physician shall be on duty at all times during live racing, except that this provision shall not apply to any quarter horse racing at the racetrack if there is a hospital situated no more



March 22, 2012

To Whom It May Concern:

This letter shall serve as a statement of affirmation that Westmed Ambulance Service is certified provider of Basic Life Support Non Emergency Service in the County of Alameda certified through the Alameda County Emergency Medical Services Agency. Every Emergency Medical Technician employed by Westmed Ambulance is trained, background checked and state certified in order to fulfill their job duties as authorized by the State of California Emergency Medical Services Authority.

Should you need any additional information please feel free to contact me.

A handwritten signature in black ink that reads "Christopher A. Seymour". The signature is written in a cursive style with a long horizontal flourish at the end.

Christopher A. Seymour

Director of Advanced Life Support Services

2424 Whipple Rd. Hayward CA.

EMT-P, BA

TURF RESCUE, LLC.
4470 HILLSBOROUGH DRIVE
CASTRO VALLEY, CA 94546
510. 581.8470

March 21, 2012

California Horse Racing Board
1000 Eastshore Hwy.
Berkeley, CA 94710

To Whom It May Concern,

Please be advised that Turf Rescue, LLC., operations and procedures are well within the standard care Operating Procedures set forth by Alameda County E.M.S.

Turf Rescue employs only licensed Paramedics and Emergency Medical Technicians that meet and follow the licensing requirements for Alameda County E.M.S.

If you have any further questions, please feel free to contact me.

Sincerely,



Brad Winding, Owner
Turf Rescue, LLC

Item #14 C:ALAMEDA COUNTY FAIR EMERGENCY CARE AND MEDICAL FACILITIES

Alameda County Fair as well as all Racing Fairs provides emergency care including comprehensive medical care for jockeys, track staff and allied personnel. Emergency care focuses on immediate stabilizing, comfort and evacuation of injured racetrack personnel to appropriate hospital facilities.

Physical Resources & Staffing

The Alameda County Fair provides multiple levels of safety and medical support for its many racing participants. Specifically: an Ambulance, a fully equipped Alameda County Fire Department Paramedic Engine, a permanent First Aid building, a licensed Physician, Sheriff Deputies and security personnel, redundant communications system, local Fire Station, Horse Ambulance, etc...

Ambulance - A fully staffed and equipped ambulance is provided through a contract with Westmed Ambulance Service and located at the Track. Two Emergency Medical Technicians from Westmed Ambulance Service staff this on-track ambulance. This ambulance and crew are at the Track whenever horses are on the Track (during both racing and training hours) and are responsible for initiating basic life support measures, including immediate medical stabilization, care and transport to medical care facilities. This ambulance follows the horses during each race, at a safe distance. This is a competitively bid contract.

Fire Department Paramedic Engine – During the Live Race Meet, a fully equipped Alameda County Fire Department Paramedic Engine is parked roughly 100 feet from the Jockey's Clubhouse. The Engine is staffed with four County of Alameda Firefighters, including at least two licensed Paramedics. The Alameda County Fire Department has provided the attached 10 page listing of major equipment and supplies carried by its various Fire Engines.

Permanent First Aid Building – A permanent First Aid building is located approximately 100 feet west of the Jockey's Club House. During the Live Race Meet, the Alameda County Fire Department staffs the First Aid Building with a full Engine Company, which includes at least two certified Paramedics. The First Aid Building includes two beds, restrooms, receiving area, triage area, medical equipment, medications, etc... In addition to the array of emergency medical equipment and supplies on the Paramedic Fire Engine, the Alameda County Fire Department annually determines the equipment and supply needs of the First Aid Building.

Licensed Physician - During the Live Race Meet, a licensed Physician is on duty and is responsible for care on an ongoing basis for jockeys, track staff and allied personnel requiring non-emergency medical care. The Licensed Physician is also associated with Valley Care Hospital, which is located 2.7 miles from the Track.

Sheriff Deputies and security personnel – Around the clock protection of the Track, Barn Area and Grandstands are provided by numerous Alameda County Sheriff Deputies and trained Security Guards (both in uniform and undercover.) For security purposes, the Fair Association does not publish the precise details of its security plans.

Redundant Communications System – During the Live Race Meet and Annual Fair redundant communications are provided to ensure constant contact between all emergency care personnel. Two way radio networks are established within the racing operations as well as fair emergency operations. All key emergency care personnel also carry cell phones and each is provided a laminated card containing all contact numbers. The Fair also has an emergency response team which responds to all emergencies both medical as well as non-medical.

Local Fire Station – A fully staffed Fire Station is located immediately across the street from the Fairgrounds on Valley Avenue. This Fire Station provides emergency medical support as requested by the County of Alameda.

Horse Ambulance – The Alameda County Fair provides the services of a state of the art Kimzey Horse Ambulance as well as a senior experienced driver who is responsible for the evacuation and disposition of injured horses at all racing fairs.

Engine Tool Master

ACFD - ENGINE SUPPLY & EQUIPMENT 10-26 2007

Item	Brand	Item #	Vendor	# Carried	Unit Cost	# Needed
Cab						
Cab Axe Belt	Hoff	TAB3	L.N. Curtis	3	\$ 58.80	3
Cab Unit Number Stickers	Firefly	EM-3	AllStar Fire Eq.	150	\$ 0.50	150
Cab Apparatus ID Plate Holders	LYRU	SSTIDHOLDER	LYRU	2	\$ 28.00	2
Cab Apparatus ID Plate Holder	LYRU	SSTIDBRKT	LYRU	2	\$ 42.50	2
Cab MDC Computer	Panasonic	CF-31GW2AX2M	Aurostar Corp.	1	\$ 3,702.95	1
Cab MDC Docking Station	Gamber-Johnson	NP-PANDOCK-1	Lehr Auto	1	\$ 640.00	1
Cab MDC Modem (Airlink PinPoint X EVDO Rev A - Sprint)	Airlink	FWM10000S - Sprint	Feeney Wireless	1	\$ 650.37	1
Cab Blue Light (Open Compartment Strobe Light)	Wheelen	VTX609B	Lighthouse	1	\$ 69.95	1
Cab Blue Light (Open Compartment Strobe Light) Mounting Bezel, Black	Wheelen	VTXFB	Lighthouse	1	\$ 8.50	1
Cab Binoculars (7x50 Wide Angle Permafocous)	Bushnell	175007	L.N. Curtis	1	\$ 69.95	1
Cab Headset Hooks	LYRU	HSHooks	LYRU	4	\$ 10.00	4
Cab Headset, David Clark	David Clark	H3442	L.N. Curtis	4	\$ 295.00	4
Cab Master Station, David Clark	David Clark	U3800	L.N. Curtis	1	\$ 504.23	1
Cab Remote Headset Station	David Clark	U3801	L.N. Curtis	1	\$ 177.20	1
Cab Remote Head Station, David Clark	David Clark	U3802	L.N. Curtis	1	\$ 234.82	1
Cab Remote Head Station/ PTT, David Clark	David Clark	U3815	L.N. Curtis	2	\$ 369.52	2
Cab Remote Head Station/ PTT, David Clark	David Clark	U3816	L.N. Curtis	2	\$ 500.00	2
Cab PTT Switch, David Clark	David Clark	26619P01	L.N. Curtis	2	\$ 19.70	2
Cab Gaurd, Push Button, David Clark	David Clark	26553P01	L.N. Curtis	2	\$ 8.50	2
Cab Power Cord, David Clark	David Clark	C3820	L.N. Curtis	1	\$ 73.64	1
Cab Cable, 6 Conductor, David Clark	David Clark	09271P13HA000	L.N. Curtis	100'	\$ 465.00	1
Cab 6 Pin Connector Kit, David Clark	David Clark	18352G16	L.N. Curtis	2	\$ 32.85	2
Cab 4 Pin Connector Kit, David Clark	David Clark	18352G01	L.N. Curtis	10	\$ 26.00	10
Cab 5 Pin Connector for 40107G Interface, David Clark	David Clark	09227P81	L.N. Curtis	2	\$ 17.00	2
Cab 5 Pin Connector Kit, David Clark	David Clark	18352G07	L.N. Curtis	2	\$ 29.20	2
Cab Jumper Cord, David Clark	David Clark	C38100	L.N. Curtis	1	\$ 231.20	1
Cab Interspiro SCBA units (S6HP, w/Pass-3-M, Waistpad BB)	Interspiro	33100-01	Bauer	4	\$ 3,725.00	4
Cab Interspiro Cylinders (30min CF Clyinder, 4530)	Interspiro	336890496	Bauer	4	\$ 710.80	4
Cab Interspiro Mask for SCBA	Interspiro	550801	Bauer	1	\$ 600.00	1
Cab Apparatus Maintenance Binder, 1/2" Ring	Office Depot	WJ363-13WG	Office Depot	1	\$ 7.00	1
Cab Flashlight	Pelican	8060	L.N. Curtis	4	\$ 137.50	4
Cab Litebox, Spot, 12 volt D.C. 6 Cell Hawk	Brightstar	07815	L.N. Curtis	2	\$ 108.00	2
Cab Map Box	LYRU	SSBBX	Burtens	1	\$ 520.00	1
Cab Map Books, 2 qty., 1 Primary & 1 Secondary	DelPiano	Lynx Tech. Maps	DelPiano	2	\$ 500.00	2
Cab Mapbook, Thomas Bros.	Thomas Brothers	Ala./Co. Co. Cnty.	O.S.H.	1	\$ 20.00	1
Cab Aprtmnt. Books, 2 qty., 1 Primary & 1 Secondary	Kramm	N/A	Kramm	2	\$ 200.00	2
Cab Possee Box, Reports & Forms	Galls	14" x 9" x 1 1/2"	Galls	1	\$ 30.00	1

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Item	Brand	Item #	Vendor	# Carried	Unit Cost	# Needed
Cab Portable Radio's 800 mhz (Astro Digital APX7000)	Motorola	APX7000	Motorola	4	\$ 6,680.26	4
Cab Charging Unit, Portable Radio 800 mhz	Motorola	WPLN4208B	Motorola	1	\$ 140.00	1
Cab Portable Radio VHF (500CH 5W HH w/Antenna)	Benxix / King	GPH-5102X	Silverado Elect.	4	\$ 797.00	4
Portable Radio VHF Battery	Benxix / King	MO193	Silverado Elect.	1	\$ 45.50	1
Cab Charging Unit, Portable Radio VHF	Benxix / King	LAA0340	Silverado Elect.	1	\$ 88.17	1
Cab Radio, Mobile, 800 mhz, Remote Mount (XTL5000)	Motorola	M20URS9PW1N	Red Cloud	1	\$ 4,175.86	1
Cab Radio, Mobile, Remote Mount, VHF (TK-790 Hi-Power Kit)	Kenwood	9FHS1	Silverado Elect.	1	\$ 1,599.11	1
Cab Radio Indicator LED (Red)	K/F	17-103	Lighthouse	1	\$ 5.70	1
Cab Radio Indicator LED (Blue)	K/F	17-107	Lighthouse	1	\$ 5.70	1
Cab Antenna - Low Profile (800Mhz)	Antenex	TRAB8213	Talley	1	\$ 19.43	1
Cab Antenna - Low Profile (150-168Mhz) VHF	Antenex	TRABT1500	Talley	1	\$ 56.90	1
Cab Mic and Cable	Kenwood	T91-0587-X5	Silverado Elect.	2	\$ -	2
Cab External Speaker	Kenwood	KES-5	Silverado Elect.	1	\$ -	1
Cab Front Panel Kit	Kenwood	KCH-11	Silverado Elect.	1	\$ -	1
Cab Microphone Clips	Motorola	HLN9073B	Motorola	2	\$ 1.91	2
Cab Halligan Tool, 30"	Paratech	22000680	L.N. Curtis	1	\$ 202.00	1
Cab Flat Head Axe, Fiberglass Handle, 8 Lb.	Fire Hooks	F8A	L.N. Curtis	1	\$ 90.25	1
Cab Irons/Axe Mounting Bracket	Performance Advantage	K5003	L.N. Curtis	1	\$ 214.95	1
Cab Reusable Clamping Band	Misc.	30185T14	McMaster-Carr	1	\$ 1.00	1
Cab T Card Holders	Tap Plastics	TCARD	Tap Plastics	1	\$ 5.00	1
Cab WMD Bracket (6 7/8" x 16 1/4" Inside tray detentions, 3" Tall)	LYRU	WMDB	LYRU	1	\$ 40.00	1
Cab Pub Education File Bracket (8" x 12 1/4" Inside tray detentions, 3" Tall)	LYRU	PEB	LYRU	1	\$ 40.00	1
Cab Portable Radio Mounting Brackets	Fabricate	PRMB	Andy Storch	4	\$ 50.00	4
Cab Cellular Phone, Nextel	Nextel			1	\$ 210.00	1
Cab Station Door Opener		N/A	N/A	1	\$ -	1
Cab Keys, Master Set, 3 - Keys, 1 - C.V., 1 - Eden, 1 - S.L	Knox	Knox Box Keys	Fire Prevention	1	\$ 5.00	1
Cab Field Operation Guide ICS 420-1				1	\$ -	1
Cab Hazmat Book, DOT 1995 ERG p 5800.6				1	\$ -	1
Cab Kendricks Extracation device (KED)	KED	KE705	Boundtree Medical	1	\$ 165.00	1
Cab Pediatric Immobilization Board	Life Support Products	264840	Boundtree Medical	1	\$ 430.00	1
Cab Sager Traction Splint	Sager	660202	Boundtree Medical	4	\$ 495.00	4
Cab Hydrant Wrench Holder (One on each side of cab)	Zephyr	16	L.N. Curtis	2	\$ 67.25	2
Cab Hydrant Wrenches (One on each side of cab)	Zephyr	15	L.N. Curtis	4	\$ 19.75	4
Cab Hydrant Wrenches (One on each side of cab)	Red Head	SW2	L.N. Curtis	4	\$ 12.65	4
Cab Spanners, Red Head for 1.5"/2.5" hose, 2 set, w/Bracket (Contains #148 wrench holder, 2 - #105 Hydrant Wrench, 2 - #101 Spanner Wrenches) (One on each side of cab)	Red Head	1483	L.N. Curtis	2	\$ 82.80	2
Cab Rope Bag, Rescue-Drop, Red,w/:	CMC	432303	L.N. Curtis	4	\$ 16.00	4
Cab 50 ft., 3/8" NE KMIII White Rope w/ Four 50' Lengths)	CMC	K00120	L.N. Curtis	200	\$ 0.64	200

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Item	Brand	Item #	Vendor	# Carried	Unit Cost	# Needed
Cab Caribiner (Gold Steel Screw-Lock D)	CMC	300083	L.N. Curtis	4	\$ 9.00	3
Small Side Cab Compartments						
SC Plastic Bags, Large (Box)	Glad	Glad	OSH	1	\$ 15.99	1
Compt. #1						
1 Adapter, 2.5"NH Female to 1.5"NH male, Red Head	Red Head	3725N15N	L.N. Curtis	2	\$ 13.15	2
1 Adapter, 2.5"NH x 2.5"NH Red Head, Double Male	Red Head	3625N25N	L.N. Curtis	2	\$ 13.40	2
1 Adapter, 2.5"NH x 2.5"NH, Double Female (2.5NHFLRx2.5NHFL)	Red Head	3525N25N	L.N. Curtis	2	\$ 24.00	2
1 Adapter, 5" Stortz to 2.5" female swivel (5STZX2.5NHFRL)	Red Head	S54RL50S25N	L.N. Curtis	1	\$ 117.60	2
1 Adapter, 5" Stortz to 4.5" male (5STZX4.5NHM)	Red Head	S3650S45N	L.N. Curtis	1	\$ 81.62	1
1 Adapter, 2.5"NH Female swivel x 4.5"NH Male (S542.5NHFX4.5NHM)	Red Head	5425N45N	L.N. Curtis	1	\$ 97.00	1
1 Adapter, 4.5NHFX Swivel x 2.5NHM	Red Head	5445N25N	L.N. Curtis	1	\$ 144.00	1
1 Adapter, OFD 2.5"NHFL female to 3"NHM male	Red Head	3725N30N	L.N. Curtis	2	\$ 25.00	2
1 Adapter, OFD 3"NHFL female to 2.5"NHM male	Red Head	3730N25N	L.N. Curtis	2	\$ 21.50	2
1 Adapter, 1.5"NH x 1.5"NH, Double Male	Red Head	3615N15N	L.N. Curtis	1	\$ 10.00	1
1 Adapter, 1.5"NH x 1.5"NH, Double Female	Red Head	3515N15N	L.N. Curtis	1	\$ 16.00	1
1 Adapter, 1.5"NH Female x 1.5"NH Male	Red Head	3715N15N	L.N. Curtis	1	\$ 11.00	1
1 Foam Pail Wrench	Zico	UFCW	L.N. Curtis	1	\$ 11.50	1
1 1.5"NHFX x 1 1/4" Smooth Bore Nozzle Elongated	TFT	FSS11	L.N. Curtis	1	\$ 50.40	1
1 1" NH Gasket	Red Head	14041	L.N. Curtis	5	\$ 1.05	5
1 1.5" NH Gasket	Red Head	14035	L.N. Curtis	5	\$ 1.05	5
1 2.5"NH gasket	Red Head	14084	L.N. Curtis	5	\$ 1.60	5
1 Hose, filler, National NDura Tan, 2.5" x 15'	Angus	NP25X15Y25NH NFH	L.N. Curtis	1	\$ 85.00	1
1 Wet Water, 1 quart, Fire Water	Firewater	4X1	L.N. Curtis	1 gal.	\$ 20.40	1
1 Wrench, Hydrant adjustable	Service Brass	161	L.N. Curtis	1	\$ 46.20	1
1 Wrench, Oakland Hydrants	OFD	CW	O.F.D.	1	\$ 54.00	1
1 Mallet, Nupra Rubber, 2 Lb.	Nupla	SF2	L.N. Curtis	1	\$ 24.25	1
1 Fusses, , 20 min. (1 Case)	Standard	7200	L.N. Curtis	1	\$ 44.00	1
1 PlayPipe w/Valve 2.5"NHFX x 1.5"NHM	TFT	H2VPP	L.N. Curtis	1	\$ 522.00	1
1 Task Force Tip, Adjustable Fog (1.5NH Handline 95-300 GPM Noz Tip)	TFT	HTO	L.N. Curtis	1	\$ 594.00	1
1 Siamese, 2.5" Clappered, (2 - 2.25NHFLRx2.5NHMLTWT Clap Siamese)	Akron	12620001	L.N. Curtis	1	\$ 629.00	1
1 Adapter, 2.5"NH x 2.5"NH, Double Female (2.5NHFLRx2.5NHFL)	Red Head	3525N25N	L.N. Curtis	1	\$ 24.00	1
1 Barrel Strainer, 4.5"NH	Kochek	BS45	L.N. Curtis	1	\$ 92.10	1
1 Wildland Bag:	Fabricate	Bag	Jerry	1	\$ 20.00	1
1 Forestry Hose Clamp	Timberline	FF1S	L.N. Curtis	2	\$ 158.00	2
1 Nozzle 1.5 Twister Tip	TFT	F2060	L.N. Curtis	3	\$ 111.00	3
1 Hose "Ts", 1 1/2" x 1" (1.5NHXX1.5NHX1NPSH)	KCR	51103	L.N. Curtis	3	\$ 54.60	3

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Item	Brand	Item #	Vendor	# Carried	Unit Cost	# Needed
1 Adapter 1.5" NH Female to 1" NH Male (1.5NHFRLx1NHM)	Red Head	3715N10N	L.N. Curtis	3	\$ 10.00	3
1 Adapter 1.5" NPSH Female to 1.5" NH Male (1.5NHFRLx1.5NPSHM)	Red Head	3715N15P	L.N. Curtis	2	\$ 9.90	2
1 Adapter 1.5" NH Female to 1.5" NPSH Male (1.5NHFRLx.75GHTM)	Red Head	3715N75G	L.N. Curtis	1	\$ 9.30	1
1 Adapter 1.5" NH Female to 1" NPSH Male (1.5NHFRLX1NPSHM)	Red Head	3715N10P	L.N. Curtis	1	\$ 10.00	1
1 Adapter 1.5" NH Female to GHT Male (1.5NHFRLX.75GHTM)	Red Head	3715N75G	L.N. Curtis	1	\$ 9.30	1
1 Adapter, 1" NPSH Female x 1.5"NH Male (1NPSHFRLX1.5NHM)	Red Head	3710P15N	L.N. Curtis	1	\$ 11.00	1
1 Adapter, 1"NH Female x 1.5"NH Male	Red Head	3710N15N	L.N. Curtis	2	\$ 13.00	2
1 Tool Box: (20"x8"x20")	Standard	6572A13	McMaster-Carr	1	\$ 27.69	1
1 Tool Box Pull Handle	Standard	1856A63	McMaster-Carr	1	\$ 23.56	1
1 Side Cutter, Diagonal	Snap-on	87CF	Snap-on	1	\$ 19.16	1
1 Plug n' Dike compound	Plug-N-Dike - 5 PER	1PMP5	L.N. Curtis	1	\$ 48.76	1
1 Hammer, Claw	Estwing	34139625018	OSH	1	\$ 26.95	1
1 Cold Chisel (Flat 5/8" Edge, 6 1/2")	Snap-on	PPC820B	Snap-on	1	\$ 13.65	1
1 Knife, Putty	Snap-on	PK52A	Snap-on	1	\$ 7.78	1
1 Knife, Utility w/ replacement blades	Snap-on	UTK100	Snap-on	1	\$ 12.88	1
1 Pliers, Curved Jaw 10"	Snap-on	LP10WR	Snap-on	1	\$ 12.98	1
1 Pliers, Needle Nose Vinal Grips 6"	Snap-on	95BCP	Snap-on	1	\$ 17.45	1
1 Pliers, Slipjoint 9 1/4"	Snap-on	91ACP	Snap-on	1	\$ 16.38	1
1 Pliers, Vice Grips 7"	Snap-on	LP7WR	Snap-on	1	\$ 12.98	1
1 Pliers, Linesman side cut 9 1/4"	Snap-on	59AHLP	Snap-on	1	\$ 32.37	1
1 Screwdrivers Set, 3 - Phillips, 3 - Flat (6 Pieces)	Snap-on	SHDX60R	Snap-on	1	\$ 53.27	1
1 Socket Set, 3/8" Drive (18 Pieces)	Snap-on	218AFP	Snap-on	1	\$ 223.16	1
1 Wrenches Set Standard, (9 Pieces, 3/8" - 7/8")	Snap-on	OEX709B	Snap-on	1	\$ 136.71	1
1 Kit Bag For Standard Wrenches	Snap-on	C0902	Snap-on	1	\$ 6.63	1
1 Wrench Set Metric, (10 Pieces, 10mm - 19mm)	Snap-on	OEXM710B	Snap-on	1	\$ 149.60	1
1 Kit Bag For Metric Wrenches	Snap-on	C1307A	Snap-on	1	\$ 12.92	1
1 Wrench, Adajustable 12"	Snap-on	FADH12A	Snap-on	1	\$ 40.38	1
1 Wrench, Pipe 18"	Snap-on	PW18C	Snap-on	1	\$ 38.34	1
1 Wrench Set, Allen (13 Pieces, .050" - 3/8")	Snap-on	AW1013DK	Snap-on	1	\$ 20.28	1
1 Sprinkler Head Shutoff	Akron	SSV	L.N. Curtis	2	\$ 43.00	2
1 Wood Plug .75" x 8"	Paratech	22000720	L.N. Curtis	2	\$ 18.05	2
1 Wood Plug 1.75" x 8"	Paratech	22000725	L.N. Curtis	2	\$ 21.85	2
1 Wood Plug 2.75" x 8"	Paratech	22000730	L.N. Curtis	2	\$ 34.20	2
1 Tape, Electrical	3M	3M	OSH	1	\$ 2.74	1
1 Circuit Tester	Grainger	Grainger	Grainger	1	\$ 4.00	1
1 Wire Nuts, assorted sizes	3M	3M	OSH	24	\$ 2.99	24
1 Hacksaw	Snap-on	HSG319	Snap-on	1	\$ 16.95	1
1 Pipe Plugs and Caps	OSH	MPPCK	OSH	5	\$ 10.00	5

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Item	Brand	Item #	Vendor	# Carried	Unit Cost	# Needed
1 Nails, assorted sizes	OSH	NAS	OSH	1 box	\$ 2.74	1
1 Battery Cable Cutters, Klien	Klien	63050	OSH	1	\$ 27.99	1
1 Window Punch	Grainger	3G833	Grainger	1	\$ 17.20	1
1 Drinking Water				1 case	\$ 10.00	1
1 Childrens Fire Hat			Fire Prevention	12	\$ -	12
Compt #2						
2 Wrecking Bar ("Crowbar"), 36", Gooseneck (.75x36" #1171600)	Ames	75036	L.N. Curtis	1	\$ 9.50	1
2 Axe, Pickhead, 36" Wood handle	Mann	6PH36W	L.N. Curtis	2	\$ 40.20	2
2 Flat Head Axe, Fiberglass Handle, 8 Lb.	Fire Hooks	F8A	L.N. Curtis	2	\$ 90.25	2
2 Pulaski Tool (3.75# Pulaski Mattock Axe - 36" Wood Handle, 38PE136)	Mann	375MA	L.N. Curtis	1	\$ 39.00	1
2 Water Shut Off Key, Residential	Misc.	33607001408	OSH	1	\$ 60.40	1
2 Slim Jim Kit, Delux Master Lock Out Set	Next Generation	10040	L.N. Curtis	1	\$ 80.35	1
2 Inflatable Wedge Bag	Steck	32922	L.N. Curtis	1	\$ 32.50	1
2 Bolt Cutters, 36", H.K.	HKP	0390MCX	L.N. Curtis	1	\$ 298.00	1
2 Foam Eductor 60 GPM (2.5NHFX1.5NHM 60 GPM Foam Eductor) Class A Foam	Akron	3060	L.N. Curtis	1	\$ 599.20	1
2 Stainless Steel Barbed Fitting (3/4" Hose x 1" NPT)	Misc.	5361K46	McMaster-Carr	1	\$ 23.50	1
2 Shrink to fir hose clamp	Misc.	5470K14	McMaster-Carr	1	\$ 3.02	1
2 Foam Eductor, Akron, 95 GPM Class B Foam	Akron	30950200	L.N. Curtis	1	\$ 587.00	1
2 Fog Nozzle 1.5NH Metro w/Shut Off (95GPM @ 100PSI)	Metro	ME1V	L.N. Curtis	1	\$ 504.00	1
2 Plastic, Roll	OSH	12669842	O.S.H.	1	\$ 12.99	1
2 Sawzall 28V w/Spare Battery	Milwaukee	4ZA86	Graingers	1	\$ 510.00	1
2 Tape, Duct	Gorilla	Gorilla	O.S.H.	1	\$ 8.99	1
2 Tape, Barricade, "Life Hazard"	LSS	163599	Lab Safety Supply	1	\$ 18.50	1
2 Tape, Barricade, "Fire Line Do Not Cross"	Harris	BT273X1000	L.N. Curtis	1	\$ 11.80	1
Compt #3						
3 Extinguisher, CO2, 10 Lb. (CD10A UL 10# CO2)	Ansul	431554	L.N. Curtis	1	\$ 197.45	1
3 McCloud tool	Ames	USF6	L.N. Curtis	1	\$ 74.70	1
3 Pry Bar, 60", Pinchpoint (#1160100)	Ames	180PP	L.N. Curtis	1	\$ 36.80	1
3 Shovel, Forestry, Round Nose (#1237500)	Ames	BFG	L.N. Curtis	1	\$ 38.20	1
3 Shovel, Square Nose (#BMTLS)	Ames	CTLS	L.N. Curtis	1	\$ 10.00	1
3 Water Main Shut Off w/Handle (6" Gate Valve Key)	Pollard	P545	L.N. Curtis	1	\$ 60.40	1
3 24" Push Broom Head w/Bracket (#68041)	NUP	P7224	L.N. Curtis	1	\$ 35.00	1
3 48" Broom Handle	NUP	48BMH	L.N. Curtis	1	\$ 13.85	1
3 Absorbant, 33# Bag	Optisorb	MPC	L.N. Curtis	1	\$ 8.00	1
3 Absorbant Bucket	US Plastic	2286	US Plastic	2	\$ 6.91	2
3 Absorbant Bucket Lid (Gamma Seal)	US Plastic	1859	US Plastic	2	\$ 7.64	2

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Item	Brand	Item #	Vendor	# Carried	Unit Cost	# Needed	
Compt. #4							
4	Fuel Can, Type 1, One Gallon Safety Can Red) w/2-Stroke Mix	Justrite	10301	L.N. Curtis	1	\$ 41.40	1
4	Funnel Spout Poly	Justrite	11201	L.N. Curtis	1	\$ 5.00	1
4	Chain Saw, Stihl, 460 20" Bar w/Carbide Chain	Stihl	MS460-20	Adaro	1	\$ 834.65	1
4	Accessory Kit (w/Wrenches Included with saw)	Gray-Tool-Box	A1X17	Adaro	1	\$ 20.50	1
4	Spark Plug	Stihl	1110-400-7005	Adaro	1	\$ 3.25	1
4	Bar Oil (Quart)	Stihl	0781-516-5003	Adaro	1	\$ 5.04	1
4	Chain Saw Chain, Carbide	Stihl	3943-005-0072	Adaro	1	\$ 90.69	1
Compt. #5							
5	First Aid and Resuscitator Bag, (B.L.S. Equipment) w/:			E.M.S.	1	\$ 1,412.00	1
5	Oxygen Bottles, Spare				2	\$ 65.00	2
5	Defibrillator Unit, Zoll, w/ Batteries and Charger	Zoll	1600 EKG	E.M.S.	1	\$ 8,000.00	1
5	C Collar Bag			E.M.S.	1	\$ 60.00	1
5	M.A.S.T. Trauma Pants		D-MAST	E.M.S.	1	\$ 150.00	1
5	Drug Box, (A.L.S. Equipment)			E.M.S.	1	\$ 1,075.00	1
5	O2 Bottle Holder (2 Aluminum Tubes 4.5"ID x 12")-(\$2.88 per inch x 24)	McMaster	9056K556	ALCO	24	\$ 2.88	24
5	Suction Unit, Mechanical, w/accessories		SSCHORT	E.M.S.	1	\$ 512.00	1
Compt. #6							
6	Red #2 Rope Bag	CMC	430203	L.N. Curtis	1	\$ 36.60	1
6	-150 ft. Line Safety (1/2" Whi/Blu NE KM3 Static Kern Rope)	CMC	K00160	L.N. Curtis	150	\$ 0.91	150
6	Yellow #2 Rope Bag	CMC	430207	L.N. Curtis	1	\$ 36.60	1
6	-150' Utility Rope (1/2" Blu/Whi NE KM3 Static KERN Rope)	CMC	K03160	L.N. Curtis	150	\$ 0.91	150
6	Blue Bag #3 Rescue Rigging & Equipment Bag	CMC	430302	L.N. Curtis	1	\$ 42.00	1
6	-Carbiners, Gold Steel Screw-Lock D	CMC	300110	L.N. Curtis	10	\$ 20.00	10
6	-Collection Plate Red (Rigging Plate)	SMC	130005	L.N. Curtis	1	\$ 47.35	1
6	- Bar Rack	CMC	300890	L.N. Curtis	1	\$ 97.90	1
6	-Prusik minding pulley	CMC	300441	L.N. Curtis	3	\$ 70.30	3
6	Load Releasing Hitch (Mariners)	CMC	201108	L.N. Curtis	1	\$ 35.00	1
6	-Edge Guard 24" (Large Pro Series)	CMC	294029	L.N. Curtis	1	\$ 21.00	1
6	-Gibbs Ascender	CMC	340006	L.N. Curtis	1	\$ 66.00	1
6	Webbing 1" x 5' Green (Qty 4)	CMC	200106	L.N. Curtis	20	\$ 0.27	20
6	Webbing 1" x 12' Yellow (Qty 4)	CMC	200117	L.N. Curtis	48	\$ 0.27	48
6	Webbing 1" x 15' Blue (Qty 4)	CMC	200112	L.N. Curtis	60	\$ 0.27	60
6	Webbing 1" x 20' Orange (Qty 4)	CMC	200111	L.N. Curtis	80	\$ 0.27	80
6	Prusik Cord, 8mm, Red 6' (Qty 3)	CMC	293038	L.N. Curtis	18	\$ 0.40	18

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Item	Brand	Item #	Vendor	# Carried	Unit Cost	# Needed
6 Prusik Cord, 8mm, Green 6' (Qty 4)	CMC	293018	L.N. Curtis	24	\$ 0.40	24
6 Rescue Figure 8 Plate (with ears)	CMC	300850	L.N. Curtis	1	\$ 55.00	1
6 Full-Body Class 2 Harness (Regular)	CMC	202614	L.N. Curtis	1	\$ 186.00	1
6 Wire Rope Anchors (Steel Anchor Sling)	SRC	HD26166	L.N. Curtis	1	\$ 44.75	1
6 Anchor rope 1/2" x 20' (Qty.2)	CMC		L.N. Curtis	40'		40
6 Orange #2 Bag	CMC	430201	L.N. Curtis	1	\$ 36.60	1
6 Electrical Bag, 20 Amp, 100 ft Extension Cord.	Hubbell	Derickson	S.L. Electric	1		
6 Plug, 20A/125V	Hubbell	HBL2311	S.L. Electric	1	\$ 15.95	1
6 Weatherproofing Boot, Plug	Hubbell	HBL6031	S.L. Electric	1	\$ 11.45	1
6 Receptacle, 20A/125v	Hubbell	HBL2313	S.L. Electric	1	\$ 24.65	1
6 Weatherproofing Boot, Receptacle	Hubbell	HBL6032	S.L. Electric	1	\$ 12.75	1
6 12/3 SO Cord	Hubbell	12/3SO	S.L. Electric	100	\$ 1.03	100
6 Red #1 Bag	CMC	430103	L.N. Curtis	1	\$ 36.60	1
6 Electrical Bag, 20 Amp, 50 ft Extension Cord.	Hubbell	Derickson	S.L. Electric	1		
6 Plug, 20A/125V	Hubbell	HBL2311	S.L. Electric	1	\$ 15.95	1
6 Weatherproofing Boot, Plug	Hubbell	HBL6031	S.L. Electric	1	\$ 11.45	1
6 Receptacle, 20A/125v	Hubbell	HBL2313	S.L. Electric	1	\$ 24.65	1
6 Weatherproofing Boot, Receptacle	Hubbell	HBL6032	S.L. Electric	1	\$ 12.75	1
6 12/3 SO Cord	Hubbell	12/3SO	S.L. Electric	50	\$ 1.03	50
6 Black #1 Bag	CMC	430105	L.N. Curtis	2	\$ 36.60	2
6 Electrical Bag, 20 Amp, 25 ft Extension Cord.	Hubbell	Derickson	S.L. Electric	2		
6 Yellow #1 Bag	CMC	430107	L.N. Curtis	1	\$ 36.60	1
6 Electrical Wye, 20 Amp, Adapters	Hubbell	Derickson	S.L. Electric	1		
6 12/3SO Wye	Hubbell	Y12-3-B	S.L. Electric	1	\$ 10.84	1
6 Plug, 20A/125V	Hubbell	HBL2311	S.L. Electric	1	\$ 15.95	1
6 Weatherproofing Boot, Plug	Hubbell	HBL6031	S.L. Electric	1	\$ 11.45	1
6 Receptacle, 20A/125v	Hubbell	HBL2313	S.L. Electric	2	\$ 24.65	2
6 Weatherproofing Boot, Receptacle	Hubbell	HBL6032	S.L. Electric	2	\$ 12.75	2
6 Adapter, 20 amp Female to 110 male	Hubbell	HBL2129	S.L. Electric	1	\$ 58.30	1
6 Adapter, 20 amp Male to 110 Female	Hubbell	HBL2128	S.L. Electric	1	\$ 58.30	
Spare Bottle Compartments						
SCBA Bottle, Spare per compt., 2 per side	Interspiro	336890496	Bauer	4	\$ 710.80	4
Extinguisher, Dry Chemical, (1-A-20-G)	Ansul	416305	L.N. Curtis	1	\$ 456.00	1
Extinguisher, Water Can 2.5 Gallon w/Vehicle Mounting Bracket	Amerex	240	L.N. Curtis	1	\$ 80.00	1
Under Body						
UB Wheel Chock Holders	Zico	QCH32H	L.N. Curtis	2	\$ 78.20	2

Engine Tool Master

Item	Brand	Item #	Vendor	# Carried	Unit Cost	# Needed
UB Wheel Chocks	Zico	AC32	L.N. Curtis	2	\$ 80.75	2
Running Board Trays (1' ea. per side)						
RBT Piston Intake Valves,	TFT	AB3STNX	L.N. Curtis	2	\$ 1,332.00	2
RBT Hose, 5" x 25 ft., Snap-Tite, Cpld w/ 5" Stortz, High Combat Yellow	Snap Tite	TD50X25Y50S	L.N. Curtis	2	\$ 310.00	2
RBT Adapter, Swivel, 5" Storz x 4.5"NH Female Swivel Rocker Lug	Red Head	S54RL50S45N	L.N. Curtis	2	\$ 160.00	2
RBT Blind Cap, 5" Storz, w/Cable	Red Head	SC50	L.N. Curtis	2	\$ 64.70	2
Crosslays						
CL Crosslays 1.75" X 200' Hose, High Combat (4 - 50' Lengths)	Angus	011029GW	L.N. Curtis	8	\$ 280.00	8
CL Fog Nozzle 1.5NH Metro w/Shut Off (150GPM @ 50PSI)	Metro	ME1V	L.N. Curtis	2	\$ 504.00	2
CL 1.75" x 10' Jumper Hose, Snap-Tite Synthetic	Snap Tite	DP17X10R15NH	L.N. Curtis	2	\$ 54.70	2
CL Crosslay 2.5" x 200' Hose High Combat 50' Lengths	Angus	011030GW	L.N. Curtis	4	\$ 425.00	4
CL 2.5" x 10' Jumper Hose, Snap-Tite Synthetic	Snap Tite	DP25X10R25NH	L.N. Curtis	1	\$ 72.30	1
CL 2.5NH x 1.5NHM Ball Shutoff Valve	Akron	21250001	L.N. Curtis	1	\$ 362.60	1
CL 1.5NH Stream Straightner	Akron	34850001	L.N. Curtis	1	\$ 107.10	1
CL 1.5NH x 1 1/8" Elongated Smooth Bore Nozzle	TFT	FSS10	L.N. Curtis	1	\$ 50.40	1
CL -hose strap		misc.	misc.	2	\$ 20.00	2
CL Back Boards				2		2
Ladder Rack						
LR Ladder, Wood, 24 ft. Extension	Alaco Ladder	2300-24'	Alaco Ladder	1	\$ 3,500.00	1
LR Ladder, Wood, 14 ft. Roof	Alaco Ladder	2304-14'	Alaco Ladder	1	\$ 2,400.00	1
LR Ladder, Wood, 10 ft. Scuttle	Alaco Ladder	2305-10'	Alaco Ladder	1	\$ 1,500.00	1
LR Skull Saver w/American Flag	Fire Research	SSA100A23	L.N. Curtis	1	\$ 75.65	1
LR Pike Pole, 6 ft., w/"D" Grip	Dou Safety	6FP-DH	Pierce	1	\$ 91.00	1
LR Pike Pole, 10 ft., w/o"D" Grip	Duo Safety	10FP-DH	Pierce	1	\$ 112.00	1
LR Hard Suction 4.5" x 10' PVC, Kocheck w/	Kocheck	2P601	Pierce	1	\$ 418.00	1
Topwell						
TW 1" Hose Reel Line Yellow 200' (2 - 100' Lengths)	Snap Tite	HX10X100Y10NP	L.N. Curtis	2	\$ 268.80	2
TW 1" Nozzle, Hose Reel (1NP Ultramatic w/Grip 10-125GPM)	TFT	BBGH	L.N. Curtis	1	\$ 630.00	1
TW Nozzle Cup Mount, Zico	Ziamatic	NCMBTFT	L.N. Curtis	1	\$ 37.90	1
TW Bracket, Nozzle Cup Mount, Red	Ziamatic	NCMBR	L.N. Curtis	1	\$ 16.10	1
TW Foam, , 3/6%, 5 gallon	Ansul	55797	L.N. Curtis	2	\$ 69.00	2
TW Monitor, Lift off Portion, Single Outlet, Stream Strghtner	Akron	34160001	L.N. Curtis	1	\$ 3,707.00	1
TW Nozzle -TurboMaster, Akron, Adjustable	Akron	1755	L.N. Curtis	1	\$ 1,035.00	1
TW -Portable Ground Base for Monitor w/ 5" Stortz (INC/3416)	Akron	3411	L.N. Curtis	1	\$ -	1

Engine Tool Master

Item	Brand	Item #	Vendor	# Carried	Unit Cost	# Needed
TW Nozzle, Akron, Stack Tips, 1 3/8", 1 1/2", 1 3/4", 2" (INC/3416)	Akron	24990030	L.N. Curtis	1	\$ -	1
Hosebed, General						
HB Hose, Snap-Tite, 5" x 100', Cpld 5" Stortz, 100' Lgths, w/	Akron	TD50X100Y50S	L.N. Curtis	10	\$ 950.00	10
HB -Adapter, 5"Storz x 4.5"NH swivel female, (SSTzx4.5NHFRL Stz to Swiv FE Adptr)	Red Head	S54RL50S45N	L.N. Curtis	1	\$ 160.00	1
HB -Adapter, 2.5"NH Female swivel x 4.5"NH Male (S542.5NHFx4.5NHM)	Red Head	5425N45N	L.N. Curtis	1	\$ 97.00	1
HB Hydrant Bag	A.C.F.D Spec	Bag	Jerry	1	\$ 30.00	1
HB Spanner, for 5" hose, Wrenches Only	Red Head	SW2	L.N. Curtis	2	\$ 12.65	2
HB Wrench, Hydrant, Wrenches Only	Snap-Tite	FSW1	L.N. Curtis	2	\$ 53.00	2
HB Wrench, Hydrant and Spanner adjustable	Service Brass	161	L.N. Curtis	1	\$ 46.20	1
HB Mallet, Nupra Rubber, (10020 2# Dead Blow)	Nupla	SF2	L.N. Curtis	1	\$ 24.25	1
HB Spanner/Hydrant Wrench (Small)	SBA	160	L.N. Curtis	1	\$ 52.25	1
HB Hose Holder angle bracket Chrome	Zico	NCMBC	L.N. Curtis	1	\$ 53.95	1
HB Hose Coupling Mount 2.5"	Zico	CM2.5	L.N. Curtis	1	\$ 22.00	1
HB Hose, National Dura Pak, 2.5", 1000 ft. 50' lghts. (Yellow Premier Nylon), w/	Angus	DP25X50Y25NH	L.N. Curtis	20	\$ 198.00	20
HB 2.5NHF x 1.5NHM Ball Shutoff Valve	Akron	21250001	L.N. Curtis	1	\$ 362.60	1
HB 1.5NH Stream Straightner	Akron	34850001	L.N. Curtis	1	\$ 107.10	1
HB 1.5NHF x 1 1/8" Elongated Smooth Bore Nozzle	TFT	FSS10	L.N. Curtis	1	\$ 50.40	1
HB Rear Pre Connect 1.75" X 200', 50' Lengths Hose Hi Combat (1.75x50' 1.5NH Grn/Wh DJ Hose)	Angus	011029GW	L.N. Curtis	4	\$ 280.00	4
HB - 1.75" x 10' Jumper Hose, Snap-Tite,Synthetic	Snap-Tite	DP17X10R15NH	L.N. Curtis	1	\$ 54.70	1
HB Adapter, 2.5"NH Female to 1.5"NH male, Red Head	Red Head	3725N15N	L.N. Curtis	2	\$ 13.15	2
HB 1.5NHF x 1.5NHM Ball Valve Shutoff	TFT	F100F	L.N. Curtis	1	\$ 246.00	1
HB 1.5NH x 7/8" Smooth Bore Nozzle Elongated	TFT	FSS8	L.N. Curtis	1	\$ 50.40	1
HB -hose strap		misc.	misc.	2	\$ 20.00	1
Apartment Pack						
AP Hose, 1.75" x 100', National Dura Pak (2 - 50' Lengths, Orange)	Angus	DP17x50015NH	L.N. Curtis	2	\$ 150.00	2
AP Hose, 1.75" x 100', National Dura Pak (2 - 50' Lengths, Orange)	Angus	DP17x50015NH	L.N. Curtis	2	\$ 150.00	2
AP Hose, 2.5" x 4' ft. Stinger, Dura Pak, Red	Angus	NP25X4R25NH	L.N. Curtis	1	\$ 42.70	1
AP Gated Wye 2.5 x 1.5"-2 (2.5NHFx2-1.5NHM LTWT Gated Wye)	TFT	AYNJNF	L.N. Curtis	1	\$ 289.00	1
AP 1.5"NH Cap w/Chain	Red Head	C15N	L.N. Curtis	1	\$ 13.00	1
AP 1.5NHF x 1.5NHM Ball Valve Shutoff	TFT	F100F	L.N. Curtis	2	\$ 246.00	2
AP 1.5NH x 7/8" Smooth Bore Nozzle Elongated	TFT	FSS8	L.N. Curtis	2	\$ 50.40	2
AP Straps W/Plastic Buckle 2"	Strap Works	SRBB2H	Strap Works	8	\$ 3.98	
Wildland Packs, 3-packs of:						
WL 1.5" x 100 ft. Lengths Hose (3 - 200' Packs) (1.5x100 CPLD 1.5nh Wh SJ Forestry Hose 186 Sp)	Angus	6F15X100M15NH	L.N. Curtis	6	\$ 145.00	6
WL Forestry T Valve 1.5" (1.5NHFx1.5NHMX1NPSH)	TFT		L.N. Curtis	3	\$ 54.00	3
WL Straps W/Plastic Buckle 1"	Strap Works	SRBB1H	Strap Works	30	\$ 2.60	30
WL Straps W/Plastic Buckle 2"	Strap Works	SRBB2H	Strap Works	8	\$ 3.98	8

Engine Tool Master

Item	Brand	Item #	Vendor	# Carried	Unit Cost	# Needed	
Wildland Pack, 1 pack of:							
WL	1" x 100 ft.Lengths Hose (3 - 100' Packs) (1x100 CPLD 1NPSH WH SJ Forestry Hose 186 Spec)	Angus	6F10X100M10NP	L.N. Curtis	3	\$ 120.00	3
WL	1"NH Twister Nozzle (1NPSH Twister 10/40 NOZ Tip)	TFT	D1040NP	L.N. Curtis	3	\$ 63.60	3
WL	Straps W/Plastic Buckle 1"	Strap Works	SRBB1H	Strap Works	30	\$ 2.60	30
WL	Straps W/Plastic Buckle 2"	Strap Works	SRBB2H	Strap Works	8	\$ 3.98	8
Other							
	Saw, Multipurpose, 12" Partner (K12FD760 12" K760)	Husqvarna	965169301	L.N. Curtis	1	\$ 1,230.80	1
	Diamond Blade 12" (Black Star Diamond Blade)	Cutters Edge	CEATDR12	L.N. Curtis	1	\$ 241.00	1
	Symo Power Unit, Mini-Mate w/Streamline Couplings	Hurst	363R302	L.N. Curtis	1	\$ 7,222.00	1
	Hurst Spreader, 32", Model ML-28	Hurst	362R471	L.N. Curtis	1	\$ 6,897.00	1
	Hurst Cutter, Model JL500 7" LP Cutter w/Streamline Coupling	Hurst	362R513	L.N. Curtis	1	\$ 4,462.00	1
	Hurst Extension Ram, 41.3" Extended Length T41 LP Ram	Hurst	257R156	L.N. Curtis	1	\$ 4,094.00	1
	Hurst Attachment Set	Hurst	257R037	L.N. Curtis	1	\$ 506.00	1
	Chain and Shackle Package	Hurst	3640003	L.N. Curtis	1	\$ 775.00	1
	30' Hose w/Streamline connector (Orange)	Hurst	353R324	L.N. Curtis	1	\$ 650.00	1
	30' Hose w/Streamline connector (Greene)	Hurst	353R325	L.N. Curtis	1	\$ 650.00	1
	30' Hose w/Streamline connector (Blue)	Hurst	353R326	L.N. Curtis	1	\$ 650.00	1
	Streamline Coupling Kit	Hurst	128R108	L.N. Curtis	6	\$ 179.00	6
	Hydraulic Fluid, 1 Gallon	Hurst	180R017	L.N. Curtis	1	\$ 84.00	2
	Hydraulic Fluid, 1 Qt.	Hurst	180R020	L.N. Curtis	1	\$ 30.35	1
	Sub Total				x		x

Item #14F English:

Alameda County Fair Racing Accident Procedures

In case of an accident on the racetrack, the following procedures are to be implemented:

Track Ambulance

The track ambulance will travel immediately to the scene of an accident and assume triage and patient care responsibilities and evacuate.

Security

1. As soon as possible, a member of the track security staff shall report to the scene of the accident and thereafter take direction from the EMT responsible for the accident scene management. The track security representative shall be responsible for keeping bystanders away from the accident scene.
2. A member of the track security staff shall proceed to the Jockey's Room to secure the ambulance transfer area and prevent visitation from bystanders away from the accident area.
3. A member of the track security staff shall be responsible for escorting emergency vehicles.
4. The security staff shall be responsible for all "crowd control" activities.

Racing Staff/Track Veterinarian

1. Upon arrival at the scene, the Outrider should hold the injured horse in order to prevent further harm to people, horses and property.
2. Horses with severe injuries should be transported off the track via the horse ambulance whenever it is practical to do so.
3. The track veterinarian shall make the decision as to the necessity of euthanasia on the track.
4. The screen blocking the public's view of the injured horse shall be set-up prior to the euthanasia procedure.
5. Outriders are responsible for the removal of any debris from the racetrack following the removal of the injured person or horse from the track.

Item #14 F Spanish:Procedimiento en caso de Accidente en Alameda County Fair

De ocurrir un accidente en el hipodromo, se debe hacer lo siguiente:

El personal de la Ambulancia

El personal de la ambulancia trasladarse inmediatamente al lugar del accidente siempre que lo necesario para tratar a la(s) victima(s).

Seguridad

1. Tan pronto como sea posible, un miembro de seguridad del hipodromo debera reportarse al lugar del accidente y desde ahi recibir las instrucciones del Paramedico responsable del lugar del accidente. El miembro de seguridad sera responsable de mantener a los transeuntes fuera del lugar del accidente..
2. Un miembro del departamento de seguridad del hipodromo se acercara al cuart del jockey para aguardar el area donde la ambulancia estara y prevenir que transeuntes y personas ajenas se acerquen.
3. Un miembro de seguridad del hipodromo sera responsable de escoltar a los vehiculos de emergencia..
4. Los miembros de seguridad seran responsable de controlar a la multitud.

Personal de Carreras/Veterinario del hipodromo

1. Una vez en el lugar del accidente, el Outrider/escolta debera sujetar al caballo herido para evitar que lastime a la gente, a otros caballos o a la propiedad.
2. Los caballos muy mal heridoa deberan ser sacados de la pista con la ambulancia para caballos, siempre que sea posible hacerlo de esa manera.
3. El veterinario del hipodromo debera decidir si se sacrifica al caballo en la pista.
4. Sea posible hacerlo, se debe colocar la pantalla/screen para tapa la vista al publico, antes de iniciar el procedimiento de sacrificio del animal.
5. Los Outriders son responsables de remover cualquier desecho en la pista despues de que la persona o caballo accidentado haya sido trasladado del lugar.

Personal de Planta/Plant Staff

1. La Ambulancia de Caballos debera trasladarse inmediatamente al lugar del accidente siempre que un caballo este severamente lesionado y necesite transporte.
2. Los miembros del departamento de planta que esten cerca del accidente deberan ayudar a fapar el lugar para que el pulico no pueda ver lo que sucede, ademas deberan recibir instrucciones del Paramedico responsable del lugar del accidente.

Locutor

El locator debera informar a los jinetes acerca de los detalles de la situacion (como la ubicacion del caballo suelto, la necesidad de adelantar, etc.) para que puedan hacer lo necesario y mitigar otros problemas.

Gerencia

1. Un representante de la gerencia se apersonara rapidamente al lugar del accidente en el hoipodromo. El genente informara a los otros gerents sobre las lesions sufridas.
2. Otro representante de la gerencia debera informar al departamento de video para monitorear la escena y ver la cobertura de video que sera transmitida al publico.
3. Un miembro de la gerencia debera aportar con informacion sobre los anuncios que debera hacer el locutor.
4. Un miembro de la gerencia sera responsable de ver que la informacion con respecto al accidente sea dada a los familiars de los heridos. Se debe hacer lo necesario para acompanar a los familiars a los hospitals, de ser el caso. Al respecto, es necesario tener un registro de la persona a quien se debe comunicar en caso de que un jockey sufra un accidente.
5. Todos los anuncios publicos y respuestas a la prensa las realice unicamente el funcionario de gerencia de alto nivel que se encuentre disponible en ese momento.

Todo los Jefes de Departamento

Todos los Jefes de Departamento deben comunicar a sus empleados que, a pesar de que las intenciones sean buenas, el tratamiento de un jinete/jockey herido debe ser realizado por el personal calificado para ello, y todos los demas empleados deben permanecer lejos del lugar del accidente.

ITEM: #14J

C a l i f o r n i a F a i r S e r v i c e s A u t h o r i t y

P.O. Box 15518, Sacramento, CA 95852 • tel 916.921.2213 fax 916.263.6159 • www.cfsa.org



February 6, 2012

To: California Horse Racing Board
1010 Hurley Way, Suite 300
Sacramento, CA 95815
Attn: Andrea Ogden

Re: Alameda County Fair
4501 Pleasanton Avenue
Pleasanton, CA 94566

Please be advised that the Alameda County Fair is a member of the California Fair Services Authority (CFSA), and participates in the following self-insurance and loss pooling programs which are administered by CFSA:

I. COMPREHENSIVE GENERAL LIABILITY PROGRAM

- A. Primary Coverage \$750,000 self-insured retention California Fair Services Authority
Coverage continuous until cancelled
- B. Excess Coverage \$9,250,000 in excess of \$750,000
Coverage provided by Allied World Assurance Company
Term: 01/01/2012 to 01/01/2013

II. WORKERS' COMPENSATION AND EMPLOYERS' LIABILITY

- A. Primary Coverage \$500,000 self-insured retention California Fair Services Authority
Coverage continuous until cancelled
- B. Excess Coverage (a) Workers' Compensation: Statutory Limit in excess of \$500,000
(b) Employers' Liability: \$4,500,000 in excess of \$500,000
Coverage provided by CSAC Excess Insurance Authority
Term: 07/01/2011 to 07/01/2012

CFSA represents to the California Horse Racing Board that within the above limits, terms and provisions of the coverage stated, to the extent provided by law, CFSA will provide defense, payment, and indemnification on loss funding in accordance with the terms of the contractual assumption of the Alameda County Fair as set forth in the California Horse Racing Board's "Insurance Requirements".

You will be given at least thirty (30) days notice of any change in the foregoing information. We trust that this commitment will satisfy your insurance requirements.

Please feel free to contact this office on all matters including possible claims.

Sincerely,

Lianne Lewellen
Lianne Lewellen
Risk Analyst

than 1.5 miles from the racetrack and the racetrack has an agreement with the hospital to provide emergency medical services to jockeys and riders. An ambulance licensed to operate on public highways provided by the track shall be available at all times during live racing and shall be staffed by two emergency medical technicians licensed in accordance with Division 2.5 (commencing with Section 1797) of the Health and Safety Code, one of whom may be an Emergency Medical Technician Paramedic, as defined in Section 1797.84 of the Health and Safety Code. (b) Each racing association and racing fair shall adopt and maintain an emergency medical plan detailing the procedures that shall be used in the event of an on-track injury. The plan shall be posted in each jockey room in English and Spanish. (c) Prior to every race meeting, the racing association or racing fair shall contact area hospitals to coordinate procedures for the rapid admittance and treatment of emergency injuries. (d) Each racing association or racing fair shall designate a health and safety manager and assistant manager, who shall be responsible for compliance with the provisions of this section and one of whom shall be on duty at all times when live racing is conducted. The health and safety manager may, at the discretion of the racing association, be the person designated to perform risk management duties on behalf of the association.

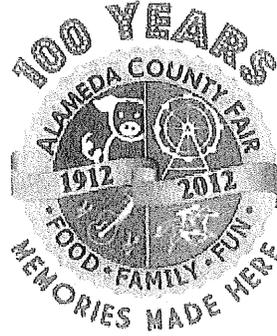
15. CONCESSIONAIRES AND SERVICE CONTRACTORS

- A. Names and addresses of all persons to whom a concession or service contract has been given, **other than those already identified**, and the goods and/or services to be provided by each:
- Food & Beverage:** Stroud Enterprises, Tom Stroud, 5119 North Archerdale, Linden, CA 95236
Yogurt-a-Fair: William Heher, 809 Riordan Road, Suite #202, Flagstaff, AZ 86001
Sharp Enterprises, Inc.: Roger Sharp, P.O. Box 3767, Paso Robles, CA 93447, G/S Downstairs
Food Service: Ovations Fanfare, Charlie Neary, 4501 Pleasanton Ave., Pleasanton, CA 94566
Tip Sheets: Jack's Blue Card, Lisa Wasserman, 127 Sun Avenue, San Leandro, CA 94544
Racing Form: Daily Racing, Wicks Sports, 100 Broadway, 7th Floor, New York City, N.Y. 1005
Program: Delmar Graphics, Del Scott, 7806 Honors Ct., Pleasanton, CA 94588
Winners Circle Photos: Vassar Photo, Bill Vassar, 5075 Double Point Way, Discovery Bay, CA 94514
Jockey Laundry Service: Bailey Mobile, Lorene Dutton, 3263 Vineyard Venue, #35, Pleas. CA 94566
Starting Gate: United/Puett Start Gate, Michael Costello, 1 Soundview Loop, S. Salem, N.Y. 10590
Sound System: Speeda Sound, Mike King, 5617 W. San Madele, Fresno, CA 93722
Armor Car: NOTWINC, 11875 Dublin Blvd., #D275, Dublin, CA 94568

- B. Does the fair plan to provide its own concessions? Yes No

16. ON- TRACK ATTENDANCE/FAN DEVELOPMENT

- A. Attach a copy of the promotional and marketing plans for the race meeting:
See Attached
- B. Promotional/ Marketing budget for this race meeting:
Promotional/Marketing budget for prior race meeting:
See Attached
- C. Number of hosts and hostesses employed for meeting:
N/A
- D. Describe facilities set aside for new fans:
See Attached
- E. Describe any improvements to the physical facility in advance of the meeting that directly benefits: See Attached
1. Horsemen

Item #16 A & B

"The Oldest One-mile Racetrack in America"

On-Track Attendance & Fan Development Plan 2012 Alameda County Fair Live Horse Race Meet

The 2012 Alameda County Fair will operate 17 days this summer from June 20 – July 8 closed on Mondays, with an estimated attendance in excess of 400,000 patrons. The Live Horse Race Meet will be conducted during 13 days of the Fair, June 21 – July 8, dark on June 25, 26, 27 & July 2 and 3. More than \$2 million in cash and cash equivalents (in-kind advertising) will be spent to promote the 2012 Annual Fair and Race Meet. The majority of advertising for the Annual Fair includes advertising of the Race Meet.

Fair Horse Racing takes racing to the public in a very unique manner. The thrill and excitement of concerts, carnival rides, unique food items, exhibits, etc., all combine to provide a one-of-a-kind experience in a local community. Many first timers and casual players, who might never attend a private track, are exposed to Horse Racing in an exciting manner at California's Racing Fairs. Consequently, the Alameda County Fair utilizes a wide variety of methods to market, promote and advertise Live Horse Racing during its 17-day Annual Fair event.

Our Horse Racing Marketing is designed to enhance on-track attendance and develop fans through a variety of multifaceted marketing channels and on-site experiences. Each element is designed to interconnect into a successful and effective program, helping to create exposure for the Fair Race Meet and Horse Racing in general.

The Live Race Meet continues to gain new customers while retaining existing patrons. The continued and increased participation of the Fair patrons in the many Live Racing promotions offered by the Fair further attests to the fact that our marketing campaigns are attaining many of their objectives.

2011 Budget for Live Racing Advertising: \$60,000

2011 Budget for Live Racing Promotions: \$20,000

2012 Budget for Live Racing Advertising: \$60,000

2012 Budget for Live Racing Promotions: \$20,000

Trackside Terrace:

Fairgoers can experience a winning view of the races at the Trackside Terrace, a VIP lounge with an elevated view of the racetrack. With three levels of seating, catered food, private betting machines, full bar, and a quiet place to sit and enjoy the day with friends, Trackside Terrace will be a staple for Fair racing. The Trackside Terrace offers a gourmet buffet including carving stations and private betting windows with open-air terrace seating overlooking the racetrack. This site is perfect for corporate parties and group reservations. The Trackside Terrace is marketed in several ways which include county wide Chamber of Commerce marketing channels, local events, guerilla marketing, electronic newsletters and Fair website.

Sponsorships and Partnerships:

The Marketing Department works year-round to develop strong relationships with local and national companies. Additionally, the Fair develops new advertising partnerships, and strengthens existing partnerships, in an effort to incorporate more "in-kind" trade and promotion into the advertising mix. For example, the Fair Staff will once again partner with the Oakland Coliseum to trade on-site booth presence in the Racing Grandstand for all 13 days of Racing, in exchange for advertising on the Oakland Coliseum's highly visible electronic reader board (alongside Highway 880). Budweiser will once again sponsor the Grandstands. Cross-promotions with Budweiser are currently being planned to increase on-track attendance including \$1 beers every Thursday. Jackson Rancheria will sponsor Live Racing with multi-faceted promotions planned at the track.

Paid Advertising:

Buying advertising on radio, television, outdoor billboards and in print is necessary to encourage more people to come to the Fair and Race Meet. The Fair's general marketing strategy is to include the Race Meet in all advertising mediums whenever possible. For example, a portion of the Fair TV commercial focuses on shots of the horse races. Fair Staff targets sports-related and older generation-formatted radio stations to advertise the Live Race Meet. Print is especially important in advertising the Horse Races. Advertising for the Live Race Meet is included in all general Fair print ads. Additionally, racing-specific ads are created for the sports sections of the newspapers and for sports-focused print media such as the Daily Racing Forum.

Grassroots Marketing:

Poster placement is a grassroots marketing method employed by the Fair. Businesses and public organizations displaying posters, flyers, and brochures advertising the Fair and the Live Race Meet show their community support and enthusiasm for the Fair. Organizations in Alameda County continually support the Fair by allowing Fair information to be included in their internal and external communications, such as newsletters, email programs, direct mail pieces, etc.

Online Marketing:

The Alameda County Fair utilizes the Web to communicate and promote the Fair's message. The website is an informative and functional tool because of the number of ways it can be used. Email newsletters with Horse Racing information are sent via the Internet to the Fair's continually growing database of patrons currently at over 80,000 emails. Additionally, the website lists the daily schedule and links to real-time race results each day of the Fair's Live Race Meet. Daily racing picks by the Fair's Racing Publicist and well-known sports writer Dennis Miller are posted on the website daily along with a brand new blog of daily horse racing activity.

Box Seat Sales:

The Marketing Department is responsible for selling the over 150 Box Seats in the Race Track Grandstands. A number of methods are used to achieve the sale of Box Seats including direct mail, print advertising and online banner ads at partner websites. Promotion of the Fair's Live Horse Race Meet is incorporated in the Box Seat Sales strategy.

Non-profit Outreach:

Each year the Marketing Department makes available on a daily basis a select number of Box Seats to various non-profit organizations. The non-profits in turn raffle off "A Day at the Races." This includes parking, Fair admission and box seat admission.

Publicity:

The Marketing Manager meets with area media prior to the Race Meet to disseminate information on the Race Meet and the many promotions occurring at the Race Track. Print, radio and television media are all used to promote the Race Meet. The Fair Association's Marketing Manager serves as the Racing Publicist and provides information and statistics to various media outlets daily during the Race Meet. Additionally, the Fair Association hires well-known sports writer, Dennis Miller, to write articles, place Daily Picks in local papers and on the Fair's website as well as local news websites and generally promote horse racing to the media.

Educational Programs:

The Fair, in partnership with CARF, holds educational seminars every morning before the first Post Time to educate beginners on how to wager at the races. The panel consists of experienced and well-known personalities within the racing industry. Seminars have included such guests as owners, trainers, jockeys, handicappers, racing officials, racing reporters, "tipsters," and information on how to make various wagers. The Fair, CARF and the Daily Racing Form sponsor the daily racing seminars. The Racing Seminars have become quite popular, with attendance increasing year after year.

Additional educational tools will be available at the Track to promote "How to Wager" for those new to horse racing and not able to attend the seminars.

Promotions:

Many types of promotions have been developed and/or to be repeated for the 2012 Live Horse Race Meet. Some are designed to attract new patrons, while others cater to existing customers. A sampling includes:

- **Senior Citizens Free Admission** on Thursdays. Seniors age 62 & up are provided free admission to the Fair and Race Track each Thursday.
- **First Tee Youth Golf Putting Exhibit** – Youth will enjoy a golf putting exhibit at the racetrack which has been a great to keep families at the track.
- **100 Years of Memories Video Contest** - These videos will be displayed on the Jumbotron in the Grandstands for all to enjoy.
- **Win, Place and Show Contest** -is a randomly drawn voucher giveaway to those who enter the correct Win, Place and Show horses in the ballot box. The prize amount is \$225 per day: \$100 Win, \$75 Place & \$50 Show. The winners are drawn every day after the 8th Race.
- **Opening Weekend Hat Contest** - Participants enter colorful and creative hats in order to win cash prizes on Sunday, June 24th.
- **Opening Day of Racing Horse Racing Themed T-shirt Giveaway** -to the first 1000 people who enter the Win, Place and Show contest on Thursday, June 21st.
- **Horse Racing Binoculars Giveaway**– to the first 1000 people who enter the Win, Place and Show contest on Friday, June 29th.
- **3rd Friday Racing Giveaway (TBD)** – to the first 500 people who enter the Win, Place and Show contest on Friday, July 6th.

- **\$10,000 Putting Contest** –was such a success in prior years that the Fair will bring the contest back for another year. Each day, randomly selected fairgoers will try to qualify for the chance to compete in a 40-yard, \$10,000 Putt on Saturday July 7th.
- **Horse Racing Video Montage** –fairgoers will take a trip down memory lane in a video of popular racing fans reminiscing of past good times at the Fair's Live Races. This video will be played on the website prior to Fair and on the Jumbotron during the Races.
- **Meet Mutton Buster at the Track** – Patrons can watch an interactive dancing performance by the Fair's very own Mutton Buster, mascot of Wool Warriors – Mutton Bustin'! Buster will meet and greet horse racing fans after each performance.
- **Russell Baze Day** - Meet and greet with famous jockey Russell Baze.
- **Fair Board Picture Day** - For our 100th Fair, a group photo of the Fair Board of Directors, including Past Directors and Directors Emeritus, will be organized to celebrate the Fair's 100th milestone at the Oldest One-Mile Track in America.
- **Fan Education Booth** – A booth in the Grandstands will be staffed with knowledgeable experts to explain how to read the Racing Form and Program and the different types of wagers to new fans.
- **Morning Workout Seminar** – Dennis Miller (Fair Publicist), the Racetrack Announcer and one popular Trainer will provide analysis of workouts to the public attending this special event. This is designed to educate seasoned players create more interest in horse racing for the casual fair player.
- **Chamber of Commerce Days at the Races** -where all Chambers within Alameda County are invited to attend the Race Meet on their own day. Chamber Presidents and Executives are hosted in the Fair Director's V.I.P. Lounge for lunch. These honored guests in-turn present a basket in the Winner's Circle during their named race.
- **City Days at the Races** -each Mayor, City Council and City Manager in Alameda County are invited to attend the Race Meet in concert with their local Chamber of Commerce. City Officials are hosted as VIP's and participate in the Winner's Circle presentation.
- **VIP Director's Lounge** - each Director of the Fair Association Board has the opportunity to entertain dignitaries, VIPs, elected officials, business leaders, etc., daily in an air-conditioned lounge at the top of the Race Track Grandstand.
- **The Palm Pavilion** -will offer patrons the opportunity to enjoy full meal and bar services alongside the Rail of the Race Track. Patrons may also place their wagers in the Palm Pavilion.
- **Advertising of Local Businesses** - occurs on the Jumbotron and in the Racing Program during the race meet. Many of these businesses cannot afford to advertise at the private tracks.
- **Naming of Feature Races** - is another opportunity provided to businesses, families, local wineries, etc., to showcase their name and products in the Winner's Circle.
- **Public Service Announcements** - appear on the Jumbotron during racing.

Featured Alameda County Wineries:

The 2012 Fair Race Meet will include promotion of the local Alameda County wineries within the Grandstands and at the Race Track. A new Wine Bar featuring local premium wines will be added inside the Grandstands. Additionally, wineries will be promoted as a Feature Race, in the Daily Program and on the Jumbotron and at the Trackside Terrace.

Satellite Wagering Facility:

The Fair Association operates one of the most successful Fair Satellite Wagering Facilities in Northern California. The Fair Association's Satellite Wagering Manager takes a very active role in the Live Race Meet and actively cross promotes with Satellite Wagering customers. In-turn the Fair Association also promotes its Satellite Wagering Facility to patrons of the Live Race Meet.

Item #16 D & E:

Improvements to the physical facility:

Horsemen, Track, Fans and Restricted Areas

Installed emergency warning lights on the Quarter Poles, installed large lights on the roof edge of the Grandstands in support of early morning training, and widened the Bridle Path to further enhance safety for horses and riders.

Winner's Circle newly expanded to better accommodate patrons and photographers.

The Palm Pavilion, located trackside, has been fully remodeled. This remodel included installing outdoor patio viewing of the track, indoor large screen TV's to broadcast racing, self-serve wagering terminals, etc... The Palm Pavilion also serves food and beverages & includes a full bar.

The Racing Paddock has been remodeled so that more fans can gather in the general area. The roof was elevated to enhance the safety of riders. A grass oval was installed to accommodate owners and trainers. Additional cameras were used to enhance the broadcasting of the Paddock area.

The Track Side Terrace was created to provide upscale VIP outdoor dining and viewing of the races. The Track Side Terrace also allows enhanced marketing to our corporate partners.

The VIP Directors Lounge has been refurbished with new carpet, air conditioning, paint, etc., to better accommodate VIP's. Every Mayor, City Council Member and Chambers of Commerce from throughout the County of Alameda, is extended an invitation to visit the VIP Directors Lounge. Racing VIP's, elected officials from the County, State and National level also attend the lounge.

The Jockey's Club House has been remodeled to open up the dining area, relocate the laundry facilities, add more sleeping areas, etc...

Restrooms and Showers in the barn area have been remodeled to provide upgraded facilities for employees and tenants.

Roadway #12 has been upgraded and widened to better accommodate large horse trailers. Catch basins and a storm drain line have been installed to mitigate the flooding that previously occurred in this area.

Campgrounds have been upgraded and more spaces added to better house out-of-the-area trainers and racing employees during the Race Meet.

Barn Roofs and Solar Energy – All barns received new roofs in 2004, in advance of the Fair installing a 1 Megawatt Solar Energy System on the barns. According to PG&E, the Fair is the "largest nonprofit generator of solar energy in the nation."

60 New Haysheds have been custom built into each Barn Row for the ease of storing hay and feed in closer proximity to the horses.

Upgraded the Main and Auxiliary Water Wells with variable speed submersible pumps. This provides more constant and consistent water supply to our training and racing operations, since we are on our own water system.

The Pole Barn Arena has been upgraded to better allow training under a covered roof during the winter.

Upgrades to "cash management areas."

Widened Bridal Path

Enhanced "On-Off Gap"

Expanded Temporary Housing Accommodations in the Barn Area

Rebuilt Breaking Corals

Built new Clocker's Tower

Installed new Safety Warning Lights around Track & Bridal Path

14 b. A total of 15 Host and Hostess will be used in support of the live race meet.

- B. Number of rooms used for housing on the backstretch of the racetrack: **95**
- C. Number of restrooms available on the backstretch of the racetrack: **9 (Total commodes =17)**
- D. Estimated ratio of restrooms to the number of backstretch personnel: **10 to 1**

20. TRACK SAFETY

- A. Total distance of the racecourse - measured from the finish line counterclockwise (3' from the inner railing) back to the finish line:

5,280

 feet.
- B. Describe the type of track surface at the facility, including the specific track surface composition: **Organic dirt, silt, clay, sand, and Fir bark (composition and amendments per routine lab tests)**
- C. The percent of cross slope in the straight-aways is: **5.3% - 5.4%**
The percent of cross slope in the center of the turns is: **5.6%**
- D. Describe the type(s) of materials used for the inner and outer railings of the race course, the type of inner railing supports (i.e., metal gooseneck, wood 4" x 4" uprights, offset wood 4" x 4" supports, etc.), the coverings, if any, on the top of the inner railing, and the approximate height of the top of the inner railing from the level of the race course.
Inner Rail: Fontana Safety Rail
Outer Rail: Aluminum gooseneck, supports with aluminum top rail
Inner Rail Height: ¼ mile/42", ½ mile/40.5", ¾ mile/40", 1 mile/42" (finish line)
- E. Name of the person responsible for supervision of the maintenance of the racetrack safety standards pursuant to CHRB Rule 1474: **Jim Burns**
- F. Attach a Track Safety Maintenance Program pursuant to CHRB Rule 1474. **On file.**
- G. If the fair is requesting approval to implement alternate methodologies to the provisions of Article 3.5, Track Safety Standards, pursuant to CHRB Rule 1471, attach a Certificate of Insurance for liability insurance which will be in force for the duration of the meeting specified in Section 2. The CHRB is to be named as a certificate holder and given not less than 10 days' notice of any cancellation or termination of liability insurance. Additionally, the CHRB must be listed as additionally insured on the liability policy at a minimum amount of \$3 million per incident. The liability insurance certificate must be on file in the CHRB headquarters office prior to the conduct of any racing.

21. DECLARATIONS

- A. All labor agreements, concession and service contracts, and other agreements necessary to conduct the entire meeting have been finalized except as follows (if no exceptions, so state):
No Exceptions
- B. Attach each horsemen's agreement pursuant to CHRB Rule 2044.
CTT & Horsemen's Agreement will be forward to CHRB
- C. All service contractors and concessionaires have valid state, county or city licenses authorizing each to engage in the type of service to be provided and have valid labor agreements, when applicable, which remain in effect for the entire term of the meeting except as follows (if no exceptions, so

state):

No Exceptions

D: Absent natural disasters or causes beyond the control of the fair, its service contractors, concessionaires or horsemen participating at the meeting, no reasons are believed to exist that may result in a stoppage to racing at the meeting or the withholding of any vital service to the fair except as follows (if no exceptions, so state):

No Exceptions

NOTICE TO APPLICANT: Pursuant to CHRB Rules 1870 and 1871, the CHRB shall be given 15 days' notice in writing of any intention to terminate a horse racing meeting or the engagements or services of any licensee, approved concessionaire, or approved service contractor.

22. CERTIFICATION BY APPLICANT

I hereby certify under penalty of perjury that I have examined this application, that all of the foregoing statements in this application are true and correct, and that I am authorized by the fair to attest to this application on its behalf.

RICK K. PICKERING

Print Name

C.E.O.

Print Title

Rick K. Pickering

Signature

3-29-12

Date

STAFF ANALYSIS
DISCUSSION AND ACTION BY THE BOARD
REGARDING THE REQUEST FROM THE CALIFORNIA EXPOSITION AND STATE FAIR FOR
ALLOCATION OF HARNESS RACING DATES AT
CAL EXPO FOR THE PERIOD OF
AUGUST 24, 2012 THROUGH DECEMBER 15, 2012, INCLUSIVE

Regular Board Meeting
April 26, 2012

BACKGROUND

Business and Professions Code section 19440(a) states the Board shall have all powers necessary and proper to enable it to carry out fully and effectually the purposes of this chapter. Responsibilities of the Board shall include allocation of racing dates to qualified associations in accordance with law. Business and Professions Code section 19530 provides that the Board shall have the authority to allocate racing weeks to an applicant or applicants pursuant to the provisions of this article and article 6.5 and to specify such racing days, dates and hours for horse racing as will be in the public interest and will subserve the purposes of this chapter. The decision of the Board as to such racing days, dates and hours shall be subject to change, limitation or restriction only by the Board. Business and Professions Code section 19531 states the Board shall make allocation of racing weeks, including simultaneous racing between zones, as it deems appropriate. The maximum number of racing weeks that may be allocated for harness racing is 25 weeks per year in the northern zone. Business and Professions Code section 19549.6 states that notwithstanding subdivision (b) of section 19531 and sections 19540, 19546, and 19549, the Board may allocate additional weeks of harness racing to the California Exposition and State Fair in Sacramento or its lessee, to be raced at the California Exposition and State Fair in Sacramento.

Board Rule 1430, Allocation of Racing Weeks and Dates, states the Board shall allocate racing weeks and dates for the conduct of horse racing in this State for such time periods and at such racing facilities as the Board determines will best subserve the purposes of the Horse Racing Law and which will be in the best interests of the people of California in accord with the intent of the Horse Racing Law.

ANALYSIS

Current Board practice in allocating race dates is to allocate dates for a one year period of time. In October 2011 the Board allocated 2012 race dates to California racing associations and to the fair racing circuit. The California Exposition and State Fair race dates were only allocated for December 2011 through June 2012 because of the uncertainty of there being a harness operator for the remainder of the 2012 racing year. On April 2, 2012 the California Exposition and State Fair submitted a letter requesting the allocation of harness racing dates at Cal Expo for the period of August 24, 2012 through December 15, 2012. Cal Expo stated it was aware dates had never been allocated to it without a named operator; however, with dates allocated Cal Expo believes it would have an additional negotiating point in attracting a qualified operator.

RECOMMENDATION

This item is presented for Board discussion and action.